Appendices

Robust **Summaries**

With reference to the SIDS Data Matrix the reports have been evaluated and assessed according to the Klimisch criteria as described in previous sections.

- 1 = Reliable without restrictions,
- 2 = Reliable with restrictions,
- 3 = Not reliable,
- 4 = Not adequate.

This chapter will focus on each study specifically. The order of presentation will be physico-chemical data, environmental fate data, ecotoxicity and toxicity. EPIWIN data were not included in the summary tables. The following references were not included in the summaries. Results from these references were incorporated directly into the SIDS data matrix.

- SIDS Initial Assessment Report for 10" SIAM HPV SIDS dossier for 7,9 Adipates 2000
- 2 2000

List of Abbreviations

а Absolute to body weight

Absent

Present

Active ingredient a.i. ΒP Boiling point

Decrease d

dc Decrease (significant)

Dose related DR Female Hb Haemoglobin

Increase П

Increase (significant) ic

М Male

N/A Not applicable

Relative to body weight Theoretical amount of CO2 THCO₂ Theoretical amount of CO2 TC02

Test substance TS Vapour pressure Water solubility V P w s

Robust Summaries were prepared by Manon Beekhuizen, Mieke van der Bruggen, Ineke Gubbels, Peter Jan Slangen, Marleen Teunissen, An Vanwormhoudt and Wendy van de Wiel.

Appendix 1 - Physico-Chemical Data for the Aliphatic Esters

GROUP A

No data available.

GROUP B

1.07

Title Determination of partition coefficient

Date of report March 30, 1994.

GLP No.

Test substance CAS: 122-62-3; purity not indicated.

Test method 92/69/EEC.

Procedure The shake-flask method (method A.8 of Commission Directive) was used.

 P_{ow} 5.55 x 1 0^3 at 21±0.5°C. Results

log Pow 3.74 Conclusion

The above mentioned was the only information available. Rev. note

Limited information Klimisch

criterium

GROUP C

1.08 Title

Thermodynamics of organic chemical partition in soils. 1. Development of a general

partition model and application to linear isotherms (from: Environ. Sci. Technol.)

Date of report 1994.

GLP No.

Test substance

CAS: 627-83-8 and 123-95-5, ethylstearate and n-butylstearate, purity not indicated.

Guidelines Not indicated.

Procedure A general thermodynamic partition model for organic carbon-based linear and non-

linear sorption from solution was formulated. By using appropriate concentration units in the solution and sorbed phases, the conventional Freundlich partition coefficient was found to be related to the aqueous-phase activity coefficient and sorbate solubility in the humic phase. The model could calculate molar volume, activity coefficients,

solubilities and Flory interaction parameters for stearate ester/PVC systems.

No SIDS-endpoint. Rev. note Klimisch 4 No SIDS-endpoint.

criterium

Title Solubility of plasticizers for XXXX sheeting.

Date of report January 26, 1982.

GLP . N

Test CAS 70729-68-9, purity not indicated

substance

Guideline Not specified.

Test System Flask Method (modified).

Test substance (30 mL) was shaken with 150 mL water for 30 minutes. After centrifuging (11,000 rpm) the aqueous layer was held overnight in a separatory funnel at 20 °C. 100 mL of the aqueous phase was extracted five times with 50 mL Freon®1 13 solvent and dried with anhydrous sodium sulphate for 2h. The extracted was concentrated, dried and weighed.

Results

| | Weight % test substance in water at 20 ${}^{\circ}\!\!C$ |
|----------|--|
| | CODE28 |
| Flask 1 | 0.126 |
| Flask 2 | 0.118 |
| A verage | 0.122 |

Conclusion

The solubility in water of CODE28 is 1.22 g/L

Rev. note

- 1. Shaking of the test substance was performed for only 30 minutes at unspecified temperature. Only two flasks were included with identical shaking intervals. Equilibration was only performed overnight. It cannot be excluded that saturation had not yet been reached after 30 minutes at the applied temperature nor that the solution was not equilibrated after one night. Furthermore, the shaking temperature may have been too high causing degradation of the test substance.
- Solubility was calculated on basis of the weight of the residue. As no analysis of the residue was performed, it cannot be excluded that impurities were included. Furthermore, the extraction method was not validated.
- 3. Minor remark: **pH** of the solutions was not determined.

Klimisch Criterium Solution may have been over- or under-saturated (note 1); Impurities may have been included (note 2)

1.10

Title Octanol/water partition coefficient and lipid solubility of CODE28 plasticizer,

Date of report December 4, 1981.

GLP .

DLP

Test substance CAS 70729-68-9, CODE28 (purity 88% in mixed esters)

Test method OECD 107 (1981)

Procedure

Octanol and water were mutually saturated with each other. Test substance (20.0 mg in 5 mL octanol) was mixed with octanol (total volume 5, 7 and 10 mL in flask A, B and C, resp.) and water (40, 38 and 35 mL in flask A, B and C, resp.) in duplicate vessels at 25°C. The mixtures were shaken for 15 min., centrifuged and reequilibrated to 25°C for 24h. Concentrations were determined using a gas

chromatograph.

Results

| Tiodalio | | | | | | |
|---------------------------------------|-------------------------|---------------------|-------------------------|---------------------|-------------------------|---------------------|
| Treatment | ΑI | A2 | B1 | B2 | CI | c2 |
| TS (mg) | 20 | 20 | 20 | 20 | 20 | 20 |
| Volume of octanol [mL] | 5 | 5 | 7 | 7 | 10 | 10 |
| Volume of water [mL] | 40 | 40 | 38 | 38 | 35 | 35 |
| Concentration in octanol phase [mg/L] | 3880 | 3940 | 2885 | 2875 | 1990 | 2020 |
| Concentration in aqueous nhase Ima/LI | 5. 57 | 4.97 | 3. 69 | 3.44 | 3.77 | 2.77 |
| Recovery [%] | 9 8 | 100 | 1102 | 101 | 100 | 101 |
| Pow | 7.0'10' | 7.9*10 ² | 7.8*10 ² | 8.4*10 ² | 5.3*10 ² | 7.3*10 ² |
| Average Pow±RSD | 7.4*10 ² ±9. | 5% | 8.1*10 ² ±4. | 9% | 6.3*10 ² ±22 | % |
| Average Pow±SD | 7.3*10 ² ±1. | 1*10 ² | | | | |
| <u>1</u> 0log(Pow) | 2.86 | | | | | |

Conclusion

log Pow 2.86 at 25°C

Rev. note

Minor remarks: Volume ratios of the 3 runs were very similar, but this will not influence the study. pH of the aqueous phases were not determined.

Klimisch criterium

GROUP D

No data available.

GROUP E

1.11

Title

Schedule II notification related studies for CAS: 126-57-8; 3. Melting (Pour) point.

Date of report

July 24, 1997. Nο.

GLP

Test substance

CAS: 126-57-8; trimethylolpropane tripelargonate, purity 100%.

OECD 102. Test method

Procedure

-4 mL (~4 g) CAS: 126-57-8 was placed in a 15 mL glass test tube. The tube was cooled in liquid nitrogen. The tube with frozen content was removed and allowed to warm in the air. Every 15 seconds the temperature was measured in the CAS: 126-57-8 (8 mm from bottom, centre). The test (cooling, warming) was repeated three times, now with the sample in horizontal position during warming to allow observation

of substance flow.

Results

The apparatus was calibrated with tap water. The pour temperature of water was

-4°C according to the test. Results for CAS: 126-57-8; see table below.

| | | Mean pour point [°C] |
|-----|---------|----------------------|
| -53 | -62 -68 | -61 |

Conclusion Rev. note

Pour point CAS: 126-57-8: -61±8°C.

- 1. The method used in the test was probably not accurate. The only information available about the accuracy was a validation of the system with tap water. The measured pour temperature was -4°C. The low value (-4°C instead of 0°C) could be partly due to impurities in the tap water, but is probably also related to the accuracy of the method. The study reliability is lowered.
- 2. Although it was stated that all laboratory work undertaken was done using Good Laboratory Procedures, no signed GLP statement was included in the report.

Klimisch criterium

Accuracy method.

1.12

Title Date of report Schedule II notification related studies for CAS: 126-57-8; 4. Partition coefficient; Pow July 24, 1997.

GLP

Nο.

Test substance Test method

CAS: 126-57-8; trimethylolpropane tripelargonate, purity 100%. Based on OECD 105.

Procedure

Based on water solubility results, it was assumed that the concentration of CAS: 126-57-8 in the aqueous phase of a P_{ow} experiment could not be determined with acceptable accuracy. The P_{ow} test was not performed and P_{ow} was estimated based on the solubilities of **CAS**: 126-57-8 in octanol and water.

n-Octanol and CAS: 126-57-8 (0.1-I 0 g/mL) were placed in six 4 mL glass vials and mixed for -1 hour at 23°C.

Results Conclusion

For all concentrations homogeneous (single phase) solutions were formed. Solubility in n-octanol and water were respectively >900 g/L and 8.4 mg/L (note 1). Log $P_{ow} > 2.8$ at 23 ± 1 °C.

Rev. note

- 1. Determination of solubility of CAS: 126-57-8 in n-octanol was based on visual (subjective) evaluation. No analyses were performed.
- This test can be used for the estimation of the $log(P_{ow})$ of CAS: 126-57-8. However, only the solubility of CAS: 126-57-8 in n-octanol was determined in this test. The water solubility was taken from another study. The partition of a mixture of water and n-octanol may be estimated by using the separate solubilities. It is clear from this report that most of the test substance will be found in the octanol-phase.
- 3. Although it was stated that all laboratory work undertaken was done using Good Laboratory Procedures, no signed GLP statement was included in the report.

Klimisch criterium

Accuracy method (note 1 and 2).

1.13

Title Test substance: CAS: 11138-60-6 Physical/chemical testing for CEPA regulations; 4.

Boiling point/range August 30, 1996.

Date of report

GLP

No.

Test substance

CAS: 11138-60-6; multicomponent mixture.

Test method

OECD 103.

Procedure

The test substance (10 mm) was put above an air layer (2 mm) in a sealed glass

Pasteur pipette and placed in a forced air oven at 305°C and 102 kPa.

Results

Movement of test substance was <5 mm, no colour change.

Conclusion

Boiling point >300°C at 102 kPa.

Rev. note

A modified method of Siwoloboff was used in this test. This method seems less

accurate than the original one.

Klimisch criterium 2 Accuracy method.

1.14 Title

Test substance: CAS: 11138-60-6 Physical/chemical testing for CEPA regulations; 8.

Partition coefficient; Kow

Date of report

August 30, 1996.

GLP Test substance No. CAS: 11138-60-6; multicomponent mixture.

Test method

Procedure

Mutually saturated n-octanol and ultrapure water were used in the test. The test was performed with 12 mL water and 6, 12 and 24 mL n-octanol; 300 µL of a solution of CAS: 11138-60-6 in acetonitrile (2.54 g/L) was added. A blank with 12 mL water and 12 mL n-octanol was included. After 21 min. of shaking (22°C), the solutions were centrifuged, the phases separated and analysed by GC-FID. In the water layer filtration and extraction with methyl t-butylether (2 mL) preceded the analyses with

GC-FID.

Results

CAS: 11138-60-6 was not found in any of the aqueous phases, indicating that its

concentration was less than the limit of detection of 0.3 µg/mL.

| Amount so | lution (mL) | Concentration C (μg/ | | | |
|-----------|-------------|-------------------------|---------------|------|-----------------------|
| Water | Octanol | aq. phase | octanol phase | Kow | log(K _{ow}) |
| 12 | 12 | <0.3 | 64 | >213 | >2.3 |
| 12 | 6 | <0.3 | 139 | >462 | >2.7 |
| 12 | 24 | co.3 | 28 | >92 | >2.0 |

Conclusion

 $log(K_{ow}) > 2.7$ at 22%.

Rev. note

Kow values are minima, as concentrations of CAS: 11138-60-6 in the aqueous phases were less than the detection limit.

Klimisch criterium

Test substance: CAS: 11138-60-6 Physical/chemical testing for CEPA regulations: Title

10. Solubility in water August 30, 1996.

Date of report **GLP**

Test substance

Nο. CAS: 11138-60-6; multicomponent mixture.

Test method

OECD 105

Procedure

The flask method was used. The solubility was determined in ultrapure water. 4 mL (-3.8 g) CAS: 11138-60-6 was added to 47 mL of solvent in a 50 mL vial (duplicate samples). The vials were shaken at 22±1 °C for 2.1 and 4.8 days. Following centrifugation, the water samples were sampled with a syringe, extracted with 2 mL of methyl t-butylether and the organic extracts were analysed by GC-FID. Concentration CAS: 11138-60-6 in test solutions after 2.1 and 4.8 days was

Results

respectively 0.44 and 0.51 mg/L

Conclusion Rev. note

Water solubility CAS: 11138-60-6: 0.48±0.14 mg/L at 22±1 °C.

CAS: 11138-60-6 was not a pure substance, although guideline addresses essentially pure substances.

The pH during the test was not reported. Since esters can be hydrolysed in

water and pH is an important factor in this, it is an important deficiency of the report. It was stated that visually no difference in volume of oil at the water surface was observed. This is not adequate.

It was stated that a statement of GLP compliance for this study was included in the APPENDIX. However the report that was received contained no APPENDIX.

The Method Detection Limit (statistical estimate of the minimum concentration of CAS: 11138-60-6 in water that could be detected with 90% confidence) was 0.5 μα/mL. The result of the report is rather close to this value.

Klimisch criterium Purity test substance not reported (note I), stability test substance questionable (note 2), result close to detection limit (note 4).

1.16 Title

Test substance: CAS: 11138-60-6 Physical/chemical testing for CEPA regulations;

12. Vapour pressure

Date of report

August 30, 1996.

GLP

No. CAS: 11138-60-6; multicomponent mixture. Test substance

OECD 104. Test method

Procedure

The isoteniscope method described in OECD 104 was used.

LOD: 13 Pa. Results

| Temperature [°C] | 20 | 25 | 50 | 100 | 150 | 200 | 250 | 300 | 350 | 375 |
|----------------------|-----|-----|-----|-----|-----|------|------|------|-------|-------|
| Vapour pressure [Pa] | <13 | <13 | <13 | 40 | 267 | 1107 | 3466 | 8666 | 21998 | 58662 |

Conclusion

Vaoour pressure at 25°C: <1 3 Pa.

Rev. note

The recommended range of vapour pressures using this method is 10^2 -1 0^5 Pa according to OECD 104. The vapour pressure of **CAS:** 11138-60-6 at temperatures below 150°C lies below this level. At 350°C decomposition of CAS: 11138-60-6 was observed. So part of the increase in vapour pressure at temperatures 350 and 375°C could be due to other compounds formed in this decomposition.

In the report is stated that above 670 Pa, the repeatability is -10%. Below this level no information is available in the report. Since OECD 104 recommends this method for vapour pressures in the range $1 0^2 - 10^5$ Pa also the value at 150°C is acceptable. Including also the decomposition of the test substance it can be concluded that in this test only values of vapour pressures between 150 and 300°C are reliable.

Klimisch criterium

Value at 25°C less reliable.

Title Calculation of log P for CAS: 11138-60-6.

Date of report

November 26, 1996.

GLP

Yes.

Procedure

Test substance CAS: 11138-60-6 (100% trimethylolpropane caprylate caprate)

Test method Test system

CLOGP Windows (Version 1 .0); fragment addition methodology.

The computer software program CLOGP estimates the log P value from the structure of the compound. As CAS: 11138-60-6 is likely to be a mixture of isomers, three chemical structures were chosen or considered

in calculating the log P: 1. The isomer in which the acid groups were straight-chain (i.e. no

branching). The isomer which had one branch in each of the acid groups (i.e. methyl branch at the penultimate or next to the last carbon).

The isomer which had two or more points of branching in the acid aroups.

The chemical structure drawing program "ChemDraw Pro" for Windows was used to draw the chemical structure and to get the SMILES notation of the three structural isomers. The latter was entered separately in CLOGP Windows and the log P values were calculated.

Reference chemicals were used to check on how well the CLOGP program agrees with log P values reported by A.J. Leo, 1993.

Results

For the CAS: 11138-60-6 isomer in which the acid groups were straight-chain, the log P value was 12.1. For the isomer which had one branch in each of the acid groups, the log P value was 11.7. For the isomer which had two or more points of branching in the acid groups, the log P value was 11 .1.

Most calculated log P values of the reference chemicals agreed well with log P values

reported by A.J. Leo, 1993.

Conclusion log P values range from 11 .1 to 12.1, depending on the degree of branching or non-

branching of the acid groups in the isomers.

The software program gives an estimation of the log P values and so they should be Rev. note

carefully evaluated. As the values are so unrealistically high, they might not be very

useful.

Klimisch Criterium

2

1.18

Title

Flashpoint, flammability and reactivity determination for CAS: 11138-60-6

Date of report October 7, 1996.

GLP

Yes.

Test substance

Test method

CAS: 11138-60-6; purity not indicated.
ASTM method D 93, US EPA SW-846 volume II, part 7.3.

Procedure

The flashpoint was measured using a Pensky-Marten closed cup tester. Reactivity of CAS: 11138-60-6 was determined by the measurement of hydrogen cyanide and

hydrogen sulphide evolved in a test according to EPA.

Results

CAS: 11138-60-6 did not flash within 24-77°C; No measurable quantities of hydrogen

cyanide and hydrogen sulphide were released during the reactivity test.

Conclusion Rev. note

CAS: 11138-60-6 is not flammable and released HCN and H2S were respectively <0.1 and <0.5 mg/kg.

Since no SIDS-endpoints were available in the report, only a minor summary of the

tests is included above.

Klimisch criterium

4 No SKIS-endpoints.

Title Schedule II notification related studies for **CAS**: 126-57-8; 6. Boiling point

Date of report

May 25, 1997. Yes.

GLP

Test substance Test method

CAS: 126-57-8; trimethylolpropane tripelargonate, purity 100%.

OECD 103.

Procedure

The test substance (40 mm) was put in a sealed glass Pasteur pipette and inserted

into the injection port of a gas chromatograph (T_{max} 314±5°C) at 102±1 kPa. No condensation of a significant amount of test substance (T<314°C) and no

significant bubbles were formed (314°C).

Conclusion

Results

Boiling point >300°C at 102±1 kPa.

Rev. note

A modified method of Siwoloboff was used in this test. This method seems less accurate than the original one.

GLP statement is signed by the study director. Although an external GLP auditor was mentioned, this person did not sign the GLP statement.

Klimisch criterium 2 Accuracy method (note 1)

1.20

Title Date of report Schedule II notification related studies for CAS: 126-57-8; 8. Solubility in water

May 25, 1997.

GLP

Test substance

Yes.

CAS: 126-57-8; trimethylolpropane tripelargonate, purity 100%.

OECD 105.

Test method Procedure

The solubility in water was determined using the flask method. 4 mL (~4 g) test substance was added to 45 mL ultrapure water in a 50 mL test tube. The test tubes were mixed on a rotary mixer (5 rpm) at 22-23°C [note 1] for 24, 70 and 139 hours. Following centrifugation and equilibration to room temperature (1 hour), TOC analysis (total carbon and total inorganic carbon content were determined from calibration curves) was performed for water samples. A blank sample (ultrapure water) was also run for 139 h.

Results See table below.

| 11000110 | COC LADIO DOIOTTI | | |
|-----------------|---------------------|--|---------|
| Mixing time [h] | TOC in water [mg/L] | CAS: 126-57-8 in water [mg/L] ¹ | RSD [%] |
| 24 | 6.5 | 9.1 | 2 |
| 70 | 6.0 | 8.5 | 2 |
| 139 | 6.0 | 8.4 | 1 |

TOC is assumed to be composed of only CAS: 126-57-6 [note 2].

Conclusion Rev. note

Water solubility CAS: 126-57-8: 8.4±0.1 mg/L at 23°C.

- 1. Nothing was said about temperature control during the test; only air temperature was reported. Temperature is an important factor in the water solubility of the test substance. There is no clear view of the temperature range during the study.
- The pH during the test was not reported. Since esters can be hydrolysed in water and pH is an important factor in this, it is an important deficiency of the report. Further only TOC analysis was performed, so it cannot be excluded that the measured concentration consisted partly of hydrolysates of **CAS**: 126-57-8.

 3. GLP statement is signed by the study director. Although an external GLP auditor
- was mentioned, this person did not sign the GLP statement.
- 4. It was suggested, based on results for a solubility standard, that the observed solubility of CAS: 126-57-8 was due to the dissolution of a relatively minor (<2%) component of CAS: 126-57-8, which was relatively more water soluble than the majority of CAS: 126-57-8. As the guideline is intended for pure compounds, the method may not be applicable to CAS: 126-57-8.

Klimisch criterium 3 Temperature control (note I), stability test substance (note 2), composition CAS: 126-57-8 (note 4).

1.21 Title

Schedule II notification related studies for CAS: 126-57-8; 10. Vapour pressure

Date of report May 25, 1997.

GLP

Nο.

Test substance CAS: 126-57-8; trimethylolpropane tripelargonate, purity 100%.

Test method

ASTM D2879-92, OECD 104.

Procedure The isoteniscope method described in OECD 104 was used.

Results LOD: 13 Pa.

| Temperature [°C] | 25 | 30 | 40 | 50 |
|----------------------|----|----|----|----|
| Vapour pressure [Pa] | 21 | 27 | 40 | 57 |

Conclusion Rev. note

Vapour pressure at 25°C: 21 Pa.

- 1. The recommended range of vapour pressures using this method was 10²-1 0⁵ Pa. The vapour pressure of **CAS**: 126-57-8 lies below this level. The repeatability of the test is not obvious. In OECD 104 is stated that the repeatability in the recommended range is 5-l 0%. For other ranges no information is available. Since all vapour pressures measured in this test were <100 Pa, the study reliability is lowered.
- 2. GLP statement is signed by the study director. Although an external GLP auditor was mentioned, this person did not sign the GLP statement. The GLP statement indicates that physical/chemical testing was conducted in accordance with OECD guidelines for GLP. However, this work was subcontracted to a laboratory that was not accredited as a facility that complies with GLP.

Klimisch criterium

2 Repeatability study not clear (note 1).

Appendix 2 - Environmental Fate Data and Pathways for the Aliphatic **Esters**

GROUP A

No data available.

GROUP B

2.01

Title

Biodegradation Studies of CAS: 16958-92-2 (Closed bottle test)

Date of report

October 13, 1986.

GLP

No.

Test substance Test method Test system

CAS: 16958-92-2; purity not indicated.

OECD 301D (1981).

CAS: 16958-92-2 was dissolved in the carrier 2,2,4,4,6,8,8-heptamethylnonane (HMN) (30 mg/ml). In the 1st experiment the test solution was added directly to the BOD bottle. In the 2nd experiment an emulsified test solution was added. Inoculum was obtained from a waste treatment facility. A few controls were run with each experiment:

- BOD medium + inoculum + test compound:
- BOD medium (without inoculum or test compound);
- BOD medium + inoculum;
- BOD medium + inoculum + HMN (0.1 ml);
- BOD medium + inoculum + naphthalene (2 mg C/I);

All test compounds and controls were prepared in sets of 6 replicates; the oxygen consumption was measured after 5. 15 and 28 days for 2 from each set. The bottles were incubated for 28 days. Readings were performed with the Winkler dissolved oxygen method. Determination of COD of CAS: 16958-92-2 (in duplicate) was performed at three concentrations. The percentage biodegradation of CAS: 16958-92-2 was based on COD and BOD values.

COD: $2.5 \text{ mg } O_2/\text{mg } \text{CAS: } 16958-92-2.$

Results

After 5/15/28 days incubation, values for degradation of (based on BOD and COD values):

- **CAS:** 16958-92-2: 1.3, 6.8 and 16% (not emulsified samples, 1st exp.);
- Positive control (naphthalene): 52, 61 and 69% (not emulsified samples, 1st exp.); **CAS:** 16958-92-2: 4.8, 19 and >23% (emulsified samples, 2nd exp.); Positive control (naphthalene): 54, 54 and 95% (emulsified samples, 2nd exp.);

No degradation of HMN was apparent. Higher rates of biodegradation in emulsified samples are probably due to the increased surface area on which micro-organisms can obtain growth substrate. Not readily biodegradable.

Conclusion Rev. note

- It is doubtful whether the positive control met the validity criteria for ready biodegradability (260% biodegradability within 14 days). If the positive control did not meet this criteria, this study is observed as less reliable, and in this case the test should be repeated.
- An insufficient number of CO₂ samples was taken. According to the guideline samples should be taken every second or third day during the first ten days and every fifth day until the 28th day.
- Conclusion drawn in the test report concerning "It is likely that . . . CAS: 16958-92-2 will be rapidly biodegradaded" is contrary to the OECD criteria of classifying a compound as 'readily biodegradable'.
- Overestimation biodegradation when using COD value.
- Minor remark: Temperature of incubation was not specified.

Klimisch criterium

Title Determination of the primary biodegradability of CAS: 16958-92-2 by the co-ordinating

European Council's CEC L-33-A-93 test **28** July 1997.

Date of report

GLP

No.

Test

CAS 16958-92-2 (purity not indicated)

substance Test method Test system

CEC L-33-A-93 (Biodegradability of two-stroke cycle outboard engine oils in water) **Treatments** For the test material:

- 1. six flasks with 150 ml CEC test medium + test/solvent solution (50 mg/l end concentration) + 1 ml inoculum.
- two poisoned flasks with 150 ml CEC test medium + test/solvent solution (50 mg/l end concentration) + 1 ml of 0.03M HgCl₂. For the reference material:
- 1. six flasks with 150 ml CEC test medium + reference/solvent solution (50 mg/l end concentration) + 1 ml inoculum.
- two poisoned flasks with 150 ml CEC test medium + reference/solvent solution (50 mg/l) + 1 ml of 0.03M HgCl₂. Additionally, two neutral flasks with 150 ml CEC test medium + 1 ml

Flasks with the reference material (CEC RL 130) were used as positive control. Abiotic degradation was determined in the poisoned flasks. The inoculum came from sewage collected at a municipal wastewater treatment plant.

Procedure

Extraction with 1 ,1,2-trichlorotrifluoroethane was performed on day 0 for the neutral flasks, 3 of the test and 3 of the reference flasks. The remaining flasks were incubated in a rotary incubator in the dark, at 26-27°C over a period of 21 days with continuous agitating (150 rpm). The primary biodegradation of the test and reference material was determined by quantitating the amount of unchanged material remaining in the flasks (2 poisoned flasks, 3 test and 3 reference flasks). This was done by infrared spectroscopy after extraction under acidic conditions. The absorbance of the C-H stretch at 2930 ± 10 cm⁻¹ (CH₂-CH₃ absorbance band) was measured. Primary degradability was expressed as the percent difference in residual oil contents between the poisoned flasks and the respective test flasks.

Results

After 21 days of incubation, there was a primary biodegradation of 99% of the test substance and 96% of the reference standard.

Conclusion Rev. note

Good primary biodegradability (99%).

- 1. Primary degradation is defined as the alteration in the chemical structure of a substance, brought about by biological action, resulting in the loss of a specific property of that substance. As only the absorbance of the C-H stretch (CH₂-CH₃ band) is documented, other degradation-paths are not included.
- 2. The results represent primary biodegradation and should not be interpreted to reflect ready biodegradation. As such, the study is not considered useful, but can be seen as supporting data.

Klimisch Criterium 3 Primary biodegradation (notes 1 and 2)

2.03 Title

Aerobic Aquatic Biodegradation Studies of the Synthetic Esters: CAS: xx, CAS: 16958-

92-2 and CAS: yy 22 January 1990.

Date of report

GLP

No.

Test substance

CAS: 16958-92-2: purity not indicated.

Test method Test system

EPA 44(53): A.451 (1979) with some modifications.

Inoculum: from activated sludge treatment at a Wastewater Treatment -Treatment Plant. Amount inoculum: not specified.

- 2 flasks Treated (medium + inoculum + CAS: 16958-92-2 (10 mg
- 2 flasks Positive Control (medium + inoculum + Rapeseed oil (10 ma C/I));
- 2 flasks Blank Control (medium + inoculum).

Procedure

Incubation was performed under continuous shaking (150 rpm) in 2L flasks. Inoculum and medium were treated and aerated for 28 days at 25±3 $^{\circ}$ C. The outcoming air was passed through one CO₂-trap containing 10 ml 0.2N KOH. Flask traps were sampled at I-7 day intervals, depending on microbial activity. The amount of CO2 was determined in the traps by backtitration with 0.2N I-ICI, after addition of Ba(Cl)₂ and phenolphthalein indicator. One day prior to the final sampling (day 27), the medium was acidified with 1 ml concentrated sulphuric acid.

Results

Biodegradation values for CAS: 16958-92-2 and positive control. Values Table below are corrected for blank control values.

| | | a.o 0011 | 00104 101 | DIGITIC COLLEGE | , valuos. | | | |
|------------------|-----|----------|-----------|-----------------|-----------|-----------------------|--------|----|
| | | | Mean % b | oiodegradation | on [% of | ThCO ₂] o | n day: | _ |
| Treatment | 0 | 2 | 5 | 8 | 12 | 16 | 21 | 28 |
| CAS: 16958-92-2 | 0.0 | 3.9 | 11 | 25 | 34 | 39 | 48 | 60 |
| Positive control | 0.0 | 21 | 46 | 58 | 65 | 69 | 72 | 74 |

CAS: xx and CAS: yy are no HPV chemicals and are therefore not included in the test results.

Conclusion

Not readily biodegradable.

Rev. note

- According to OECD guidelines the main criteria for ready biodegradability is the 1 O-day window. This test report refers to another criterion for ready biodegradability: >60% conversion to CO₂ in 28 days. This is not in accordance with the OECD guidelines. However, the conclusion of this summary is based on the OECD ready biodegradability criteria. Furthermore not enough CO2 samples were taken. According to the guideline samples should be taken every second or third day during the first ten days and every fifth day until the 28th day. As a result of this conclusions out of these data are not accurate.
- An unofficial positive control was used; however it did meet the validity criterion (260% degradation within 14 days).
- Test was not performed in darkness, which can influence the results due to possible photodegradation of the test substance.
- For CO: determination two or three absorber traps are normally used containing 100 ml base. In this test one absorber trap was used containing only 10 ml base, by which no ensurance can be given that all evolving CO₂ has been trapped. An overflow cannot be measured, but also cannot be excluded.
- Test report did not specify that CO2-free air was run through the test vessels during the test.

Klimisch criterium

Title CEC test for determination of biodegradability of CAS: 16958-92-2.

Date of repot-l

Nο.

23 November 1992.

GLP

CAS: 16958-92-2 (purity not indicated)

Test substance Test method Test system

CEC L-33-T-82 (Biodegradability of two-stroke cycle outboard engine oils in water). **Treatments** For the test material:

- six flasks with 150 ml CEC test medium + test/solvent solution (50 mg/l end concentration) + 1 ml inoculum
- two poisoned flasks with 150 ml CEC test medium + test/solvent solution (50 mg/l end concentration) + 1 ml of HgCl₂ (10g/l solution).
 For the reference material:
- 1. six flasks with 150 ml CEC test medium + reference/solvent solution (50 mg/l end concentration) + 1 ml inoculum
- 2. two poisoned flasks with 150 ml CEC test medium + reference/solvent solution (50 mg/l end concentration) + 1 ml of HgCl₂ (1 0g/l solution).

Additionally, two neutral flasks with 150 ml CEC test medium + 1 ml

Flasks with the reference material (CAS: zz) were used as positive control. Abiotic degradation was determined in the poisoned flasks. The supernatant of sewage collected at a municipal wastewater treatment plant was used as inoculum.

Procedure

Extraction with Freon 113 under acidic conditions was performed on day 0 for the neutral flasks, 3 of the test and 3 of the reference flasks. The remaining flasks were incubated in a rotary incubator in the dark, at 25±3°C over a period of 21 days with continuous agitating (100-200 rpm). The primary biodegradation of the test and reference material was determined by quantitating the amount of unchanged material remaining in the flasks (2 poisoned flasks, 3 test and 3 reference flasks) after 21 days. This was done by Fourier Transform Infrared Spectroscopy of the extracted test and reference solutions. The absorbance of the C-H stretch at 2930 cm⁻¹ (CH₂-CH₃ absorbance band) was measured. Primary degradability was expressed as the percent difference in residual oil contents between the poisoned flasks and the respective test flasks.

Results

After 21 days of incubation, there was a primary biodegradation of more than 95% of the test substance and 56% of the reference standard. Good primary biodegradability (> 95%).

Conclusion Rev. note

- No count was done of the colonies in the inoculum. The bacteria level of the inoculum should be ≥10⁶ CFU/mI according to the guideline. In the report no level is given
- 2. No mentioning whether incubation was performed in darkness. According to the guideline, the test should be run in darkness.
- Primary degradation is defined as "the alteration in the chemical structure of a substance, brought about by biological action, resulting in the loss of a specific property of that substance". As only the absorbance of the C-H stretch (CH₂-CH₃ band) is documented, other degradation-paths are not included.
- 4. The results represent primary biodegradation and should not be interpreted to reflect ready biodegradation. As such, the study is not considered useful, but can be seen as supporting data.

Klimisch criterium

3 Primary biodegradation (notes 3 and 4)

2.05 Title

Aerobic Biodegradation Study of CAS: 16958-92-2

Date of report

12 January 1993.

GLP

Test substance

No. CAS: 16958-92-2; purity = 100%.

Test method Test system

OECD 301 B; EPA 560/6-82-003 Treatment

- Inoculum: from activated sludge treatment at a Wastewater Treatment Plant. Amount inoculum 30 mg/l;
- 2 flasks Treated (medium + inoculum + CAS: 16958-92-2 (10 mg
- 2 flasks Positive Control (medium + inoculum + Rapeseed oil (10
- >1 flask Blank Control (medium + inoculum).

Procedure

Incubation was performed under continuous shaking in 2L flasks, containing 1 L of medium, test substance and/or inoculum. Inoculum and medium were not pre-acclimated before the test, but treated and aerated for 28 days at 25±3 °C with CO2-free air. The outcoming air was passed through one CO₂-trap containing 10 ml 0.2N KOH. Flask traps were sampled at I-7 day intervals, depending on microbial activity. The amount of CO₂ was determined in the traps by backtitration with 0.2N HCI, after addition of Ba(CI)₂ and indicator. One day prior to the final sampling (day 27), the medium was acidified with 1 ml concentrated sulphuric acid.

Results

Table below Biodegradation values for CAS: 16958-92-2 and positive control. Values are corrected for blank control values.

| | | Mean % biodegradation [% of ThCO₂] on day: | | | | | | | | | | | |
|------------------|-----|--|----|----|----|----|--|--|--|--|--|--|--|
| Treatment | 2 | 5 9 14 22 29 | | | | | | | | | | | |
| CAS: 16958-92-2 | 3.6 | 18 | 31 | 41 | 53 | 57 | | | | | | | |
| Positive control | 16 | 57 | 68 | 74 | 79 | 79 | | | | | | | |

Conclusion

Not readily biodegradable.

Rev. note

- Test was not performed in darkness, which will influence the results due to possible photodegradation of the test substance.
- For CO₂ determination two or three absorber traps are normally used containing 100 ml base. In this test just one absorber trap was used containing 10 ml base, by which no ensurance can be given that all formed CO2 can be trapped. An overflow of CO:! is expected when using one absorber trap.
- 3. CO₂ was trapped in potassium hydroxide in this test, but according to guideline 301 B it should be trapped in barium or sodium hydroxide. Backtitration was performed with 0.2N HCI instead of 0.05M HCI. These differences seem acceptable because it will not influence the results of the study.
- 4. In this test the reference compound used is rapeseed oil, which is not among the reference compounds advised to use by the guideline.
- 5. Minor remark: pH was not measured during the test.
- Minor remark: The test was performed at a temperature exceeding the temperatures normally used. Micro-organisms might be influenced by this difference.
- Minor remark: Amount of total CO₂ evolution in the inoculum blank was not indicated. For validity of the test this amount might normally not exceed 40 mg/l medium.
- Minor remark: It is not clear how many replicates for the blank control were used; but it does meet the criteria (at least in duplicate).

Klimisch criterium

3 Additional yeast was added!

2.06 Title

Test for inhibition of oxygen consumption by activated sludge (EU guideline

87/302/EEC) October 6, 1997.

Date of report

GLP

No.

Test substance CAS: 16958-92-2, purity 100%.

Test method Stat. method **Procedure**

87/302 EEC. Not indicated.

The test solution used in this study was an emulsification of the test substance with CAS: aa in water. The following treatments were included in the study:

- 3 treatment flasks (0.13, 1.3 and 13 g/L test substance/emulsifier (10/1 (w/w)) +
- 2 positive control flasks (3.2 and 32 mg/L 3,5-dichlorphenol + inoculum)
- 2 control flasks (only inoculum)
- 1 control flask (1.3 g/L emulsifier + inoculum)
- 1 abiotic control flask (only test substance (13 g/L) + emulsifier)

The inoculum used was activated sludge originated from a local sewage treatment plant. The oxygen consumption was measured after 3 hours at 20°C and pH 7.5.

Results

- No abiotic O₂ consumption
- Respiration rates in control flasks with only inoculum were identical.
- EC₅₀ for 3,5-dichlorophenol 26 mg/l (3-h contact).

Conclusions

3-h $EC_{50} > 13$ g/l.

Rev. note Limited report. No information about nutrient solution used, aeration during the study, method of measurements inhibition, results for emulsifier control flask.

2 Limited report.

Klimisch criterium

2.07 Title

Department of Aquatic Toxicology Assessment of Ready Biodegradability using the CO₂ Evolution Test (Modified Sturm Test)

Date of report

26 April 1994. No.

GLP

CAS: 122-62-3, purity ~ 100%. Test substance

Test method Test system Not specified

Treatment .

- Inoculum: from activated sludge from the aeration stage of a sewage treatment plant. Amount inoculum 10 ml/l (=1%).
- Blank control (medium + inoculum);
- Positive control (medium + inoculum + sodium benzoate (10 mg C/I));

Procedure

Treated (medium + inoculum + CAS: 122-62-3 (20 mg C/l)). Incubation was performed in darkness under continuous stirring in vessels. The inoculum and medium were pre-acclimated during 24 hours, and subsequently treated and aerated for 29 days at 21-22°C with CO_2 -free air. The outcoming air was passed through 2 consecutive CO_2 -traps containing 350 ml 0.05 M NaOH. The amount of CO_2 was determined in the traps in duplicate by analysis on a Total Carbon Analyser on several days. pH was measured on day 28 in both vessels (pH = 7.4).

Results

Table below Biodegradation values for CAS: 122-62-3 and positive control. Values are corrected for blank control values.

| | | Mean % biodegradation [% of ThCO ₂] on day: | | | | | | | | | | | | | |
|------------------|---|---|----|----|----|----|----|----|----|----|----|----|----|----|-----|
| Treatment | 1 | 2 | 3 | 6 | 8 | 10 | 12 | 14 | 16 | 20 | 22 | 24 | 27 | 28 | 29* |
| CAS: 122-62-3 | 0 | 3 | 10 | 29 | 38 | 49 | 55 | 55 | 59 | 60 | 60 | 64 | 66 | 65 | 66 |
| Positive control | 5 | 50 | 63 | 81 | 80 | 85 | 87 | 85 | 84 | 84 | | 89 | 90 | 87 | 87 |

• : Day 29 values corrected to include any carry-over of CO₂ detected in absorber 2 on Day 29.

Conclusion

Not readily biodegradable (did not pass the 1 O-day window criterium according to OECD guideline 301 B).

Rev. note

- 1. No replicates were used, which makes results less reliable.
- Test on toxicity control was performed according to OECD guideline 209; the test material did not exhibit any toxic effects on the inoculum at the concentrations employed in the test
- The test substance is supposed to be almost readily biodegradable; at day 3 the test substance was degraded for 10% and at day 13 for 55% (1 O-day window).

Klimisch criterium

2

2.08 Title

Determination of the biodegradability of "CAS: 28472-47-1" by CEC L-33-T-82.

Date of report

21 December 1993. No.

GLP

CAS: 28472-47-I (purity not indicated)

Test substance

Test method Test system

- CEC L-33-T-82 (Biodegradability of two-stroke cycle outboard engine oils in water). **Treatments** For the test material:
 - 1. nine flasks with medium + test/solvent solution (7.5 mg at the start) + inoculum. 2. four poisoned flasks with medium + test/solvent solution (7.5 mg at
 - the start) + 1 ml of HqCl₂ (1% solution).

For the reference materials:

- 1. nine flasks with medium + reference/solvent solution (7.5 mg at the start) + inoculum.
- 2. four poisoned flasks with medium + reference/solvent solution (7.5 mg at the start) + 1 ml of HqCl₂ (1% solution).

Additionally, neutral flask(s) with medium + inoculum.

Flasks with the reference materials (CAS: bb and CAS: cc) were used to determine positive control. Abjotic degradation was determined in the poisoned flasks. The filtrate of sewage, collected at a municipal wastewater treatment plant, was used as inoculum.

Procedure

Extraction with 1 ,1,2-trichlorotrifluoroethane under acidic conditions was performed on day 0 for the neutral flasks, 3 of the test and 3 of the reference flasks. The remaining flasks were incubated in the dark, at 20±1 °C with constant agitating. The primary biodegradation of the test and reference material was determined by quantitating the amount of unchanged material remaining in the flasks (2 poisoned flasks, 3 test and 3 reference flasks) at day 7 and day 21. This was done by Infrared Spectroscopy of the extracted test and reference solutions. The absorbance of the C-H stretch at 2931 cm⁻¹ (CH₂-CH₃ absorbance band) was measured. Primary degradability was expressed as the percent difference in residual oil contents between the poisoned flasks and the respective test flasks.

Results

After 7 days of incubation, 69% of test substance was biodegraded. For the reference material **CAS: bb,** this was 15.5%. For the reference material **CAS:** cc, this was 24%.

Conclusion Rev. note

Primary biodegradable (69% after 7 days).

- The report is limited: the mineral medium and the treatments were not described in detail.
- The guideline prescribes an incubation temperature of 25±1 "C. This study was performed at a temperature of 20±1 "C.
- 3. The calculations (residual oil content (%) and biodegradability (%)) do not follow the test guidelines. All the values given in the results are recalculated values.
- 4. Due to a failure in the test, the test results of the substance after 21 days were rejected (see page 14).
- 5. Primary degradation is defined as the alteration in the chemical structure of a substance, brought about by biological action, resulting in the loss of a specific property of that substance. As only the absorbance of the C-H stretch (CH₂-CH₃ band) is documented, other degradation-paths are not included.
- 6. The results represent primary biodegradation and should not be interpreted to reflect ready biodegradation. As such, the study is not considered useful, but can be seen as supporting data.

Klimisch criterium

3 Primary biodegradation (notes 5 and 6)

2.09 Title

Determination of 'ready' biodegradability: carbon dioxide (CO₂) evolution test (Modified Sturm Test) with **CAS**: 103-24-2.

Date of report 10 July 1998.

Yes.

GLP
Test substance
Test method

CAS: 103-24-2, purity ~ not indicated by sponsor OECD 301/B (1992), 92/69/EEC L383, C.4-C (1992)

Test system

Treatment - Inoculum: from activated sludge from

- Inoculum: from activated sludge from a municipal sewage treatment plant;
- Test suspension: duplicate test substance (12 mg C/I) + inoculum;
- 1 flask positive control: sodium acetate (11.7 mg C/I) + inoculum;
- 2 flasks blank control: inoculum + medium;
- 1 flask toxicity control: test substance (12 mg C/I) + sodium acetate (11.7 mg C/I) + inoculum; Amount inoculum 10 ml/I.

Procedure

Incubation was performed under continuous stirring in brown 2 L glass flasks containing 2000 ml of mineral solution with test substance and/or The inoculum, mineral compounds and deionized water were preacclimated during one night, and subsequently treated and aerated for 28 days at $20\pm2^{\circ}\text{C}$ with $\text{CO}_2\text{-free}$ air. The outcoming air was passed through 3 consecutive Cop-traps containing 100 ml 0.0125N Ba(OH)₂. The amount of CO_2 was determined in the traps by backtitration of residual Ba(OH)₂ after 2, 5, 7, 9, 14, 19, 23, 27 and 29 days. On the 28" day HCl was added to the bottles, whereafter final titration was performed.

Results Table below

Gives biodegradation values for CAS: 103-24-2 (two replicates), toxicity control and positive control. Values are corrected for blank.

| | Mean % biodegradation [% of ThCO ₂] on day: | | | | | | | | | | | | |
|-------------------|---|-----|-----|----|----|----|----|----|----|--|--|--|--|
| Treatment | 2 | 5 | 7 | 9 | 14 | 19 | 23 | 27 | 29 | | | | |
| CAS: 103-24-2 (A) | 1.1 | 7.8 | 9.5 | 25 | 53 | 70 | 81 | 84 | 89 | | | | |
| CAS: 103-24-2 (B) | 0.0 | 5.8 | 30 | 46 | 66 | 72 | 72 | 72 | 73 | | | | |
| Toxicity control | 0.0 | 6.1 | 20 | 33 | 57 | 71 | 75 | 78 | 79 | | | | |
| Positive control | 2.3 | 20 | 29 | 42 | 86 | 91 | 96 | 97 | 97 | | | | |

Conclusion Readily biodegradable.

Rev. note

No remarks.

Klimisch Criterium

2.10 Title Date of report GLP

Determination of the biodegradability of "CAS: 28472-97-1" by CEC L-33-T-82.

21 December 1993. \

Nο

Test substance Test method

Test system

CAS: 28472-97-I (purity not indicated)

Treatments

CEC L-33-T-82 (Biodegradability of two-stroke cycle outboard engine oils in water). For the test material:

- 1. nine flasks with medium + test/solvent solution (7.5 mg at the start) + inoculum.
- four poisoned flasks with medium + test/solvent solution (7.5 mg at the start) + 1 ml of HgCl₂ (1% solution).

For the reference materials:

- 1. nine flasks each with medium + reference/solvent solution (7.5 mg at the start) + inoculum.
- 2. four poisoned flasks each with medium + reference/solvent solution (7.5 mg at the start) + 1 ml of HgCl₂ (1% solution).

Additionally, neutral flask(s) with medium + inoculum. Flasks with the reference materials (CAS: bb and CAS: cc) were used to determine positive control. Abjotic degradation was determined in the poisoned flasks. The filtrate of sewage, collected at a municipal wastewater treatment plant, was used as inoculum.

Procedure

Extraction with 1 ,1,2-trichlorotrifluoroethane under acidic conditions was performed on day 0 for the neutral flasks, 3 of the test and 3 of the reference flasks. The remaining flasks were incubated in the dark, at 20±1 °C with continuous agitating. The primary biodegradation of the test and reference material was determined by quantitating the amount of unchanged material remaining in the flasks (2 poisoned flasks, 3 test and 3 reference flasks) at day 7 and day 21. This was done by Infrared Spectroscopy of the extracted test and reference solutions. The absorbance of the C-H stretch at 2931 cm⁻¹ (CH₂-CH₃ absorbance band) was measured. Primary degradability was expressed as the percent difference in residual oil contents between the poisoned flasks and the respective test flasks.

Results

Conclusion Rev. note

After 7 days of incubation, 72% of test substance was biodegraded. For the reference material CAS: bb, this was 15.5%. For the reference material CAS: cc, this was 24%. Primary biodegradable (72% after 7 days).

- The report is limited: the mineral medium and the treatments were not described in
- The guideline prescribes an incubation temperature of 25±1°C. This study was performed at a temperature of 20±1°C.
- The calculations (residual oil content (%) and biodegradability (%)) do not follow the test guidelines. All the values given in the results-section are recalculated values.
- Due to a failure in the test, the test results of the substance after 21 days were rejected (see page 14).
- 5. Primary degradation is defined as "the alteration in the chemical structure of a substance, brought about by biological action, resulting in the loss of a specific property of that substance". As only the absorbance of the C-H stretch (CH₂-CH₃ band) is documented, other degradation-paths are not included.
- 6. The results represent primary biodegradation and should not be interpreted to reflect ready biodegradation. As such, the study is not considered useful, but can be seen as supporting data.

Klimisch Criterium

3 Primary biodegradation (notes 5 and 6)

GROUP C

2.11

Title Ready Biodegradability: Modified Sturm Test, 40 CFR 796.3260 CAS: Mix of 67989-

24-6 and 70024-57-6

Date of report October 1992.

GLP No.

Test substance CAS: mix of 67989-24-6 and 70024-57-6; purity not indicated.

Test method Modified Sturm Test

Test system Treatment - Inoculum: from fresh activated sludge from a public owned treatment works. Microbial density 6.1'1 0³ CFU/ml:

1 flask Treated (medium + inoculum + mix of 67989-24-6 and 70024-57-6 (7.8 mg C/l));

 1 flask Treated (medium + inoculum + mix of 67989-24-6 and 70024-57-6 (15.6 mg C/l));

1 flask Positive Control (medium + inoculum + sodium acetate (20 mg/l acetate));

1 flask Negative Control (medium + inoculum).

Procedure

Incubation was performed in 3L test vessels containing medium, test substance and/or inoculum. Inoculum and medium were purged with CO_2 -free air during 24 hours. The test system, containing 4 vessels, was operated for 34 days at 21 ± 2^0C , under a constant gas flow. The outcoming air was passed through CO_2 -traps containing $Ba(OH)_2$ solutions. The amount of CO_2 produced during the course of the test was monitored.

Results Table below Biodegradation values for CAS: Mix of 67989-24-6 and 70024-57-6 (low and high concentrations) and positive control. Unclear whether

values were corrected for negative control values.

| | Mean % biodegradation [% of ThCO₂] on day: | | | | | | | | | | | | | | |
|------------------------------|--|-----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| Treatment | 0 | 2 | 5 | 7 | 9 | 12 | 15 | 18 | 22 | 25 | 28 | 30 | 32 | 34 | 37 |
| Test substance (7.8 mg C/l) | 0.0 | 5.1 | 23 | 39 | 42 | 49 | 58 | 64 | 68 | 68 | 68 | 69 | 72 | 72 | 73 |
| Test substance (15.6 mg C/l) | 0.0 | 7.2 | 27 | 48 | 53 | 60 | 67 | 72 | 76 | 77 | 78 | 79 | 80 | 80 | 82 |
| Mean value | 0.0 | 6.2 | 25 | 44 | 48 | 55 | 63 | 68 | 72 | 73 | 73 | 74 | 76 | 76 | 78 |
| Positive control | 0.0 | 18 | 33 | 46 | 50 | 55 | 67 | 77 | 83 | 83 | 85 | 85 | 86 | 86 | 87 |

Conclusion CAS: Mix of 67989-24-6 and 70024-57-6 is ready biodegradable.

Rev. note

- 1. Limited report, no information on:
- Light regime;
- Stirring regime;
- Amount of inoculum;
- pH regime;
- Test medium:
- Number of absorption bottles and the volume of Ba(OH)₂ used;
- The way of determination of CO₂-amount in the absorption traps:
- Amount of total CO₂ evolution in the inoculum blank.
- No replicates for treated flasks and inoculum blank flasks were used, which makes results less reliable.

Klimisch Criterium 2 Limited report.

Title Ready biodegradability: Modified OECD screening test according to OECD screening

September 6, 1991.

Date of report

GLP

Yes.

Test substance Test method Test system

CAS: 70729-68-g; Tetraethylene Glycol Diheptanoate (TGD); purity: 95%. OECD 301 E; EEC 79/831.

Treatment

Sample: mineral nutrient solution + inoculum + TGD (= 47.3 mg DOC/L);

Positive control: mineral nutrient solution + inoculum + sodium benzoate (= 20 mg DOC/L);

Blank control: mineral nutrient solution + inoculum. The amount of flasks was not indicated.

Procedure

Aliquots of a stock solution of the test substance (tested concentration 74.9 mg/l providing 47.3 mg DOC/L), inoculum from an treatment plant (secondary effluent) and mineral nutrient solution (1.5 mL) were mixed. Water was added to give a final volume of 1.5 L. The test mixture (it was not indicated that the test was performed in duplicate) was incubated at 22 ± 1 °C for 32 days being shielded from light (pH (t=0) 7.2-7.8). Aeration was accomplished by diffusion facilitated by shaking (120 rpm). Samples were taken on days 0, 7, 14, 21 and 28. For DOCdetermination, samples were centrifuged and analysed in duplicate for TC (total carbon) and IC (inorganic carbon), whereafter the DOC was calculated.

Biodegradation values for test article Tetraethylene Glycol Diheptanoate

Results Table below

(TGD) and positive control.

| | | | % biodegradation | on day: | |
|------------------|----|----|------------------|---------|-----|
| Treatment | 0 | 7 | 14 | 21 | 28 |
| TGD | 0 | 49 | 72 | 92 | 98 |
| Positive control | (0 | 91 | 91 | 90 | 100 |

Conclusion

Biodegradable.

Rev. note

- In the mineral nutrient solution two components were replaced by other components (MnCl₂ instead of MnSO₄; yeast extract instead of vitamin solution). However it is anticipated that this replacement will not influence the results.
- The DOC of test substance (47 mg DOC/L) exceeded the prescribed amount of 2. IO-40 ma DOC/L.
- No sufficient samples were taken in the 10-day window. However, this deviation seems acceptable since a high biodegradation percentage was reached in a very short time.
- The amount of flasks used for each test solution was not indicated. It is anticipated that no duplicates were used. This results in a less reliable study.
- No information on the concentration of secondary effluent was given; should be 0.5 mL/L mineral medium.

Klimisch Criterium 2 Note 4 and 5.

GROUP D

2.13

Title

Biodegradability of "CAS: 1338-43-8"

Date of report May 4, 1988.

GLP

No.

Test substance

CAS: 1338-43-8, purity nor indicated.

Guideline Test system Not indicated. Not specified.

Results

Residual organic carbon at end of the test: CAS: 1338-43-8, 98.2%; Aniline, 97.4%.

| | | Mean % b | iodegradatior | [% of COI |)] on day: | |
|----------------------------|----|----------|---------------|-----------|------------|----|
| Treatment | 5 | 10 | 15 | 20 | 25 | 28 |
| CAS: 1338-43-8 | 29 | 43 | 54 | 56 | 61 | 62 |
| Positive control (Aniline) | 39 | 46 | 56 | 61 | 64 | 66 |

Conclusion Rev. note Not readily biodegradable

- 1. Only the result of a biodegradability study was available.
- Study seems not valid, positive control shows only 56% degradation after 15 days (OECD 301, ≥60% within 14 days).
- COD is used instead of ThOD. When using the COD the biodegradability can be overestimated.

Klimisch criterium

4 Incomplete report (note 1), validity (note 2).

2.14

Title CAS: 1338-39-2: Biodegradability

Date of report March 22, 1984.

GLP Ye

Yes.

Test substance CAS: 1338-39-2; purity ~ not indicated.

Test method OECD

OECD **301C** (1981).

Test system

Treatment - Inoculum: activated sludge;

- 1 flask Treated (medium + inoculum + CAS: 1338-39-2 (62 mg C/I))

1 flask Positive Control (medium + inoculum + aniline).

Procedure

The test substance was stirred in an aqueous medium (100 mg/l) with activated sludge (30 mg/l) for a period of 28 days. During this period BOD was measured and at the end of the period the level of organic

carbon, remaining in the aqueous phase, was measured.

Results

Table below Gives biodegradation values for CAS: 1338-39-2 and positive control. Values are not corrected for blank values.

| | | Mean | % biodegra | dation [% of | COD] on day: | |
|------------------|----|------|------------|--------------|--------------|----|
| Treatment | 5 | 10 | 15 | 20 | 25 | 28 |
| CAS: 1338-39-2 | 51 | 56 | 59 | 60 | 59 | 60 |
| Positive control | 39 | 46 | 56 | 61 | 64 | 66 |

Conclusion Not readily biodegradable, but significantly biodegradable (failed the IO-day window).

Rev. note

- 1. The positive control did not reach the pass level of 60% degradation by day 14, which causes the study to be invalid. The test substance was shown to be more biodegradable than the positive control.
- According to OECD guidelines the main criteria for ready biodegradability is the 1 O-day window. This test report refers to another criterion for ready biodegradability: >60% conversion to CO₂ in 28 days. This is not in accordance with the OECD guidelines; this summary is based on the OECD guidelines.
- 3. Incomplete description, no information on:
- Which amount and which source of inoculum were used;
- Which concentration of aniline in positive control was used;
- Which medium was used.
- Replicates, which makes results less reliable and does not meet the criteria as mentioned in OECD 301 guidelines.
- pH of the contents of the bottles at the end of the test. pH values of treated flask was not adjusted before inoculation.
- Performance of a few observations, as described in OECD guidelines (e.g. colour changes of contents in vessel).
- Test was not performed in darkness, which might influence test results due to possible photodegradation of the test substance and is therefore less reliable.
- Two tests have not been performed, which are requested in the guidelines: i) test substance + water + inoculum and ii) medium + inoculum. These make the test incomplete.

Klimisch criterium 4 Instead of the ThCO₂ the COD was used. Although this is acceptable, this results in an overestimation of the biodegradation value.

GROUP E

2.15

Title Biodegradability Test for Synthetic Esters

Date of report 1987.

GLP Not specified.

CAS: 14450-05-6; CAS: 126-57-8, purity not indicated. Test substance

Not indicated. Test method

Treatment Not indicated. Test system

> Procedure Various synthetic esters were tested for their biodegradability, using a

> > test sequence that began with the creation of biomass using sucrose and municipal wastewater. Subsequently the micro-organisms were adapted to the concerning test substances. Finally the ester was tested with the micro-organisms. The test was carried out in batches for seven

days at 20 \pm 0.2 $^{\circ}$ C in the dark.

Rev. note No conclusion and no results were included in this summary, due to the poor test

description in the report.

In addition, the test itself was performed very poorly: The test was only performed for 7

days, instead of (at least) 28 days and adapted micro-organisms were used.

Klimisch

Criterium

2.16

Aerobic Biodegradation Study of CAS: 67762-53-2; 67762-52-I Title

Date of report May 18, 1992.

GLP No.

Test substance

CAS: 67762-53-2; 67762-52-I ; purity not indicated.

OECD 301 B; EPA 560/6-82-003 Test method

Test system Treatment -Inoculum: prepared from soil and from activated sludge obtained

from a municipal treatment plant (25 ml).

2 flasks Treated (modified medium + inoculum + CAS: 67762-53-2; **67762-52-I** (10 mg C/I));

2 flasks Positive Control (modified medium + inoculum + Rapeseed

oil (10 mg C/l));

2 flasks Blank Control (composition not specified; rev. note);

In addition, each flask received 1 ml of yeast extract solution.

Procedure

Incubation was performed under continuous shaking in 2L flasks. Inoculum was not pre-acclimated before the test, but treated and aerated at 25±3 °C with CO2-free air. The outcoming air was passed through one CO_2 -trap containing 10 ml 0.2N KOH. Flask traps were sampled at 1-7 day intervals, depending on microbial activity. The amount of CO2 in the traps was determined by backtitration with 0.2N HCI, after addition of BaCl₂ and indicator. One day prior to the final sampling, the medium was acidified with 1 ml concentrated sulphuric

acid.

Results Table below Biodegradation values for CAS: 67762-53-2; 67762-52-1 and positive control. Values are corrected for blank control values.

| | | Mean | % biodegrad | lation [% of | ThCO2] o | n day: | |
|--------------------------------|-----|------|-------------|--------------|----------|--------|----|
| Treatment | 2 | 5 | 9 | 15 | 21 | 28 | 33 |
| CAS: 67762-53-2; 67762-52-l | 0.3 | 1.6 | 1.6 | 2.6 | 2.6 | 5.2 | 12 |
| Positive control | 23 | 62 | 77 | a2 | a4 | a4 | a4 |

Conclusion

Not readily biodegradable.

Rev. note

- 1. An insufficient number of CO2 samples was taken. According to the guideline samples should be taken every second or third day during the first ten days and every fifth day until the 28h day.
- 2. CO₂ was trapped in potassium hydroxide in this test, but according to guideline 301 B it should be trapped in barium or sodium hydroxide. Backtitration was performed with 0.2N HCI instead of 0.05M HCI. These deviations seem acceptable as they are expected not to influence the results of the study.
- 3. Test was not performed in darkness, which will influence the results due to possible photodegradation of the test substance.
- 4. For CO2 determination two or three absorber traps are normally used containing 100 ml base. In this test just one absorber trap was used containing 10 ml base, by which no ensurance can be given that all formed CO2 can be trapped. Breakthrough of CO_2 cannot be excluded when using only one absorber trap. In this test the reference compound used is rapeseed oil, which is not among the
- reference compounds advised to use by the guideline.
- Amount of total CO2 evolution in the blank control was not indicated. For validity of the test this amount might normally not exceed 40 mg/l medium. Blanks were not described in the report.
- 7. The test was not performed with a toxicity control; acceptable in worst case
- Yeast is added in this test. Since yeast is bio-active, it is not acceptable.
- Soil inoculum defined as soil #104. No further information included in report.
- 10. Minor remark: pH was not measured during the test.
- 11. Minor remark: The test was performed at a temperature exceeding the temperatures as indicated by the guideline. Activity of micro-organisms may be influenced by this difference.

Klimisch criterium

3

2.17

Title

Aerobic Biodegradation Study of CAS: 11138-60-6 February 3, 1993.

Date of report

GLP

Test substance Test method

CAS: 11138-60-6; purity = 100%. OECD 301 B; EPA 560/6-82-003

Test system

- Treatment -Inoculum: from activated sludge treatment at a Wastewater Treatment
 - 2 flasks Treated with low concentration (medium + inoculum + CAS: **11138-60-6** (10 mg C/l));
 - 2 flasks Treated with high concentration (medium + inoculum + CAS: **11138-60-6 (20** mg C/l));
 - 2 flasks Positive Control (medium + inoculum + sodium benzoate (20 mg C/l));
 - 2 flasks Blank Control (medium + inoculum).

Procedure

Incubation was performed under continuous shaking in 2L flasks, containing 1L of medium, test substance and/or inoculum. Inoculum and medium were not pre-acclimated before the test. They were treated and aerated for 28 days at 25±3°C with CO₂-free air. The outcoming air was passed through one CO₂-trap containing 10 ml 0.2N KOH. Flask traps were sampled at I-7 day intervals, depending on microbial activity. The amount of CO2 was determined in the traps by backtitration with 0.2N HCI, after addition of Ba(Cl)2 and indicator. One day prior to the final sampling (day 27), the medium was acidified with 1 ml concentrated sulphuric acid.

Results

Table below Biodegradation values for CAS: 11138-60-6 (low and high concentrations) and positive control. Values are corrected for blank control values.

| | Mean % biodegradation [% of ThCO₂] on day: | | | | | | | | |
|------------------------------------|--|----|----|----|----|----|--|--|--|
| Treatment | 2 | 5 | 9 | 14 | 21 | 27 | | | |
| CAS: 11138-60-6 (10 mg C/l) | 5.0 | 34 | 53 | 58 | 64 | 67 | | | |
| CAS: 11138-60-6 (20 mg G/l) | 6.7 | 38 | 54 | 58 | 62 | 64 | | | |
| Positive control | 47 | 77 | 83 | 84 | 87 | 90 | | | |

Conclusion

Not readily biodegradable.

Rev. note

- Test substance is biodegradable and almost meets the 1 O-day window criteria (almost readily biodegradable). Yeast is added in this test. Since yeast is bio-active, it is not acceptable.
- Test was not performed in darkness, which will influence the results due to possible photodegradation of the test substance.
- 4. For CO₂ determination two or three absorber traps are normally used containing 100 ml base. In this test just one absorber trap was used containing 10 ml base, by which no ensurance can be given that all arising CO2 can be measured. An overflow of CO2 is expected when using only one absorber trap.
- The amount of inoculum used has not been specified; it cannot be concluded whether the concentration used meets the guideline criteria. CO_2 was trapped in potassium hydroxide in this test, but according to guideline 301 B
- it should be trapped in barium or sodium hydroxide. Backtitration was pet-formed with 0.2N HCl instead of 0.05M HCl. These differences seem acceptable because it will not influence the results of the study.
- Minor remark: pH was not measured during the test.
- 8. Minor remark: The test was performed at a temperature exceeding the temperatures normally used. Micro-organisms might be influenced by this difference.
- 9. Minor remark: Amount of total CO2 evolution in the inoculum blank was not indicated. For validity of the test this amount might normally not exceed 40 mg/l medium.
- 10. Minor remark: Test medium deviates from OECD quideline. Two additional solutions were used. These deviations are not expected to make the results less reliable.

Klimisch Criterium

3 Additional yeast was added!

Title CEC test for biodegradation study of CAS: 11138-60-6.

Date of report April 12, 1994.

GLP No.

Test CAS: 11138-60-6 (purity not indicated)

substance

Test method Test system CEC L-33-T-82 (Biodegradability of two-stroke cycle outboard engine oils in water). **Treatments** For the test material:

- nine flasks with 150 ml CEC test medium + test/solvent solution (50 mg/l end concentration) + 1 ml inoculum
- four poisoned flasks with 150 ml CEC test medium + test/solvent solution (50 mg/l end concentration) + 1 ml of HgCl₂ (10g/l solution).
 For the reference material:
- 1. nine flasks with 150 ml CEC test medium + reference/solvent solution (50 mg/l end concentration) + 1 ml inoculum
- four poisoned flasks with 150 ml CEC test medium + reference/solvent solution (50 mg/l end concentration) + 1 ml of HgCl₂ (1 0g/l solution).

Additionally, two neutral flasks with 150 ml CEC test medium + 1 ml inoculum.

Flasks with the reference material **(CAS: zz)** were used as positive control. Abiotic degradation was determined in the poisoned flasks. The supernatant of mixed liquor, collected at a municipal wastewater treatment plant, was used as inoculum.

Procedure

Extraction with Freon 113 under acidic conditions was performed on day 0 for the neutral flasks, 3 of the test and 3 of the reference flasks. The remaining flasks were incubated in a rotary incubator, at 25±3°C with continuous agitating (150 rpm). The primary biodegradation of the test and reference material was determined by quantitating the amount of unchanged material remaining in the flasks (2 poisoned flasks, 3 test and 3 reference flasks) at day 7 and day 21. This was done by Fourier Transform Infrared Spectroscopy of the extracted test and reference solutions. The absorbance of the C-H stretch at 2930 cm⁻¹ (CH₂-CH₃ absorbance band) was measured. Primary degradability was expressed as the percent difference in residual oil contents between the poisoned flasks and the respective test flasks.

Results

After 7 days of incubation, more than 95% of the test substance was biodegraded. For the reference material, 61% was biodegraded in 21 days. Good primary biodegradability (> 95%).

Conclusion Rev. note

- No count was done of the colonies in the inoculum. The bacteria level of the inoculum should be ≥10⁶ CFU/ml according to the guideline. In the report no level is given.
- 2. No mentioning was done whether incubation was performed in darkness. According to the guideline, the test should be run in darkness.
- 3. Primary degradation is defined as "the alteration in the chemical structure of a substance, brought about by biological action, resulting in the loss of a specific property of that substance". As only the absorbance of the C-H stretch (CH₂-CH₃ band) is documented, other degradation-paths are not included.
- 4. The results represent primary biodegradation and should not be interpreted to reflect ready biodegradation. As such, the study is not considered useful, but can be seen as supporting data.

Klimisch criterium

3 Primary biodegradation (notes 3 and 4)

2.19 Title

Test substance: **CAS: 11138-60-6** Physical/chemical testing for CEPA regulations; 3. **Adsorption/desorption**.

Date of report GLP

August **30, 1996.** No.

Test substance Test method Procedure

CAS: 11138-60-6; multicomponent mixture.

OECD 106.

Three soils (pH 5.1, 3% clay content, 1.9% organic matter; pH 5.7, 25% clay content, 0.4% organic matter; pH 9.0, 30% clay content, 7.8% organic matter) were tested. Equilibration was performed with 2.0 gram of soil and 10 mL of 0.01 M aqueous calcium chloride solution for 24 hours at 22°C (triplicate samples in polypropylene tubes). A spike solution of the test substance in acetonitrile was made (254.0 μ g/mL). Definitive test

10.0 μL of spike solution was added to two samples per soil, while the third sample was not spiked to serve as blank. The contents of the tubes were mixed for 16 hours, then centrifuged and the supernatants were decanted. After addition of calciumchloride solution and resuspension of the soil, the contents were mixed for 22.2 hours, centrifuged and the supernatant decanted. This procedure was repeated once, with a mixing time of 23.6 hours. Supernatants were kept in the freezer until analysis. The calcium chloride solutions sampled were extracted with 2 mL of methyl t-butyl ether and one μL of the extract was analysed by GC-FID.

In addition, during the adsorption step, quantitation standards were run along containing 10 mL calcium chloride solution and various amounts (2-I 0 μ L) of spike solution. The temperature of the experiment was 22 ±1 °C.

The lowest detectable concentration of **CAS:** 11138-60-6 was estimated at 0.1 μ g/mL. The linear regression of the calibration curve was 0.95.

No CAS: 11138-60-6 was detected in the adsorption solutions and in the two desorption solutions for the three soils tested. Therefore, > 61% of CAS: 11138-60-6 adsorbed to the three soils and < 39% of the adsorbed CAS: 11138-60-6 desorbed from the three soils.

Conclusion Rev. note

Results

- > 61% adsorbed to the three soils and < 39% desorbed from the three soils.
- The two desorption steps of the study should have lasted only 16 hours each instead of 22.2 and 23.6 hours. However, as after this prolonged desorption time still no desorption could be detected above the limit of detection, this deviation from the guideline is acceptable.
- No information was given whether the soils were sieved prior to use. It was stated in the report that further soil characterisation data were in the APPENDIX. However, the report submitted to the reviewer did not contain an APPENDIX.
- 3. No analysis was performed to establish the stability of the test substance under the test conditions (at the end of the experiment). As the test substance is an ester that is put into contact with acidic and basic soils, hydrolysis may be expected. No mass balance was established either (although this is only required for an advanced test). Thus, the apparent high degree of adsorption may also have been caused by the fact that the test substance was destroyed.
- 4. Possible adsorption of the test substance to the container walls was not addressed. Although (one of) the quantitation standards could have served as control sample, they were not used as such.

Klimisch criterium

3 Stability of test substance under test conditions questionable (note 3).

Title Test for inhibition of oxygen consumption by activated sludge (EU guideline 87/302/FFC)

Date of report

October 6, 1997.

GLP

Test substance

CAS: 11138-60-6, purity 100%.

Test method Stat. method 871302 EEC. Not indicated.

Procedure

The test solution used in this study was an emulsification of the test substance with Tween 80 in water. The following treatments were included in the study:

- 3 treatment flasks (0.13, $1.\overline{3}$ and 13 g/L test substance/emulsifier (10/1 (w/w)) + inoculum)
- 2 positive control flasks (3.2 and 32 mg/L 3,5-dichlorophenol + inoculum)
- 2 control flasks (only inoculum) 1 control flask (1.3 g/L emulsifier + inoculum)
- 1 abiotic control flask (only test substance (13 g/L) + emulsifier)

The inoculum used was activated sludge originated from a local sewage treatment plant. The oxygen consumption was measured after 3 hours at 20°C and pH 7.5.

Results

- No abiotic O₂ consumption
- Respiration rate in control flasks with only inoculum were identical.
- EC_{50} for 3,5-dichlorophenol 26 mg/l (3-h contact).

Conclusions Rev. note

3-h $EC_{50} > 13$ g/l.

Limited report. No information about nutrient solution used, aeration during the study,

method of measurements inhibition, results for emulsifier control flask.

Klimisch criterium 2 Limited report (note 1).

2.21

Determination of the Aerobic Ready Biodegradability of CAS: 11138-60-6 using the Title

OECD 301 B CO:! Evolution (Modified Sturm) Test Method

Date of report

November 26, 1996. GLP

Test substance Test method

CAS: 11138-60-6, trimethylolpropane caprylate caprate), purity ~ 100%.

OECD 3018 (1992), 92/69/EEC L383, C4 (1992).

Test system

- Treatment . Inoculum: from activated sludge from a municipal wastewater treatment plant. Amount inoculum 7 ml/l.
 - Treated (2 flasks): medium + inoculum + test substance (20 mg C/l);
 - Positive control (2 flasks): medium + inoculum + sodium benzoate (20 mg C/I);
 - Blank control (2 flasks): inoculum (without test- and control substance).

Procedure

Incubation was performed under continuous stirring in 4 L glass bottles containing 3000 ml of medium with test substance and/or inoculum. The inoculum was not pre-acclimated. It was treated and aerated for 28 days in the dark at 23-24°C with CO₂-free air. The outcoming air was passed through 3 consecutive absorber CO₂-traps containing 0.0126 M Ba(OH)₂. CO₂ was determined in the traps by backtitration of residual Ba(OH)₂ with standardised 0.05 M HCl after 1, 3, 5, 6, 10, 14, 21 and 28 days. On day 29 determination of the amount of carbon dioxide evolved in the remaining trap bottles was carried out.

Results Table below Gives biodegradation values for CAS: 11138-60-6 and positive control, corrected for blank (average of 2 Sturm bottles).

| | contocod for blank favorage of 2 Stann betacon | | | | | | | | | |
|------------------|--|----|-----------|----------------|---------|--------------------------|----|---|--|--|
| | | Me | ean % bio | degradation [% | of ThCC | O ₂] on day: | | | | |
| Treatment | 11 | 3 | 6 | 10 | 14 | 21 | 28 | | | |
| CAS: 11138-60-6 | 0.0 | 11 | 29 | 45 | 54 | 61 | 76 | | | |
| Positive control | 5.9 | 26 | 46 | 65 | 71 | 75 | 78 | _ | | |

Not readily biodegradable (failed the _1_0-day window), but significant degradation. Conclusion

Rev. note Klimisch

No remarks.

Criterium

2.22 Title

GLP

Date of report

Comparison of the Ready and Ultimate Biodegradability of Seven Oleochemicals November 20, 1995.

No.

Test substance

CAS: 11138-60-6 (Trimethylolpropanetriester of C8/C10 (1 :1) fatty acids), purity not applicable.

Test method Test system Sealed Vessel Test based on OECD 301 B (1981).

Inoculum: secondary effluent from an unacclimatised activated sludge plant at URL North. Amount inoculum: 10 v/v%;

12 flasks Treated (medium + inoculum + CAS: 11138-60-6, (9.98 mg C/l):

mg C/I); 12 flasks Blank Control (medium + inoculum);

 12 flasks Positive Control (medium + inoculum + sodium benzoate (10 mg C/l)).

Procedure

Treatment

Incubation was performed during 28 days on a rotary shaker at 20°C (17-24°C) in sealed vessels (160 ml), containing 100 ml mineral medium and inoculum. At 3-4 day intervals during the test period a vessel was removed, whereafter the concentration of carbon dioxide in the headspace gas was determined and also the concentration of inorganic carbon in the test medium. Analysis of both headspace gas and the liquid medium was performed with an inorganic Carbon Analyser. On day 3, 7, 10, 14, 17, 21 and 24 one vessel per treatment was analysed. On day 28, five vessels were analysed.

Results Table below

Gives biodegradation values for CAS: 11138-60-6 and positive control. Values are corrected for blank.

| | | Mea | ın % biod | egradation | [% of Th | CO ₂] on c | day: | |
|------------------|----|-----|-----------|------------|----------|------------------------|------|----|
| Treatment | 3 | 7 | 10 | 14 | 17 | 21 | 24 | 28 |
| CAS: 11138-60-6 | 16 | 38 | 61 | 77 | 93 | 69 | 78 | 75 |
| Positive control | 55 | 86 | 93 | 95 | 101 | 97 | 106 | 97 |

Conclusion

Readily biodegradable.

Rev. note

- 1. It cannot be concluded whether the following experimental conditions meet the guideline criteria, as the test report does not specify them:
- medium used;
- whether the test was performed in darkness. When not performed in darkness, photodegradation of the substances might take place.
- 2. Minor remark: Variation in temperature was too wide, which makes results less reliable
- 3. Minor **remark:** Vessels used were too small; volume of a vessel should be at least 2L.

Klimisch Criterium

2.23 Title

Determination of 'ready' biodegradability: carbon dioxide (CO₂) evolution test (Modified Sturm Test) with CAS: 57675-44-2 and analytical chemical comparison of CAS: 57675-

44-2 with CAS: dd and CAS: ee

Date of report

December 5, 1995.

GLP

Yes.

Test substance

CAS: 57675-44-2; purity not indicated (treated as 100% pure in this test).

Test method

OECD 301/B (1992), 92/69/EEC L383, C.4-C (1992)

Test system Treatment

- Inoculum: from activated sludge from a municipal sewage treatment plant. Amount inoculum 10 ml/l.
- 2 flasks Treated (medium + inoculum + test substance (15 mg C/l));
- 1 flask Positive control (medium + inoculum + sodium acetate (11.7 mg C/l));
- 2 flasks Blank control (medium + inoculum);
- 1 flask Toxicity control (medium + inoculum + test substance (15.4 mg C/I), sodium acetate (11.7 mg C/I)).

Procedure

Incubation was performed under continuous stirring in brown 2 L brown glass bottles. The inoculum and medium were pre-acclimated during one night, and subsequently treated and aerated for 28 days at 21-23°C with CO2-free air. The outcoming air was passed through 3 consecutive Cop-traps containing 100 ml 0.0125N Ba(OH)2. The amount of CO2 was determined in the traps by backtitration of residual Ba(OH)2 with 0.05 M HCl after several days. On the 28" day HCl was added to the bottles, whereafter final titration was performed on day 29. pH was monitored just before the start of the test and on day 28 and varied from 7.4 to 8.0.

Results

Table below

Gives biodegradation values for CAS: 57675-44-2 (two replicates (A, B)), toxicity control and positive control. Values are corrected for blank.

| | Mean % biodegradation [% of ThCO ₂] on day: | | | | | | | | | |
|---------------------|---|-----|----|----|----|----|----|----|----|----|
| Treatment | 3 | 5 | 7 | 10 | 14 | 17 | 21 | 24 | 27 | 29 |
| CAS: 57675-44-2 (A) | 4.1 | 9.6 | 19 | 29 | 56 | 67 | 75 | 78 | 80 | 88 |
| CAS: 57675-44-2 (B) | 6.3 | 15 | 22 | 33 | 58 | 65 | 71 | 74 | 76 | 82 |
| Toxicity control | 4.0 | 8.3 | 22 | 32 | 48 | 57 | 63 | 67 | 68 | 70 |
| Positive control | 19 | 35 | 50 | 62 | 70 | 73 | 76 | 81 | 84 | 97 |

Conclusion

Not readily biodegradable (failed the 1 O-day window), but significant degradation.

Rev. note

- CAS: 57675-44-2 was found to be not inhibitory in the toxicity control.
- Minor remark: slight deviation in temperature; no influence on results of test expected.

Klimisch Criterium

2.24 Title

Ready Biodegradability: Modified Sturm Test with CAS: 126-57-8.

Date of report

August 8, 1991.

GLP

Yes.

Test substance

CAS: 126-57-8, purity ~ 100%.

Test method

OECD 301/B (1981), 84/449/EEC L251, C5 (1984)

Test system Treatment

- Inoculum: from activated sludge from a municipal sewage treatment plant. Amount inoculum 10 ml/l (1%).
- Treated (medium + inoculum + test substance (low: 7,1 mg C/l and high: 14,3 mg C/l).
- Positive control (medium + inoculum + sodium acetate (20 mg/l 0 5.9 mg C/l));
- Blank control (medium + inoculum (without test- and control substance)).

Procedure

Incubation was performed under continuous stirring in brown 3 L glass flasks containing 3000 ml of mineral solution with test substance and/or inoculum. The inoculum was pre-acclimated for 24 h, treated and aerated for 28 days at 20±2°C with CO2-free air. The outcoming air was passed through 3 consecutive CO2-traps containing 0.025N Ba(OH)2. CO2 was determined in the traps by backtitration of residual $Ba(OH)_2$ at several days. Samples of the incubate were removed on day 26 for

DOC analysis.

Results

Analysis DOC

Not reported

Table below Gives biodegradation values for CAS: 126-57-8 and control treatment, the values are corrected for blank.

| | Mean % biodegradation [% of ThCO ₂] on day: | | | | | | | | | |
|----------------------------|---|-----|----|----|----|----|----|------|--|--|
| Treatment | 2 | 5 | 7 | 9 | 12 | 16 | 21 | 28 | | |
| CAS: 126-57-8 (10 mg/l) | 0.0 | 4.3 | 13 | 17 | 22 | 29 | 36 | 43 | | |
| CAS: 126-57-8 (20 mg/l) | 0.0 | 1.2 | 16 | 27 | 37 | 45 | 51 | 54 | | |
| Positive control | 6.2 | 17 | 24 | 28 | 37 | 61 | 96 | 111* | | |

due to acidification

Conclusion

Not readily biodegradable.

Rev. note

- 1. Composition nutrient solution not in accordance with OECD 301 B.
- 2. No replicate flasks included.
- 3. Positive control degrades, but probably not within 14 days. Due to this the test is less reliable
- 4. Not enough CO2 samples were taken at the end of the test.

Klimisch Criterium

GLP

Title Date of report Schedule II notification related studies for CAS: 126-57-8; 1. Adsorption/Desorption July 24, 1997.

No.

Test substance Test method Procedure

CAS: 126-57-8; trimethylolpropane tripelargonate, purity 100%.

40 mL of ultrapure water and 2 mL of CAS: 126-57-8 were vigorously mixed for 30 minutes at 23°C to obtain an essentially saturated aqueous solution. After centrifugation and equilibration, the aqueous phase was separated and extracted with methyl t-butyl ether after which the extract was analysed by GC-FID. This alternative method gave the same result for the water solubility as the method described in 9.1.19, namely a water solubility of 8.4 mg/L at 23°C. Based on this result and the lowest detectable concentration, it was assumed that the concentrations of CAS: 126-57-8 in the adsorption test would be too low to determine with acceptable accuracy. Therefore the extent of adsorption was estimated by comparison with a similar substance, CAS: ff. This substance differed from CAS: 126-57-8 in that CAS: 126-57-8 contained two additional methylene groups on each alkyl side chain. It was expected that CAS: 126-57-8 would be more hydrophobic and less water soluble than CAS: ff. It follows that CAS: 126-57-8 would adsorb to soil to the same extent or greater than CAS: ff. Therefore, it was expected that the adsorption results for CAS: ff (minima) would also apply to CAS: 126-57-8.

Results

> 72% of CAS: ff adsorbed to the three soils investigated (see note 1) and < 25% of the adsorbed CAS: ff desorbed from the three soils.

Conclusion Rev. note

- 1. No information on test procedure for CAS: ff was given. This was reported in an addendum report not available to the reviewer. Three soils (pH 5.1, 3% clay content, 1.9% organic matter: pH 5.7, 25% clay content, 0.4% organic matter; pH 9.0, 30% clay content, 7.8% organic matter) were tested.
- 2. As the test substance CAS: ff is an ester that was put into contact with acidic and basic soils, hydrolysis may be expected. No information on the stability of the test substance CAS: ff during the test was available. Thus, the apparent high degree of adsorption for CAS: ff (and thus CAS: 126-57-8) may also have been caused by the fact that the test substance was destroyed.
- 3. Although it was stated that all laboratory work undertaken was done using Good Laboratory Procedures, no signed GLP statement was included in the report.
- The structure of the test substance as given in the report and provided by the sponsor was not correct for the CAS-number given.

Klimisch criterium

No information on test procedure (note 1) or stability of test substance (note 2).

2.26

Title Date of report Schedule II notification related studies for CAS: 126-57-8; 2. Hydrolysis; Preliminary July 24, 1997.

GLP

Test substance

CAS: 126-57-a; trimethylolpropane tripelargonate, purity 100%.

Test method Not indicated.

Procedure

Test system Solutions at pH 4, 7 and 9.

As the concentrations of CAS: 126-57-8 in buffer solutions would Procedure have been too low to be determined with acceptable accuracy, the

(preliminary) hydrolysis test was performed with the structurally

related CAS: ff.

Results

| pH | Hydrolysis ± SD [%] |
|----|---------------------|
| 4 | 96±2 |
| 7 | 49±11 |
| 9 | 100±0 |

Conclusion

Hydrolysis of **CAS**: ff at pH 4, 7 and 9 was respectively 96, 49 and 100%. The hydrolytic stability of **CAS**: 126-57-8 was expected to be similar.

Rev. note

- The information available was restricted to what is included in the above summary. The actual report for the hydrolysis of CAS: ff was not available to the reviewer.
- 2. Although it was stated that all laboratory work undertaken was done using Good Laboratory Procedures, no signed GLP statement was included in the report.
- In the report it is stated that the hydrolysis of CAS: 126-57-8 and CAS: ff should be comparable:
- the chemical structures of **CAS**: ff and **CAS**: 126-57-8 were identical near the site of hydrolysis (C-O-bond);
- two additional methylene units on the R groups of **CAS**: 126-57-8 were not expected to have any significant effect on the reactivity of the carbonyl carbons which are involved in nucleophilic attack in the hydrolysis reaction.

Klimisch criterium

4 Secondary literature (note 1)

2.27 Title

Test substance: **CAS:** 11138-60-6 Physical/chemical testing for CEPA regulations; 7. Hydrolysis; preliminary

Date of report

August 30, 1996.

GLP

No.

Test substance Test method CAS: 11138-60-6; multicomponent mixture.

OECD 111. Test systems

Procedure

Phthalate buffer (pH 4.0), phosphate buffer (pH 7.0), borate buffer (pH 9.0), all prepared in ultrapure water. Adjustment of pH in buffers

with 6N NaOH.

Procedure

Solutions (0.15 % acetonitrile) of approximately 0.38 mg/L CAS: 11138-60-6 in the various buffers were prepared. One set of solutions were placed in an incubator at 50°C and another was placed in a freezer at -20°C. After 5 days the solutions were extracted with 2 mL methyl t-butyl ether and 1 μ L of extract was analysed by GC-FID.

Blanks (without test substance at 50°C) were included.

Results

| results | | | | |
|----------|------|----------------------------|--------|--|
| | | concentration after 5 days | (mg/L) | |
| solution | pH 4 | pH 7 | pH 9 | |
| blank | 0 | 0 | 0 | |
| -20°C | 0.49 | 0.36 | 0.38 | |
| 50°C | 0.26 | 0.13 | 0.27 | |

Conclusion Rev. note

Hydrolysis after 5 days at pH 4. 7 and 9 was respectively 48. 65 and 27%.

- 1. Calculation of the hydrolysis was based on the assumption that frozen samples did not undergo hydrolysis, even before they were put into the freezer and upon thawing and work-up.
- Based on the ester structure of the test substance, hydrolysis was expected at pH 4 and 9. However, it is puzzling and contrary to expectation that the largest extent of hydrolysis is found at pH 7.

Klimisch criterium

2 Hydrolysis controls (note 1), effect **pH** (note 2).

Appendix 3 - Ecotoxicity Data for the Aliphatic Esters

Acute Fish

GROUP A

No data available.

GROUP B

3.02

CAS: 16958-92-2: Toxicity to the brown shrimp (Crangon crangon) Title

October 13, 1986. Date of report

GLP No.

CAS: 16958-92-2; purity 100%. Test substance

Guideline Not indicated. Stat. method Stephan et al., 1977

Species Test system Brown shrimp (Crangon crangon), mean weight 0.7 g.

No. of fish

20/treatment.
Nominal: 5600 and 10000 mg/L, untreated controls. Concentrations

Test conditions 96-h semi-static test (renewals at 24 and 48 h) under continuous

agitation in cylindric glass vessels (0: 29 cm, h: 30 cm) containing

16 L seawater (salinity 35 ppt, pH 8.2), unfed; loading 0.9 g/L.

Analysis No analysis was performed.

Phys. meas. Overall ranges for pH 8.2-8.5; O₂ 89-98%; temperature 15-I 6°C.

Observations Mortality at 24, 48, 72 and 96 h.

Results

| | | | Nominal concentration | [mg/L] |
|---------------|----------|----|-----------------------|--------|
| Parameter | Time [h] | 0 | 5600 | 10000 |
| Mortality [%] | 96 | 10 | 0 | 5 |

Conclusion Rev. note

The 96-h LC_{50} was >10000 mg/L.

- No analysis was performed to confirm the nominal concentrations. Since the test substance is not soluble in the water and there is no information about the preparation of the test solution, the LC_{50} -value is not reliable.
- 2. During the test 0-25% organisms per treatment jumped out of the vessel, so the test vessels used were actually not appropriate for this test. Further O-I 0% organisms per treatment were eaten; this could be due to the fact that the organisms were not fed during the study.
- Crangon crangon is not the species recommended by the guideline OPPTS 850.1035. The temperature used in this study is not in accordance with the guideline (15-I 6°C, OPPTS 850.1035: 25±2°C). This could be related to the species used in
- 4. Light regime was not reported (OPPTS 850.1035: 14 h light), salinity was rather high (35 ppt, OPPTS 850.1035: 20±3 ppt).

Klimisch criterium

LC₅₀-value is not reliable (note 1).

3.03 Title

Static 96-hour acute toxicity study of CAS: 16958-92-2 to Sheepshead minnows

Date of report

October 7, 1986.

GLP

CAS: 16958-92-2; purity 100%.

Test substance Guideline

None.

Stat. method Test system

Binominal probability analysis (Stephan et a/., 1977).

Species Sheepshead minnow (Cyprinodon variegatus), weight 0.3-0.4 g.

No. of fish 20/treatment. Nominal: 500, 1000, 2500 and 5000 mg/L, untreated control. Concentrations

positive control (300 mg/L diesel oil).

96-h static test in -40 L glass aquaria containing 30 L synthetic Test conditions

seawater (salinity 20±1 ppt) at 22±2°C, 16 h light, unfed. The test substance (oil) was maintained in suspension by a propeller above

the system which created a vortex of 0.6-I .3 cm.

Analysis No analysis was performed.

Phys. meas. Daily, overall ranges for pH 8.1-8.2; O₂ 92-106%; temperature 21-

22°C, salinity 20 ppt.

Mortality at 0, 24, 48, 72 and 96 h. Observations

Results

| . toouito | | | | | | | |
|---------------|----------|-----------------------|-----|------|------|--------|--------------|
| | | Nominal concentration | | | | [mg/L] | |
| Parameter | Time [h] | 0 | 500 | 1000 | 2500 | 5000 | pos. control |
| Mortality [%] | 96 | 0 | 0 | 0 | 5 | 20 | 15 |

Conclusion Rev. note

96-h LC₅₀ >5000 mg/L.

- Because the test substance is not soluble in water, it is kept in suspension by a propeller situated above the water surface. There was no information about the validity of the method used for homogenisation of the test substance in the water. The LC50 is determined using the nominal concentration, because no analyses were performed. The study reliability is lowered.
- 2. Minor remark. Food was withheld only 24 h before start of the study. (OPPTS 850.1075, 48 h). Fish that are withheld from food are more sensitive.
- Diesel oil was used as a positive control in this study. There is no information about the effectiveness of this positive control in the system. The response is too low for a normal positive control.

Klimisch criterium

3 Exposure level fish not evident (note 1), response positive control (note 3).

Acute toxicity to golden orfe Title

Species

April 19, 1994. Date of report

GLP

No.

Test substance CAS: 122-62-3, purity not indicated.

Guideline Stat. method 92/69/EEC, OECD 203.

Not specified.

Test system

Golden orfe (Leuciscus idus), length 57±2 mm.

No. of fish

Concentrations

1 O/replicate, 2 replicates/treatment, 1 replicate for control. Water Accommodating Fraction (WAF) at loading rate 1000 mg/L,

control.

Test conditions

96-h semi-static (renewals at 24, 48, 72 h) with dechlorinated tap water (hardness -100 mg/L CaCO₃); 21 °C in 20 L glass vessels,

aerated; loading 0.98 g/L.

Analysis

TOC-analysis fresh medium at 0 and 72 h; old medium at 24 and

96 h.

Phys. meas.

None.

Observations

Mortality at 3, 6, 24, 48, 72 and 96 h. Analysis 1 st table below; biological results 2nd table below.

Results Analysis

| | Concentration TOC; Concentration test substance (corrected for control) [mg/L] | | | | |
|---------------------|--|------------|--------------|------------|--|
| nominal rate (mg/L) | 0 h (fresh) | 24 h (old) | 72 h (fresh) | 96 h (old) | |
| 0 (control) | 2.4; 0 | 2.3; 0 | 1.9; 0 | 2.8; 0 | |
| 1000 (repl. 1) | 2.2; - | 3.6; 1.8 | 0.84; - | 1.9; - | |
| 1000 (repl. 2) | 2.0; - | 3.2; 1.2 | 1.8; - | 1.7; - | |

Biological results

| | | Nominal concentration [mg/L] | | | | |
|---------------|----------|------------------------------|------|--|--|--|
| Parameter | Time [h] | 0 | 1000 | | | |
| Mortality [%] | 96 | | None | | | |

Conclusion

Solubility of test substance is very low; no conclusion about toxicity test substance

Rev. note

- WÁF was prepared by 24 h stirring followed by 1 h equilibrating.
- The analytical results show very low concentrations of the test substance in the test solutions. This could partly be due to the inhomogeneity of the solution, but mostly to the very low solubility of the test substance in the water. Probably the water system is not sensitive for the toxicity of the test substance due to its low solubility, but no reliable LC50 value originates from this study.

No information was available about the light regime, feeding of the fish, pH and

oxygen concentration in the report.

Klimisch criterium

No reliable LC50-value (note 2), limited information (note 3).

3.05

Title

Fischtest, akute Toxizität.

Date of report

November 8, 1993.

GLP

Test substance CAS: 28472-97-1, purity not indicated.

Guideline

OECD 203; 92/69/EWG (1992).

Stat. method Test system

None.

Species

Golden orfe (Leuciscus idus melanotus L.), age 4 weeks.

No. of fish

1 O/treatment.

Concentrations

Nominal: 10000 mg/L, untreated controls.

Test conditions

96-h static test with drinking water (hardness 255±51 mg/L CaCO₃); 20±1 °C in 8.4 L glass vessels, aerated; unfed.

Not performed.

Analysis Phys. meas.

Daily in all treatments: overall ranges for pH 8.3-8.6; O₂ 80-I 00%.

Observations

Mortality at 24, 48, 72 and 96 h.

Results

| | | Nominal concentration [mg/L] |
|-----------------------|--|--|
| Parameter | Time [h] | 0 10000 |
| Mortality [%] | 96 | None |
| Conclusion | The 96-h LC ₅₀ cannot be determ | ined (note 2). |
| Rev. note | Incomplete description: Only | the age of the fish and the volume of the test vessels |
| | mm) and that the biological the photoperiod during the t were carried out. | checked if the fish have the recommended length (60 ± 20 loading during the test was acceptable (<1 g/L). Further test was not reported and no temperature measurements |
| | | this study. WAF is the maximum soluble concentration of ons. Since no analytical measurements were performed, e given. |
| Klimisch criterium | 3 Incomplete description (note 1 | 1), LC ₅₀ cannot be determined (note 2). |
| 3.06 Title | | carp with CAS: 103-24-2 for W.G.K. (static) |

Date of report June 29, 1998.

GLP

Yes.

CAS: 103-24-2; purity not indicated. Test substance Guideline OECD 203, EEC L383 92/69 C 1 (1992).

Test conditions

Stat. method None. Test system

Species Carp (Cyprinus carpio), mean length 20±1 mm. No. of fish 3/treatment for 1, 10, 100 and 1000 mg/L;

7/treatment for 10000 mg/L and control.

Water Accommodated Fractions (WAF, see note 1) prepared at Concentrations

nominal 1, 10, 100, 1000 and 10000 mg/L, untreated controls. 96-h static test with ISO-medium (pH 8.1, hardness 250 mg/L CaCO₃) in 3-4 L glass vessels containing 152.5 L medium,

aerated; 16 h light; unfed; loading 50.5 g/L.

Analysis No analysis was performed.

Phys. meas. Daily in control vessel: overall ranges for temperature 20-21°C; pH

7.3-8.1 (also in 10000 mg/L); O₂ 74-100% (in all vessels), except in

the 10000 mg/L vessel at day 2); O_2 36%. Mortality/symptoms at 2, 24, 48, 72 and 96 h.

Observations

At concentrations ≥10 mg/L a film of test substance appeared at

the surface.

| | | | 00000. | | | | |
|---------------|----------|-----|--------|-------------|---------------------|------|-------|
| | <u> </u> | | No | minal conce | ntration [m | 7/L] | |
| Parameter | Time [h] | 0 | 1 | 10 | 100 | 1000 | 10000 |
| Mortality [%] | 96 | 1 4 | 0 | 0 | 0 | 0 | 0 |
| Symptoms* | 0-96 | | | | + | + | + |

^{*} Symptoms included hypoactive swimming, haemorrhage of the tail and/or gills, loss of equilibrium, immobile and/or swimming at the surface and/or at the bottom

Conclusion

The 96-h LC₅₀ could not be determined (note 1).

Rev. note

Results

- 1. WAF is the maximum soluble concentration of the nominal test concentrations after 48 hours of stirring. Only the water phase was used in the definitive test solutions. Further the WAF did not stay in solution for concentrations ≥10 mg/L. Since no analytical measurements were performed, no reliable LC50 value can be given.
- At day 2 the oxygen concentration dropped to 36% of the saturation level. Since no mortality occurred, it can be concluded that there has been no effect on the outcome of the study.

Klimisch criterium 2 LC₅₀ cannot be determined (note 1)

Title Date of report Fischtest, akute Toxizitat.

November 8, 1993.

GLP

Test substance

CAS: 28472-97-1, purity not indicated.

Guideline Stat. method Test system

OECD 203; 92/69/EWG (1992).

None.

Golden orfe (Leuciscus idus melanotus L.), age 4 weeks.

Species No. of fish

1 O/treatment.

Concentrations

Nominal: 10000 mg/L, untreated controls,

Test conditions

96-h static test with drinking water (hardness 255±51 mg/L CaCO₃); 20±1 °C in 8.4 L glass vessels, aerated: unfed.

Not performed.

Analysis Phys. meas.

Daily in all treatments: overall ranges for pH 8.3-8.4; O₂ 76-98%.

Observations Mortality at 24, 48, 72 and 96 h.

Results

| | | | Nominal | concentration | [mg/L] |
|---------------|----------|---|---------|---------------|--------|
| Parameter | Time [h] | 0 | | 10000 | |
| Mortality [%] | 96 | | | None | |

Conclusion Rev. note

The 96-h LC₅₀ cannot be determined (note 2).

Incomplete description: Only the age of the fish and the volume of the test vessels was reported. It can not be checked if the fish have the recommended length (60±20 mm) and that the biological loading during the test was acceptable (<1 g/L). Further the photoperiod during the test was not reported and no temperature measurements were carried out.

Probably a WAF is used in this study. WAF is the maximum soluble concentration of the nominal test concentrations. Since no analytical measurements were performed, no reliable LC50 value can be given

Klimisch criterium 3 Incomplete description (note I), LC₅₀ cannot be determined (note 2).

GROUP C

3.08

Title

Rainbow trout acute toxicity tests September 17, 1993.

Date of report **GLP**

No.

Test substance

CAS: 67989-24-6 en 70024-57-6.

Guideline

Stat. method

OECD 203 (1981). Trimmed Spearman Karber analysis.

Species Rainbow trout (Oncorhynchus mykiss), length -50 mm.

Test system

No. of fish 1 O/vessel, 2 vessels/treatment.

Concentrations Test conditions

Nominal: 40.5, 135, 450, 1500 and 5000 μ L/L, untreated controls. 96-h static test with water (hardness 66-68 mg/L CaCO₃); 15±1 °C

in 20 L glass vessels containing 6 L water; 16 h light; unfed; aerated. The test substance (oil) was emulsified using a blender.

No analysis was performed. **Analysis**

Phys. meas. Daily in all vessels: overall ranges for pH 7.1-7.5; O₂ 60-83%;

temperature 14-16°C.

Observations Mortality/symptoms at 24, 48, 72 and 96 h.

| п | | -14- |
|---|-----|------|
| ĸ | esi | IITS |

Some surface "pooling" was observed (note 2).

| | | | Nominal concentration [μL/L] | | | | | | | | |
|---------------|------------|----------------|------------------------------|------------|------------|--------------|--------|--|--|--|--|
| Parameter | Time [h] | 0 | 40.5 | 135 | 450 | 1500 | 5000 | | | | |
| Mortality [%] | 96 | 0 | 0 | 0 | 5 | 20 | 100 | | | | |
| Conclusion | Tho 06-h 1 | CEO calculated | by the outhor | r was 2027 | // /059/ / | 1. 1586-2500 | L /I \ | | | | |

Rev. note

The 96-h LC50 calculated by the author was 2027 μ L/L (95% CI: 1586-2590 μ L/L).

- The biological loading was not specified in the report. It is not excluded that the biological loading exceeded 1 g fish/L, since a mean weight of 0.6 gram for fish with a length of -50 mm seems rather low. Fish can get stressed because of this overloading, so in a worst case approach it is acceptable.
- Because the test substance is not soluble in water, a suspension of the test substance in water is used. The emulsions were reported to be reasonable stable, but surface pooling was observed. The fish can be exposed to lower concentrations, the study reliability is lowered.

Klimisch criterium

2 Exposure level fish not evident (note 2), possible overloading (note 1).

3.09

Title
Date of ren

Acute toxicity in golden orfe (Leuciscus idus) according to DIN 38412, part 15

Date of report September 10, 1991.

GLP

Yes.

Test substance CAS 70729-68-g (tetraethylene glycol diheptanoate); purity **94.5%**, 2% monoesters **Guideline** DIN 38412, part 15.

Stat. method Test system

Not applicable according to the author.

Species Golden orfe (Leuciscus idus), mean length 53 mm.

No. of fish 5/vessel; 2 vessels/treatment.

Concentrations Nominal dispersions of 18, 32, 56, 100, 180, 320, 560 and 1000 mg/L,

untreated controls.

Test conditions 48-h static test in 12 L vessels containing 10 L of dechlorinated tap

water (hardness 250 mg CaCO₃/L) at 18-22°C, aerated, 16 h light,

unfed, loading 0.74 g fish/L.

Analysis No analyses were performed.

Phys. meas. Daily, overall ranges for pH 7.9-8.2; O₂ 60-I 00%; temperature 17-

20%.

Observations Mortality/symptoms at 2-4, 24 and 48 h.

Results

| Troound | | | | | | | | | | | | |
|---------------------------|--------|----|---|----|------|-----|-----------|-------|--------|------------------|------------------|----|
| | | | | | Nomi | nal | concentra | ation | [mg/L] | | | |
| Parameter | Time [| h] | 0 | 18 | 32 | 56 | 100 | 180 | 320 | 560 | 1000 | DR |
| Mortality [%] | 48 | 0 |) | 0 | 0 | 0 | 0 | 0 | 0 | 20 | 90 | X |
| Oily drops on the surface | 0-48 | | | | | | + | + | + | + ^(A) | + ^(A) | Χ |

(A) the dispersion appeared clearer

Conclusion

48-h LC₅₀ 720 mg/L (graphical determination).

Rev. note

- 1. Test concentrations were all above the water solubility of the test compound (EPIWIN 0.34 mg/L). There is no information on the homogeneity of the test "solutions" and no analyses were performed to confirm the nominal test concentrations. The mortality found in this study may be not related to toxic effects, but to physical effects (sorption of oily substance to the fish). The test reliability was lowered because of this.
- 2. The test duration was only 48 hours. It cannot be excluded that the LC₅₀ after 96 hours was significantly different from that after 24 hours.
- 3. Minor remark. Leuciscus idus was not recommended by OECD 203. However in the EG guidelines Leuciscus idus is included as a recommend fish species. The test temperature was rather low (17-20°C, EG 20-24°C).

Klimisch criterium

3 No analyses, physical effects (note 1).

3.10 Title

24-hour LC50 to zebra fish

Date of report

June 18, 1981.

GLP

No.

Test substance CAS: 70729-68-9, purity: 88%, 6% triethylene glycol di-n-heptanoate, 4% mixed ester of tetraethylene glycol with n-heptanoic and 2 methylhexanoic acids, 2% other mixed esters.

Guideline Stat. method Test system

Not indicated. Not indicated.

Species

Zebra fish (Brachydanio rerio), mean length 25 mm. No. of fish O/treatment.

Concentrations Nominal: 0.56, 0.75, 1.0, 2.4, 3.2, 4.2, 5.6, 7.5 and 10 g/L, untreated

controls.

Test conditions 24-h static test in glass vessels containing 15 L of laboratory supply

water (hardness 90 mg CaCO₃/L) at 20°C, not aerated, 16 h light,

unfed, loading 0.2 g fish/L.

Analysis No analyses were performed.

Phys. meas. At 0 and 24 h in control, 0.56, 4.2 and 10 g/L: ranges for pH 7.0-7.3; O₂

76-97%.

Observations

Mortality/symptoms at 24 h.

Results

| Tioouito | | | | | | | | | | | | |
|---------------|--------|------|------|-----|-------|----------|-----------|-------|-----|-----|-----|----|
| | | | | N | lomii | nal conc | entration | n [g/ | L] | | | |
| Parameter | Time [| h] 0 | 0.56 | 0.7 | 75 | 1.0 2.4 | 3.2 | 4.2 | 5.6 | 7.5 | 10 | DR |
| Mortality [%] | 24 | 0 | 0 | 0 | 0 | 10 | 20 | 0 | 90 | 100 | 100 | Х |
| Symptoms' A) | 0-24 | | | | | + | + | | + | + | + | |

(A) Symptoms included darkening of the fish, loss of equilibrium and/or erratic swimming:

Conclusion

24-h LC_{50} calculated by the reviewer using 20% trimmed SPK was 4.8 g/L (95% CI 4.6-5.1 g/L) \Leftrightarrow 4.3 g a.i./L (95% Cl 4.1-4.5 g a.i./L).

Rev. note

- Test concentrations were all above the water solubility of the test compound (EPIWIN 0.34 mg/L). There is no information on the homogeneity of the test "solutions" and no analyses were performed to confirm the nominal test concentrations. The mortality found in this study may be not related to toxic effects, but to physical effects (sorption of oily substance to the fish). The test reliability was lowered because of this.
- The test duration was only 24 hours. It cannot be excluded that the LC₅₀ after 96 hours was significantly different from that after 24 hours.
- 6. Minor remark. The test temperature was rather low (20°C, OECD 203 21-25°C).

Klimisch criterium 3 No analyses, physical effects (note 1).

GROUP D

3.11

Title CAS: 1336-39-2: Acute toxicity to rainbow trout (Salmo gairdneri)

Date of report

May 4, 1988.

GLP

No.

Test substance CAS: 1338-39-2., purity not indicated

Guideline Stat. method Test system

Not indicated. Not indicated.

Species

Rainbow trout (Salmo gairdneri), mean weight 2.67 g.

No. of fish 1 O/treatment.

Nominal: 0, 10, 18, 32, 56 and 100 mg/L. Concentrations

Test conditions 96-h static test; aerated, 15±1 °C. Mortality at 24, 48, 72 and 96 h. Observations

Results

| | | | Noi | minal conce | ntration [mg | /L] | |
|---------------|----------|---|-----|-------------|--------------|-----|-----|
| Parameter | Time [h] | 0 | 10 | 18 | 32 | 56 | 100 |
| Mortality [%] | 96 | 0 | 0 | 0 | 0 | 0 | 100 |

Conclusion

The 96-h LC_{50} calculated by the author was 75 mg/L.

Rev. note

Only a summary of a study was available. The information is limited to what is included above. No conclusion can be drawn about the validity of the test, because of the limited

information available. 3 Incomplete report.

Klimisch

criterium

3.12

Title CAS: 1338-43-8: Acute toxicity to rainbow trout (Salmo gairdneri)

Date of report May 4, 1988.

GLP No.

CAS: 1338-43-8, purity not indicated. Test substance

Guideline Not indicated. Stat. method Not applicable.

Species

Rainbow trout (Salmo gairdneri), mean weight 0.91 q. Test system

No. of fish 1 O/treatment.

Concentrations Nominal: 0 and 1000 mg/L.

Limit test, 96-h static; aerated, 15±1 °C. Test conditions Observations Mortality at 24, 48, 72 and 96 h. Test substance was not in solution.

Results

Nominal concentration U 1000 **Parameter** Time [h] 96 None Mortality [%]

Conclusion

The 96-h LC₅₀ based on nominal concentrations was >1 000 mg/L.

Rev. note

Only a summary of a study was available. The information is limited to what is included above. No conclusion can be drawn about the validity of the test, because of the limited

information available.

Klimisch criterium 4 Incomplete report.

GROUP E

3.13

Title Static 96-hour acute toxicity study of CAS: 67762-53-2; 67762-52-l to Rainbow trout

January 2, 1993. Date of report

GLP

No.

CAS: 67762-53-2: 88%; CAS: 67762-52-I : 12%. Test substance

Guideline

EC, L 251/146-154 C 1 (1984).

Stat. method

Binominal probability analysis (Stephan et al.)

Test system

Species Rainbow trout (Oncorhynchus mykiss), mean length 28-31 mm.

No. of fish

20/treatment.

Concentrations

Nominal: 97, 517, 1002, 2005 and 5012 mg/L, untreated controls. Test conditions 96-h static test with MTC well water (hardness 211 mg/L CaCO₃);

12±2°C in -40 L glass vessels containing 30 L water; 16 h light; unfed; loading 0.2 g/L. The test substance (oil) was maintained in suspension by a propeller above the system which created a vortex

of 0.6-1 .3 cm.

No analysis was performed. **Analysis**

Phys. meas. Daily in all treatments: pH 8.2; O₂ 84-94%; temperature 1 I-I 2°C.

Observations Mortality at 24, 48, 72 and 96 h.

Results

Due to cloudiness in the three highest doses groups, no observations could been made during the study.

| | | | | Non | ninal conce | entration | [mg/L] | |
|---------------|-----|--|---|--|--|--|--|--|
| Parameter | | Time [h] | 0 | 97 | 517 | 1002 | 2005 | 5012 |
| Mortality [%] | | 96 | | | No | ne | | |
| Conclusion | The | 96-h LC ₅₀ wa | s >5012 ma | L. | | | | |
| Rev. note | | The fish were more sensitive | , this is ac | ceptable in a | worst case | approach | • | |
| | , | Because the t propeller situa validity of the There were al test substance | ted above the method use lso no analy in the wate | ne water sui d for homog ses performe er. The study | face. There enisation of to confirm reliability is | was no in the test so the nominal lowered. | nformation a ubstance in inal concentr | about the the water. ration of the |
| | | Minor remark (11-12°C, EC | | | | | at lower than | n required |

Klimisch criterium

2 Exposure level fish not evident (note 2), small fish (note 1).

3.14 Title

A static 96-hour acute toxicity study of the water soluble fraction of **CAS: 11138-60-6** to mysid shrimp (*Mysidopsis bahia*)

Date of report

No

GLP

July 1, 1991. No.

Test substance

CAS: 11138-60-6; purity 100%.

Guideline

Not indicated.

Stat. method Test system Binominal probability analysis (Stephan et al., 1977).

Species Mysid shrimp (Mysidopsis bahia), 3-6 days old.

No. of fish 1 O/dish, 2 dishes/treatment.

Concentrations Water soluble fraction (WSF) of 95, 568, 1014, 1987 and 5014

mg/L, untreated controls.

Test conditions 96-h static test in 1 L cylindrical Pyrex crystallising dishes (covered)

containing 400 mL synthetic seawater (salinity 20±2 ppt) at 20±2°C,

16 h light, fed daily.

Analysis No analysis was performed.

Phys. meas. Daily, overall ranges for pH 8.4; O₂ 80-97%; temperature 22°C,

salinity 20-24 ppt.

Observations Mortality at 0, 24, 48, 72 and 96 h.

Results

| | | | Nom | inal conc | entration | [mg/L] | |
|---------------|----------|---|-----|-----------|-----------|--------|------|
| Parameter | Time [h] | 0 | 95 | 568 | 1014 | 1987 | 5014 |
| Mortality [%] | 96 | 0 | 0 | 5 | 5 | 0 | 5 |

Conclusion Rev. note

No reliable 96-h LC_{50} can be deduced from this study.

- 1. WSF is the water soluble fraction prepared by stirring for 20 hours followed by 4 hours of settling. So the actual concentration is not equal to the nominal. Since there were also no analytical measurements, the actual concentrations used in the test are not available. Probably the water system is not sensitive for the toxicity of the test substance due to its low solubility. No reliable LC50 value originates from this study.
- Temperature and light regime were not in accordance with the guideline (22°C and 16 h light, OPPTS 850.1035 25±2°C and 14 h light). Both can have an effect on the activity of the organism:
 - Lower temperature ⇒ lower activity ⇒ less sensitive organisms;
 More light ⇒ higher activity ⇒ more sensitive organisms.

Klimisch criterium

3 Concentration not clear (note 1).

Title Static 96-hour acute toxicity study of CAS: 11138-60-6 to Rainbow trout

Date of report

November 26, 1996.

GLP

Yes.

substance Test

CAS: 11138-60-6; purity 100%.

Guideline Stat. method OECD 203; EC L 383A/163-171 C 1 (1992). Binominal probability analysis (Stephan et al., 1978)

Test system Species No. of fish

Rainbow trout (Oncorhynchus mykiss), mean length 30-32 mm.

20/treatment.

Concentrations Test conditions

Nominal: 65, 129, 259, 517 and 1035 mg/L, untreated controls. 96-h static test (hardness 203 mg/L CaCO₃); 12±1 °C in -40 L glass vessels containing 30 L well water; 16 h light; unfed; loading 0.2-0.3 g/L. The test substance (oil) was maintained in suspension by a propeller above the system which created a vortex of 0.6-1 .3 cm.

Analysis

At 0 and 96 h from control, 65, 259 and 1035 mg/L by

extraction/GC-FID.

Phys. meas.

Daily in all treatments: overall ranges for pH 7.8-8.2; O₂ 77-90%;

temperature 1 I-1 3°C.

Observations **Analysis**

Mortality/symptoms at 24, 48, 72 and 96 h.

LOD 0.12 mg/L; measured concentrations for 65, 259 and 1035 mg/L nominal ranged from respectively 167, 37 and 214% at start

to 308, 81 and 13% at the end of the test (n=1).

Nominal concentration [mg/L] Time [h] 65 129 259 517 1035 **Parameter** Mortality [%] 96 0 0 0 0

Conclusion

The 96-h LC_{50} was >1035 mg/L.

Rev. note

Results

- The fish were rather small (30 mm, EC L 383 A: 60±20 mm). Since small fish are more sensitive, this is acceptable in a worst case approach.
- Because the test substance is not soluble in water, it is kept in suspension by a propeller situated above the water surface. There was no information about the validity of the method used for homogenisation of the test substance in the water. Further only single analyses were performed to determine the actual concentration of the test substance during the test. The nominal concentration is not confirmed by this analysis. The LC50 is determined using the nominal concentration, because no reliable estimate of the actual concentration can be made using the results of the analysis. The study reliability is lowered.
- Minor remark The temperature during the study is somewhat lower than required (11-13°C, EC L 383 A: 12-17°C).

Klimisch criterium Exposure level fish not evident (note 2), small fish (note 1).

3.16

Title

A static 96-hour acute toxicity study of CAS: 11138-60-6 to Sheepshead minnow

Date of report June 21, 1991.

GLP

Nο.

Test substance Guideline

CAS: 11138-60-6; purity 100%. EEC L. 251/146-I 54; CI.

Stat. method

Binominal probability analysis (Stephan et al., 1977).

Test system

Species Sheepshead minnow (Cyprinodon variesatus), weight 0.08-0.1 g.

No. of fish

20/treatment.

Concentrations Test conditions Nominal: 101, 504, 1009, 2018 and 5045 mg/L. untreated controls. 96-h static test in -40 L glass aquaria containing 30 L synthetic

seawater (salinity 20±2 ppt) at 20±2°C, 16 h light, unfed. The test substance (oil) was maintained in suspension by a propeller above

the system which created a vortex of 0.6-l .3 cm.

Analysis No analysis was performed.

Daily, overall ranges for pH 8.1-8.4; O₂ 81-1 01%; temperature 21-Phys. meas.

22°C, salinity 20-21 ppt.

Observations Mortality at 96 h (note 1). Results

| | | | Nom | inal conce | ntration [n | ng/L] | |
|---------------|----------|---|-----|------------|-------------|-------|------|
| Parameter | Time [h] | 0 | 101 | 504 | 1009 | 2018 | 5045 |
| Mortality [%] | 96 | 0 | 0 | 0 | 5 | 0 | 5 |

Conclusion

96-h LC₅₀ >5045 ma/L.

Rev. note 1. Minor remark

- Minor remarks. Due to cloudiness of the test solutions mortality counts could only be performed at the end of the test for the three highest concentrations. Food was withheld only 24 h before start of the study. (OPPTS 850.1075, 48 h). Fish that are withheld from food are more sensitive.
- 2. Because the test substance is not soluble in water, it is kept in suspension by a propeller situated above the water surface. There was no information about the validity of the method used for homogenisation of the test substance in the water. The LC_{50} is determined using the nominal concentration, because no analysis were performed. The study reliability is lowered.

Klimisch criterium

3 Exposure level fish not evident (note 2).

3.17

Test

Title Acute toxicity study with Cyprinus carpio exposed to CAS: 126-57-8

Date of report

August **24, 1988.** Yes.

GLP

CAS:126-57-8, purity -100%.

Guideline

Niemitz, LTwS, Nrl 0, 1979.

Stat. method

None.

Test system Species

substance

Carp (Cyprinus carpio), length 20-40 mm.

No. of fish

1 O/treatment

Concentrations
Test conditions

Nominal 1000 mg/L, untreated control.

48-h static test with tap water (pH 8.2, hardness 199 mg/L CaCO₃) in 10 L glass vessels containing 5 L medium, aerated; unfed. Test

substance was a suspension.

Analysis

No analysis was performed.

Phys. meas. At 0 and 48 h in control and highest concentration: overall ranges for temperature 20-22°C; pH 8.0-8.3; O₂ 83-94%.

Observations Mortality/symptoms at 2-5, 24 and 48 h.

Results

| | | | Nominal | concentration | [mg/L] |
|---------------|----------|---|---------|---------------|--------|
| Parameter | Time [h] | 0 | | 1000 | |
| Mortality [%] | 96 | | | None | |

Conclusion

The 48-h LC_{50} was >1000 mg/L.

Rev. note

The information in the report was essentially confined to what is included in the above summary. No analyses were performed to confirm the nominal concentration and the only information about the homogeneity of the solution was the description of the test medium as a suspension of macroscopic droplets of test substance. The lower limit of the LC50 value is probably not accurate. The study reliability is lowered.

Klimisch criterium

3 LC50 not accurate

Acute Daphnia

GROUP A

No data available.

GROUP B

3.18

Title Acute immobilisation test of Daphnia magna (EU guideline 67/548/EEC)

Date of report

October 4, 1997.

GLP

No.

Test substance Test method

CAS: 16958-92-2; purity not indicated. OECD 202, 67/548/EEC, DIN 38412.

Stat. method Test system

None. Species

Daphnia magna, <24 h old.

No. of daphnids

Not specified.

Concentrations

Nominal concentrations of 0.6, 0.8, 1.1, 1.6, 2.3, 3.3, 4.6, 6.5, 9.2 and 13 g/L (10 % emulsifier CAS: pp), untreated controls, emulsifier controls (1.3 g CAS: rr), positive control (Potassium

dichromate).

conditions Test

Analyses

Phys. meas.

24 h static test at 20±1 °C in reconstituted water, 16 h light, unfed,

O₂ >60%. None. Not specified. Immobility at 24 h.

Results

Observations Positive control

EC50 1.6 mg/L

| | | | | | No | ominal | concer | itration | [g/L] | | | |
|----------------|---------|---|-----|-----|-----|--------|--------|----------|-------|-----|------|----|
| Parameter | Time[h] | 0 | 0.6 | 0.6 | 1.1 | 1.6 | 2.3 | 3.3 | 4.6 | 6.5 | 9.2 | 13 |
| Immobility [%] | 24 | 0 | Ιo | Ιo | Ιo | 1 1 5 | 1 3 | 0 1 4 | 5 5 | 0 6 | 0 65 | 80 |

Conclusions Rev. note

24-h EC₅₀ graphically determined by the author was 4.8 g/L.

- 1. The information was essentially confined to what is included in the above summary. No information on pH and number of organisms used was not defined in the report.
- The composition and purity of the test substance was not known and no analyses were performed to estimate a reliable concentration of the test substance. The EC50 value can be overestimated because of this. The study reliability is lowered.
- According to OECD 202 the concentration of emulsifiers should not exceed 0.1 g/L. In the current test, the concentration of emulsifier is >0.1 g/L at nominal concentrations 1 .1-1 3 g/L). Since the emulsifier controls were reported to be not toxic against Daphnia in the used concentrations, this is acceptable.

Klimisch criterium Tested concentrations not reliable (note 2), study duration too short (24 h).

3.19

Title Acute toxicity to Daphnia magna March 25, 1994.

Date of report

Nο.

GLP Test substance

CAS 122-62-3; purity not indicated.

Test method OECD 202 (1964). Stat. method

Not specified.

Analyses

Test system **Species** Daphnia magna.

No. of daphnids Concentrations

lo/replicate, 4 replicates/treatment, 2 replicates/control. Water Accommodating Fraction (WAF) at loading rate 1000 mg/L,

Test conditions

Limit test: 48 h-static with reconstituted water; 21°C in 200 mL

exposure vessels, no aeration.

Preparation WAF by 24 h stirring followed by 1 h equilibrating At 0 and 48 h from control and 100 mg/L WAF by TOC analysis.

Phys. meas. None.

Observations Immobility at 24 and 48 h.

44

Results

For analytical results see 1 st table below. Biological data are shown in the 2nd table.

Analytical results

| | Concentratio | n TOC [mg/L] | Conc. TS (corrected for control) [m | | | | |
|---------------------|--------------|--------------|-------------------------------------|------|--|--|--|
| nominal rate (mg/L) | 0 h | 48 h | 0 h | 48 h | | | |
| 0 (control) | 1.6 | 2.2 | 0 | 0 | | | |
| 1000 (repl. 1) | 2.1 | 1.5 | 0.72 | 0.94 | | | |
| 1000 (repl. 2) | 1.6 | 1.2 | 0.045 | 1.3 | | | |

Biological results

| | | Nominal conce | entration [mg/L] |
|----------------|----------|---------------|------------------|
| Parameter | Time [h] | 0 | 1000 |
| Immobility [%] | 48 | No | one |

Conclusion

Solubility of test substance is very low; no conclusion about toxicity test substance (note 1).

Rev. note

- 1. The analytical results show very low concentrations of the test substance in the test solutions. This could partly be due to the inhomogeneity of the solution, but mostly to the very low solubility of the test substance in the water. Probably the water system is not sensitive for the toxicity of the test substance due to its low solubility, but no reliable LC50 value originates from this study.
- 2. No information was available about the light regime, feeding and age of the *Daphnia*, pH and oxygen concentration in the report.

Klimisch criterium No reliable LC50-value (note 1), limited information (note 2).

GROUP C

3.20

Title 24-hour LC50 to Daphnia magna

Date of report June 18, 1981.

GLP

No.

Test substance

CAS: 70729-68-9, purity: **88%**, 6% triethylene glycol di-n-heptanoate, 4% mixed ester of tetraethylene glycol with n-heptanoic and 2 methylhexanoic acids, 2% other mixed

esters.

Test method Stat. method Test system Not indicated.

Probit analysis (Finney, 1971)

Species Daphnia magna, <24 h old.

No. of daphnids 1 O/replicate, 2 replicates/treatment.

Concentrations Nominal: 0.56, 0.75, 1.0, 2.4, 3.2, 4.2, 5.6, 7.5 and 10 g/L,

untreated controls.

Test conditions 24 h-static test at 20°C in 250 mL glass beakers containing 200 mL

laboratory test water of hardness 104 mg/L (CaCO₃), 16 h light,

unfed.

Analyses No analyses were performed.

Phys. meas. At 0 and 24 h in control, 0.56, 4.2 and 10 g/L: ranges for pH 6.6-

7.5; O₂ 89-91% (t=O).

Observations Immobility/symptoms at 24 h.

Results

| | | | | N | ominal | cond | entratio | n [g/l | L] | |] |
|----------------------|----------|----|------|------|--------|-------|----------|--------|-----|-----|-----|
| Parameter | Time [h] | 0 | 0.56 | 0.75 | 1.0 | 2.4 | 3.2 | 4.2 | 5.6 | 7.5 | 10 |
| Immobility [%] | 24 | 0 | 5 | 0 | 15 | 15 | 55 | 70 | 35 | 90 | 100 |
| I Symptoms | O-24 | | | | | Not r | eported | | | | |
| Dissolved oxygen [%] | 24 | 80 | 12 | | | | | 3 | | | 3 |

Conclusions

24-h LC50 3.8 g/L (95% CI 1.4-6.3 g/L).

Rev. note

- Test concentrations were all above the water solubility of the test compound (EPIWIN 0.34 mg/L). There is no information on the homogeneity of the test "solutions" and no analyses were performed to confirm the nominal test concentrations. The test reliability was lowered because of this.
- The oxygen concentration fell below 60% of saturation during the study. This will most probably affect the study outcome, but is acceptable in a worst case approach.

Klimisch criterium

No reliable LC50 value (note 1)

GROUP D

No data available.

GROUP E

3.21

Title Static renewal three-brood chronic survival and reproduction study of the water-

accommodated fractions (WAFs) of CAS: 11138-60-6 to Daphnia magna

Date of report November 26, 1996.

GLP Yes.

CAS: 11138-60-6; purity 100%. Test substance

Test method Stat. method Test system

OECD 202, EEC Directive 92/69/EEC L383 A. Binomial orobability analysis (Stephan et al., 1978) **Species**

Daphnia magna, <24 h old. No. of daphids l/beaker, 10 beakers/treatment.

Concentrations Water Accommodated Fractions (WAF, see note 1) prepared at 24,

97, 242, 1018 and 2570 mg/L, untreated controls.

Test conditions Semi-static without aeration for 15 days with renewal every 2 days;

at 20±1 °C in 50 mL polystyrene containers, containing 40 mL of MTC well water (hardness 202 mg CaCO₃/L); 16 h light; feeding

daily with a mixture of algae and/or dried yeast.

For 0, 24, 242 and 2570 mg/L from fresh and old media on day 14 **Analysis**

(method: extraction followed by GC/FID).

At renewals in fresh and old solutions: overall ranges for pH 7.8-Phys. meas.

8.4; O₂ 86-I 00%, temperature 19-21 °C.

Observations Immobilisation parents daily; no. of larvae.

Results Analysis Test substance was not detectable in any of the samples (<0.03

mg/L)

Biological Reproduction in control started at day 9, further see table below.

Biological results

| | | | Nom | inal conce | entration [| mg/L] | |
|----------------------------------|----------|------------------------------|-----|------------|-------------|-------|------|
| Parameter | Time [d] | 0 | 24 | 97 | 242 | 1018 | 2570 |
| Mortality parents [%] | 15 | 0 | 0 | 20 | 0 | 0 | 0 |
| No. of offspring/surviving adult | 9-15 | No treatment related effects | | | | | |

Conclusion

Solubility of test substance is very low; no conclusion about toxicity of test substance is drawn (note 2).

Rev. note

- 1. WAF is the maximum soluble concentration of the nominal test concentrations after 20 hours of stirring and 4 hours of equilibrating. Only the water phase was used in the definitive test solutions.
- The test substance was not detected during the study, so the measured concentration was <0.03 mg/L. This could partly be due to the inhomogeneity of the solution, but mostly to the very low solubility of the test substance in the water. Probably the water system is not sensitive for the toxicity of the test substance due to its low solubility, but no reliable NOEC value originates from this study.
- The study is in accordance with OECD 211 (adapted version of OECD 202), but only a few parameters were included in the study

Klimisch criterium Concentration not confirmed (note 2)

Title Static 48-hour acute toxicity study of the Water-Accommodated Fraction (WAF) of

CAS: 11138-60-6 to Daphnia magna November 26, 1996.

Date of report

Yes.

GLP substance Test

Test method Stat. method Test system

CAS: 11138-60-6; purity 100%.

OECD 202, EEC Directive 92/69/EEC L383 A. Binomial probability analysis (Stephan et a/., 1978) **Species**

Daphnia magna, <24 h old.
1 O/replicate, 2 replicates/treatment. No. of daphnids

Concentrations Water Accommodated Fractions (WAF, see note 1) prepared at 24,

97, 242, 1018 and 2570 mg/L, untreated controls.

48 h-static test at 20±1 °C in 250 mL glass beakers containing 100 Test conditions

mL MTC well water of hardness 203 mg/l (CaCO₃), 16 h light,

unfed.

Analyses At 0 and 48 h for WAF concentrations of 0, 24, 242 and 2570 mg/L

(method: extraction followed by GC/FID).

Phys. meas. At 0 and 48 h for all concentrations; overall ranges for pH 8.1-8.5;

O₂ 89-95% and temperature 20°C.

Observations

Analyses Results Biological Immobility/symptoms at 0, 24 and 48 h. For analytical results see 1 st table below. LOD was 0.12 mg/L.

Biological data are shown in the 2nd table.

Analytical results

| Nominal conc. [mg/L] | Measured conc | entration [mg/L] |
|----------------------|---------------|------------------|
| | Oh | 48 h |
| 0 | nd | nd |
| 24 | 0.41 | nd |
| 242 | 0.13 | nd |
| 2570 | 0.21 | 1 9 |

Biological results

| | | | Non | ninal conce | entration [n | ng/L] | |
|----------------|----------|---|-----|-------------|--------------|-------|------|
| Parameter | Time [h] | 0 | 24 | 97 | 242 | 1018 | 2570 |
| Immobility [%] | 48 | 5 | 0 | 0 | 0 | 0 | 0 |
| Symptoms | 0-48 | | | No | one | | |

Conclusions

Solubility of test substance is very low; no conclusion about toxicity test substance (note 2).

Rev. note

- 1. WAF is the maximum soluble concentration of the nominal test concentrations after 20 hours of stirring and 4 hours of equilibrating. Only the water phase was used in the definitive test solutions.
- The analytical results show very low concentrations of the test substance in the test solutions. This could partly be due to the inhomogeneity of the solution, but mostly to the very low solubility of the test substance in the water. Probably the water system is not sensitive for the toxicity of the test substance due to its low solubility, but no reliable LC50 value originates from this study.

Klimisch criterium

No reliable LC50 value (note 2)

The acute toxicity of CAS: 126-57-8 to Daphnia magna Title

Date of report

February 23, 1996.

GLP

Yes.

Test substance CAS: 126-57-8; purity 100%.

Test method

OECD 202. EEC Directive 92/69/EEC L383 A.

Stat. method

None.

system Test

Daphnia magna, probably <24 h old. lo/replicate, 2 replicates/treatment. Species No. of daphnids

Concentrations

Nominal dispersions of 1 .0, 2.4, 5.6, 13 and 32 mg/L, untreated

controls.

Test conditions

Static at 18-20°C in 100 mL glass dishes (covered with mesh), placed in 2 L dishes containing 2000 mL CAS: tt medium of hardness -240 mg/l (CaCO₃), 16 h light, unfed.

Analyses

At 0, 24 and 48 h for all concentrations by extraction/

concentration/GC-FID. Quantification by using an internal standard. At 0 and 48 h for all concentrations: overall ranges for pH 7.3-7.6;

O₂ 79-86% and temperature 18-20°C.

Observations

Phys. meas.

Immobility at 24 and 48 h.

Analyses Biological

For analytical results see 1 st table below.

Biological data are shown in the 2nd table.

Analytical results

Results

| Nominal conc. | Measured | Mean measured conc. | | |
|---------------|----------|---------------------|----------|----------------|
| [mg/L] | 0 h | 24 h | 48 h | [% of nominal] |
| 0.0 | 0.1 mg/L | 0.1 mg/L | 0.1 mg/L | 0.07 mg/L |
| 1.0 | 60 | 40 | 30 | 43 |
| 2.4 | 50 | 33 | 25 | 36 |
| 5.6 | 39 | 30 | 29 | 33 |
| 13 | 42 | 27 | 18 | 29 |
| 32 | 36 | 28 | 23 | 29 |

Biological results

Parameter Immobility [%] Time [h] 48

Mean measured 0.4 0.9

[mg/L] 3.8 concentration 1.8 6

9.3 15

Conclusions Rev. note

48-h $EC_{50} > 9.3 \text{ mg/L}$.

No validation results of the analytical method were included in the report.

Daphnia trapped at the air/water interface, were not counted as immobile 2. organisms, because it is not a test substance related effect. There were enough

Daphnia left for a valid conclusion of the test.

0.07

Klimisch criterium

Algae

GROUP A

No data available.

GROUP B

3.24

Title Growth inhibition test of Scenedesmus subspicatus

Date of report October 6, 1997.

GLP

Test substance CAS: 16958-92-2, purity not indicated.

Guideline ISO 8692.

Stat. method Fischer's exact test and binomial probability analysis.

Green algae (Scenedesmus subspicatus). 1×10^4 cells/ml. Test system Species

Initial cell conc. No. of replicates 2 per treatment.

Dispersions prepared at nominal 0.013, 0.13, 1.3 and 13 g/L, Concentrations

untreated controls (note 1).

Test conditions 72-h static test in algal medium; temperature: 22±1 °C; continuous

illumination (9000-I 1000 lux); shaken at 100 rpm.

Analysis None.

pH. Deviation ≤1.5 unit. Phys. meas. Observations Cell density at 72 h.

Results

Rinlagical regulte

| | | | Nomina | al concentra | ation [g/L] | |
|--|----------|-----|--------|--------------|-------------|-----|
| Parameter | Time [h] | 0 | 0.013 | 0.13 | 1.3 | 13 |
| Mean cell density [10 ⁴ cells/ml] | 72 | 168 | 164 | 168 | 173 | 171 |
| Inhibition [%] | 0-72 | 0 | 2 | 0 | -3 | -2 |

Conclusions Rev. note

No conclusion about toxicity test substance (note 1).

- 1. A dispersion of the test substance was prepared with a homogeniser (10000 rpm, 2 minutes). The mixture was equilibrated for 24 hours and subsequently filtered. Further there was no information about the purity of the test substance. Since there were also no analytical measurements, the actual concentrations used in the test are not available. Probably the water system is not sensitive for the toxicity of the test substance due to its low solubility. No reliable LC50 value originates from this study.
- The information in the report is limited to what is included in this summary. The pH-measurements, method of cell counting (only at 72 h) and growth inhibition were not further specified.

Klimisch criterium No reliable LC50-value (note 1).

3.25 Title

Assessment of the algistatic effect of CAS: 122-62-3.

Date of report

GLP

No.

Test substance CAS: 122-62-3; purity not indicated.

Guideline Stat. method Test system

OECD 201. Students t-Test

April 15, 1994.

Species

Green algae (Scenedesmus subspicatus).

Initial cell conc. 1.9 x 1 04 cells/mL in controls. No. of replicates

6 per treatment, 3 for controls. Water Accommodated Fractions (WAF) prepared at nominal 1000 Concentrations

mg/L (see note 1), untreated controls.

Test conditions 72-h static test in 250 mL loosely stoppered flasks containing 100 mL of algal medium (pH 8.0); temperature: 24°C; continuous illumination (-7000 lux); continuously shaken at 100 rpm.

Analysis

At 0 and 72 h from control and 1000 mg/L by TOC-analysis.

Phys. meas.

pH, 8.0 at 0 h and 10.0-1 0.2 at 72 h.

Observations

Cell density at 0, 24, 48 and 72 h by spectrophotometry for treated

flasks; control cultures at 0 and 72 h by counting with

haemacytometer.

Results

For analytical results see -1 ssf table-below (note 4). Biological data are shown in the 2nd table (note 5).

Analytical results

| | | Concentration of TOC (mg C/L) | |
|----------|---------|-------------------------------|--|
| Time (h) | Control | Treatment | |
| 0 | 1.74 | 2.07 | |
| 72 | 4.62 | 4.34 | |

Biological results

| | | | Loading rate WAF [mg/L] |
|--|----------|-----|-------------------------|
| Parameter | Time [h] | 0 | 1000 |
| Mean cell density [10⁴ cells/ml] | 0 | 1.9 | 1.9 |
| | 2 4 | 5.5 | 5.4 |
| | 48 | 14 | 13 |
| | 7 2 | 4 9 | 4 9 |
| Inhibition [%] — AUC | 0-72 | 0 | 1 |
| Inhibition [%] — AUC Inhibition [%] -growth rate | 0-72 | 0 | 0 |

Conclusions

Solubility of test substance is very low; no conclusion about toxicity test substance (note 4).

Rev. note

- WAF is the maximum soluble concentration of the nominal test concentrations after 24 hours of stirring and 1 hour of equilibrating. Only the water phase was used in the definitive test solutions. In this test a WAF with a loading rate of 2000 mg/L was prepared, which was diluted with algal suspension to give a final WAF with a loading rate of 1000 mg/L.
- Strong rises in pH were recorded, probably associated with strong cell growth in the test (growth factor of 26 in 72 h).
- The amount of active ingredient (sebacic acid, bis(2-ethylhexyl)ester) in and the purity of CAS: 122-62-3 were not specified in the report.
- The analytical results show very low concentrations of the test substance in the test solutions at 0 h. At 72 h nothing is measured in the TOC analysis. This is probably due to the low solubility of the test substance in the water. Probably the water system is not sensitive for the toxicity of the test substance due to its low solubility. No reliable LC₅₀ value originates from this study.
- The initial cell concentrations were only specified for the controls and were relatively high. For the treatment flasks only absorbance values were given to indicate cell growth during the test. In this summary cell densities are included, which are deduced from a calibration curve prepared by the reviewer using the measured cell densities for the control at 0 and 72 h. The growth inhibition was also recalculated by the reviewer using the method specified in OECD 201.

Klimisch criterium No reliable LC50-value (note 4), test substance not specified (note 3).

GROUP C

3.26

Title Date of report Five day algal assay December 8, 1981.

GLP

No.

Test substance CAS: 70729-68-9, purity: 88%, 6% triethylene glycol di-n-heptanoate, 4% mixed ester of tetraethylene glycol with n-heptanoic and 2 methylhexanoic acids, 2% other mixed

esters.

Guideline Stat. method Test system

Not indicated. Not indicated.

Species Green algae (Selenastrum capricornutum).

1 x 10⁴ cells/mL. Initial cell conc.

No. of replicates 4/treatment.

Concentrations

Nominal: 25, 50, 100 mL/L (vehicle acetone), untreated and vehicle

conditions Test

120-h static test in 250 mL flasks containing 50 mL of algal medium (pH 7.1, hardness 18 mg CaCO₃/L); temperature: 24±2°C;

continuous illumination (-4300 lux); continuously shaken at 100

Analysis Phys. meas. No analyses were performed.

Not indicated.

Observations

Cell density at least at 0 and 120 h by electronic particle counting,

verified by spot haemacytometer counts (at 0 and 120 h).

Results

| | | | | Nominal | conce | ntration | [mL/L] | |
|-----------------------------------|----------|---------|--------|---------|-------|----------|--------|-----|
| Parameter | Time [h] | Control | (untr) | Control | (veh) | 25 | 50 | 100 |
| Mean cell density [I 04 cells/ml] | 120 | 21 | | 20 | | 9.3 | 5.3 | 4.7 |
| Inhibition [%] | o-120 | 0 | | 2 | | 55 | 74 | 77 |

Conclusions Rev. note

- 120 h-LC₅₀ recalculated by the reviewer using regression analyses was 16 mL/L.
- The concentrations were given in "mL/L". Since the density of the test substance is probably -1 mg/mL, the LC₅₀ was -16 mg/L.
- The test solutions were prepared from a 20% stock solution (1 mL test material and 4 mL of acetone). The concentrations of vehicle ranges from 10-40% (v/v). This is rather high (OECD 201, max. 100 mg/L = 13%). The amount of acetone in the control treatment was not reported, so it cannot be excluded that the test solutions contained more acetone than the vehicle control.
- 3. After 5 days the growth factor in the controls was only 20-21. OECD 201 stated that the growth factor in the control after 72 hours should be ≥16. Assuming exponential growth (characteristic of a healthy culture), a factor 20-21 is considered insufficient to meet this criterium. This invalidates the test.
- The study report was essentially limited to what is included above. Individual replicate data and physical measurements were not reported.
- Klimisch Insufficient growth in control (note 3), limited report (note 4). criterium

GROUP D

No data available.

GROUP E

3.27

Title Static 72-hour algae growth inhibition study of the WAF of CAS: 11138-60-6 to

Raphidocelis subcapitata (formerly, Selenastrum capricomutum)

Date of report

GLP

Yes.

Test substance

Guideline Stat. method

Test system

CAS: 11138-60-6, purity 100%.

November 26, 1996.

OECD 201, EEC L383A/179-186 C 3 (1992).

Fischer's exact test and binomial probability analysis. Green algae (*Raphidocelis* subcapitata). 1 x 1 0⁴ cells/ml. Species

Initial cell conc.

No. of replicates 3 per treatment, 6 for controls.

Water Accommodated Fractions (WAF, see note 1) prepared at Concentrations nominal 12, 24, 97, 242 and 1018 mg/L, untreated controls.

72-h static test in 125 mL flasks containing 50 mL of algal medium Test conditions

(pH 7.5); temperature: 24±1 °C; continuous illumination (-5000 lux);

continuously shaken at 100 rpm.

At 0 and 72 h from control, 12, 97 and 1018 mg/L by extraction/GC-**Analysis**

FID.

pH. At 72 h in all flasks 7.8-8.4. Temperature. Daily monitored, Phys. meas.

result not reported.

Observations Cell density at 24, 48 and 72 h by counting with haemacytometer. For analytical results see 1 st table below (LOD 0.12 mg/L), Biological data are shown in

the 2nd table.

Analytical results

Results

| | | Measured | concentration [mg | /L] | | | | |
|----------|----|--------------|-------------------|------|--|--|--|--|
| Time (h) | 0 | 0 12 97 1018 | | | | | | |
| 0 | nd | 0.54 | 1.24 | 0.68 | | | | |
| 72 | nd | nd | nd | nd | | | | |

Biological results

| | | Nominal concentration [mg/L] | | | | | | |
|----------------------------------|----------|------------------------------|-----|-----|-----|-----|------|--|
| Parameter | Time [h] | 0 | 12 | 24 | 97 | 242 | 1018 | |
| Mean cell density [104 cells/ml] | 24 | 8 | 8 | 7 | 7 | 7 | 4 | |
| , , | 48 | 29 | 22 | 26 | 24 | 25 | 13 | |
| | 72 | 129 | 106 | 106 | 114 | 122 | 82 | |
| Inhibition [%] - AUC | 0-72 | 0 | 18 | 15 | 13 | 8 | 44 | |
| Inhibition [%] – growth rate | 0-72 | 0 | 4 | 4 | 3 | 1 | 9 | |

Conclusions

Solubility of test substance is very low; no conclusion about toxicity test substance (note 2).

Rev. note

- 1. WAF is the maximum soluble concentration of the nominal test concentrations after 20 hours of stirring and 4 hours of equilibrating. Only the water phase was used in the definitive test solutions.
- The analytical results show very low concentrations of the test substance in the test solutions. This could partly be due to the inhomogeneity of the solution, but mostly to the very low solubility of the test substance in the water. Probably the water system is not sensitive for the toxicity of the test substance due to its low solubility. No reliable LC50 value originates from this study.
- The growth inhibition was recalculated according to OECD 201 by the reviewer.
- Minor remarks Light intensity and algae medium were not in accordance with OECD 201. The test is still acceptable, since no effects on the cell growth was seen in the controls.

Klimisch criterium No reliable LC50-value (note 2).

Title The toxicity of CAS: 68424-31-7 and 70983-72-I to Scenedesmus subspicatus

Date of report March 27, 1996. Yes.

GLP

Test substance CAS: 68424-31-7 and 70983-72-I ; purity 100%.

Guideline OECD 201, 92/69/EEC L383A C3 (1992), ISO 8692:1989(E).

Stat. method Not specified.

 $\begin{array}{lll} \text{Green} & \text{algae} & (\textit{Scenedesmus} & \textit{subspicatus}). \\ \text{8.2 x 1} & \text{0}^3 & \text{cells/ml}. \end{array}$ **Species** Test system

Initial cell conc.

No. of replicates 3 per treatment, 6 for controls.

Concentrations Nominal 1, 1.8, 3.2, 5.6 and 10 mg/L (dispersions), untreated

Test conditions 72-h static test in algal medium with illumination.

Analysis At 0 and 72 h from one replicate per treatment by extraction/GC-

pH. At 0 and 72 h in test solutions 6.8-7.9. Temoerature. 21-24°C. Phys. meas.

Results

Observations Cell density at 0, 24, 48 and 72 h by particle counting. For analytical results see 1" table below. Biological data are shown in the 2nd table.

Analytical results

| | | Measured concentration [% nominal] | | | | | | | | |
|----------|-----------|------------------------------------|-----|-----|-----|----|--|--|--|--|
| Time (h) | 0 | 1 | 1.8 | 3.2 | 5.6 | 10 | | | | |
| 0 | 0.01 mg/L | 70 | 61 | 66 | 59 | 61 | | | | |
| 72 | 0.05 mg/L | 49 | 29 | 50 | 25 | 27 | | | | |
| 0-72 | 0.03 mg/L | 60 | 45 | 58 | 42 | 44 | | | | |

Biological results

| | | | Mean me | asured c | oncentration | n [mg/L] | · · · · · · · · · · · · · · · · · · · |
|----------------------------------|----------|----|---------|----------|--------------|----------|---------------------------------------|
| Parameter | Time [h] | 0 | 0.60 | 0.84 | 1.8 | 2.4 | 4.4 |
| Mean cell density [10" cells/ml] | 0 | 1 | 1 | 1 | 1 | 1 | 1 |
| ,,, | 24 | 4 | 3 | 3 | 4 | 4 | 3 |
| | 48 | 15 | 15 | 15 | 17 | 14 | 20 |
| | 72 | 68 | 67 | 70 | 88 | 70 | 101 |
| Inhibition [%] - AUC | 0-72 | 0 | 3 | -2 | -26 | -1 | -42 |
| Inhibition [%] -growth rate | 0-72 | 0 | 1 | -5 | - 6 | - 3 | -17 |

Conclusions

72 h-EC₅₀ >4.4 mg/L.

Rev. note

- In the report no information is available about the light regime and intensity. Neither is it clear whether aeration was performed. Since no effect on the control cell growth was seen, the circumstances during the study can be expected to be correct, or at least acceptable to create a valid test.
- The growth inhibition was recalculated according to OECD 201 by the reviewer.

Klimisch criterium

The toxicity of CAS: 126-57-6 to Scenedesmus subspicatus Title

Date of report July 30, 1996.

GLP

Yes.

Test substance

Guideline

CAS: 126-57-8; purity 100%. OECD 201, 92/69/EEC L383A C3 (1992), ISO 8692:1989(E).

Stat. method

Test system Not specified.

Species Green algae (Scenedesmus subspicatus). 1 0⁴ cells/ml. Initial cell conc.

No. of replicates 3 per treatment, 6 for controls.

Concentrations Nominal 0.1, 0.32, 1 .O, 3.2 and 10 mg/L (dispersions), untreated

Test conditions 72-h static test in algal medium with illumination.

Analysis At 0, 24, 48 and 72 h from one replicate per treatment by

extraction/GC-FID.

Phys. meas. pH. At 0 and 72 h in test solutions 7.2-9.5. Temperature. 21-23°C. Cell density at 0, 24, 48 and 72 h by particle counting and at 48 and **Observations**

72 h by spectrophotometry.

Results Analytical results For analytical results see 1" table below. Biological data are shown in the 2" table.

| | | Measured concentration [% nominal] | | | | | | | | |
|----------|------|------------------------------------|----|-----|----|--|--|--|--|--|
| Time (h) | 0.1 | 0.32 | 1 | 3.2 | 10 | | | | | |
| 0 | 150 | 109 | 83 | 81 | 81 | | | | | |
| 24 | 130 | 25 | 26 | 34 | 47 | | | | | |
| 48 | 230 | 63 | 17 | 11 | 34 | | | | | |
| 72 | 30 | 0 | 0 | Ó | 14 | | | | | |
| 0-72 | 135* | 49* | 32 | 32 | 44 | | | | | |

· Analytical results below 0.3 mg/L are not reliable (note 1)

Biological results

| | | | Mean me | easured c | oncentration | n [mg /L] | |
|----------------------------------|----------|----|---------|-----------|--------------|-------------------|-----|
| Parameter | Time [h] | 0 | 0.14 | 0.16 | 0.32 | 1.0 | 4.4 |
| Mean cell density [104 cells/ml] | 0 | 1 | 1 | 1 | 1 | 1 | 1 |
| ĺ | 48 | 11 | 8 | 8 | 8 | 6 | 2 |
| | 72 | 55 | 42 | 53 | 59 | 70 | 61 |
| Inhibition [%] - AUC | 0-72 | 0 | 26 | 10 | 5 | -1 | 22 |
| Inhibition [%] -growth rate | O-72 | 0 | 8 | 1 | -1 | - 9 | -2 |

Conclusions

72 h-EC₅₀ >4.4 mg/L.

Rev. note

- The method of analysis was not valid for concentrations below 0.3 mg/L, due to the LOD.
- In the report no information is available about the light regime and intensity. Since no effect on the control cell growth was seen, the circumstances during the study can expected to be correct, or at least acceptable to create a valid test.
- 3. The result of the cell density at 24 hours was not reported. The growth inhibition was recalculated according to OECD 201 by the reviewer.
- 4. Strong rises in pH were recorded. Such rises are often associated with strong cell growth, probably due to CO:! depletion from test media. CO2 exchange between the atmosphere and the test media is commonly facilitated by shaking the flasks. In the present test it is not clear whether the flasks were shaken. The control was not affected by lack of CO2, since a very adequate growth factor of 55 in 72 hours was measured. So the study reliability is not lowered.

Klimisch criterium

Appendix 4 - Health Data for the Aliphatic Esters

Acute oral toxicity

GROUP A

4.03 Title

Final report on the safety assessment of Octyl Palmitate, Cetyl Palmitate and Isopropyl

Palmitate 1982.

Date of report

GLP No.

Test CAS: 29806-73-3, Octyl Palmitate, purity 98.6% (<1.4% palmitic acid).

substance

Guideline Not indicated. Not applicable. Stat. method

Test system

| Tool System | | | |
|---------------|--|---|---|
| Species | rat | rat | rat |
| No. animals | 10 | 5/dose group. | 5/dose group |
| Dosage | Single oral administration of maximum 8 ml/kg (↔6900 mg/kg). | Single oral administration of 2.5, 5.0 , 10.0, 20.0 or 40.0 ml/kg(↔2200, 4300, 8600, 17200 or 34400 mg/kg). | Single oral administration of 2.0, 4.0, 8.0, 16.0, 32.0 or 64.0 ml/kg(↔1700, 3400, 6900, 13800, 27500 or 55000 mg/kg). |
| Observatio ns | Clinical signs and mortality. | Mortality. | Clinical signs and mortality. |
| Results | No clinical signs or mortality. | No mortality. | Clinical signs were seen in the 32.0 and 64.0 ml/kg dose group and consisted of wet rough fur, diarrhoea, ocular haemorrhage. No mortality. |
| LD50 | > 6900 <u>mg/kg</u> . | > 34400 mg/kg. | > 55000 mg/kg |

Conclusions

Rev. note

- Oral LD₅₀ > 55000 mg/kg. 1. It is stated that both sexes were used.
- Dose levels were re-calculated by the reviewer based on the density of the test substance (860 mg/ml).

Klimisch

4 Limited report, secondary literature.

Title Toxicity studies for Union Camp Corporation.

Date of report October 6, 1972.

GLP

Test CAS: 68334-13-4, purity not indicated.

substance

Guideline Not indicated. Stat. method Not indicated.

Rat, weight 200-300 g. Test system Species

No. of animals 5/treatment. Single oral (gavage) administration of 2.0, 4.0, 8.0, 16.0, 32.0 or 64.0 Dosage

g/kg; no controls; feeding ad libitum (food was withheld -24 h prior to

dosina).

Observations Mortality/clinical signs daily for 14 days.

Doculto

| Dose [g/kg bw] \ effect | Day | 2.0 | 4.0 | 8.0 | 16.0 | 32.0 | 64.0 | DR |
|-------------------------------|------|-----|-----|-----|------|------|------|----|
| Mortality | 1-14 | | | No | one | | | |
| Clinical signs ^(A) | 1-14 | | | | + | + | + | X |

(A) Sluggish and impaired locomotion, swelling around the ocular area, slight loss of hair and wet, messy, rough fur was noted.

Conclusions

Oral $LD_{50} > 64.0$ g/kg body weight.

Rev. note

- 1. Each dose level consisted of 5 animals. Males and females were indicated to be distributed equally, but no further information on this subject was provided. It is not clear whether the animals were the animals were group-caged by sex.
- The report was limited. No measurements of body weights or post-mortem investigation were performed.

Klimisch criterium

2 Limited report, non-GLP.

4.06 Title

Toxicity studies for Union Camp Corporation.

Date of report July 13, 1972.

GLP No.

Test CAS: 29806-73-3, 2-Ethylhexyl palmitate, purity not indicated.

substance

Guideline Not indicated. Not indicated. Stat. method

Test system Species Rat. weight 200-300 g.

No. of animals S/treatment.

Single oral (gavage) administration of 2.0, 4.0, 8.0, 16.0, 32.0 or 64.0 Dosage

g/kg; no controls; feeding ad libitum (food was withheld -24 h prior to

dosina).

Mortality/clinical signs daily for 14 days. **Observations**

Results

| Nesula | | | | | | | | |
|-------------------------------|------|-----|-----|-----|------|------|------|----|
| Dose [g/kg bw] \ effect | Day | 2.0 | 4.0 | 6.0 | 16.0 | 32.0 | 64.0 | DR |
| Mortality | 1-14 | | | No | one | | | |
| Clinical signs ^(A) | 1-14 | | | | | + | + | Х |

(A) Diarrhoea, ocular haemorrhage and wet, rough fur was noted. Animals returned to normalcy within five days.

Conclusions

Oral LD₅₀ > 64.0 g/kg body weight.

Rev. note

- 1. Each dose level consisted of 5 animals. Males and females were indicated to be distributed equally, but no further information on this subject was provided. It is not clear whether the animals were group-caged by sex.
- The report was limited. No measurements of body weights or post-mortem investigation were performed.

Klimisch criterium

2 Limited report, non-GLP.

Title Single dose oral toxicity in rats

Date of report August 19, 1982.

GLP

Test CAS: 29806-73-3, Octyl palmitate, purity not indicated.

substance

Guideline Not indicated. Not indicated. Stat. method

Test system **Species** Rat (Wistar), weight 213 - 230 g.

No. of animals 10 males/treatment.

Single oral administration of 5000 mg/kg bw (dosing volume not Dosage

indicated): no controls; feeding ad libitum (food was withheld -16

h prior to dosing).

Mortality and clinical signs several times on day 0 (day of dosing) and Observations

daily until day 14.

Results

| Dose [mg/kg bw]\effect | | 5000 |
|-------------------------------|------|------|
| Sex | Day | M |
| Mortality | o-14 | 1/10 |
| Clinical signs ^(A) | o-14 | + |

(A) Clinical signs included chromodacryorrhea, lethargy, piloerection, diarrhoea, ptosis and wet anogenital area.

Conclusions Oral $LD_{50} > 5000$ mg/kg bw.

Rev. note 1. No body weight measurements were performed during the study.

No necropsy was performed at termination.
 Limited report. Non-GLP study.

Klimisch

criterium

GROUP B

4.08

Title Range-Finding Toxicity Data: List VI

Date of report March-April, 1962.

GLP No.

Test CAS: 103-24-2, purity not indicated .

substance

Guideline Not indicated. Stat. method Thompson, Weil.

Rat (Carworth-Wistar), males, weight 90-120 g, 4 - 5 weeks of age. Test system Species

No. of animals 5/treatment.

Single oral administration (gavage), dose levels not given (vehicle not Dosage

indicated: water, corn oil of Tergitol, dose volume not given); use of

control group not given, animals were non-fasted.

Observations Mortality during 14 days.

Conclusions

Oral LD₅₀: 8.72 ml/kg

Rev. note

1. No measurements for clinical signs, body weights, food consumption and necropsy were performed during the study. No results of the mortalities were given.

The report was limited to the above mentioned. Density is not given, therefore the

dose in mg/kg could not be calculated by the reviewer.

Klimisch criterium 4 Very limited report. Non-GLP study.

Title Range-Finding Toxicity Data: List V

Date of report 1954.

GLP No.

Test CAS: 105-52-2, purity not indicated .

substance

Guideline Not indicated.
Stat. method Thompson, Weil.

Test system Species Rat (Carworth-Wistar), males, weight 90-120 q, age not given.

No. of animals 5/treatment.

DosageSingle oral administration (gavage), dose levels not given (vehicle not indicated: water, corn oil of Tergitol), dose volume between **1** and 10

mL); use of control group not given, animals were non-fasted.

Observations Mortality during 14 days.

Conclusions

Oral LD₅₀: 7.46 g/kg.

Rev. note 1. No measur

1. No measurements for clinical signs, body weights, food consumption and necropsy were performed during the study. No results of the mortalities were given.

2. Information about several aspects was incomplete or absent.

Klimisch criterium

4 Very limited report. Non-GLP study.

4.10

Title Problems of hygiene maintenance for food coming into contact with rubber and plastics

products

Date of report 1975. No.

Test CAS: 27178-I 6-1, Di-isodecyl adipate, purity not indicated.

substance

GuidelineNot indicated.Stat. methodNot applicable.Test systemSpecies DosageRat. Oral.

Conclusions Oral LD₅₀ 20.5 g/kg.

Klimisch 4 Limited report, secondary literature.

criterium

4.11

TitleAcute oral toxicity study
Date of report
December 11, 1973.

GLP No.

Test substance CAS: 16958-92-2, purity not indicated.

Guideline Not indicated.
Stat. method Not applicable.

Test system Species Rat (Sherman-Wistar).

No. of animals 5/sex/treatment.

Dosage Single oral administration of 16.0 g/kg; no controls; feeding ad libitum

(food was withheld -24 h prior to dosing).

Observations Mortality/clinical signs daily for 14 days.

Results

| Dose [g/kg bw] \ effect | Day | 16.0 | |
|-------------------------|------|------|---|
| Sex | | M | F |
| Mortality | 1-14 | None | |

Conclusions Oral $LD_{50} > 16.0$ g/kg.

Rev. note Report was limited. No measurements for body weight or clinical signs were reported. No

necropsy was performed.

Klimisch 2 Limited report, non-GLP.

Title Report on single dose oral toxicity in rats.

Date of report March 27, 1978.

GLP No.

Test CAS: 16958-92-2, name and purity not indicated.

substance

Guideline Not indicated. Stat. method Not indicated.

Test system Species Rat (Wistar), weight 200-300 g.

No. of animals 5/sex/treatment.

Dosage Single oral administration of 15.0 g/kg; no controls; feeding ad libitum

(food was withheld -18 h prior to dosing).

Observations Clinical signs for 14 days.

Results

| Dose [g/kg bw] \ effect | 1 | 5.0 |
|-------------------------|----|-----|
| Sex | M | F |
| Mortality | N | one |
| Clinical Signs (A) | ++ | + |

(A) Clinical sians consisted of diarrhoea, lethargy, flaccid, body oilv, ptosis and chromorhinnorrhea.

Conclusions Oral $LD_{50} > 15.0$ g/kg.

Rev. note The report was limited. No measurements of body weights or necropsy were performed.

Kilmisch 2 Limited report, non-GLP.

criterium

4.13

Title Toxicity studies for XXXX

Date of report October 6, 1972.

GLP No.

Test CAS: 108-63-4, purity not indicated.

substance

Guideline Not indicated. Stat. method Not indicated.

Test system Species Rat, weight 200-300 g.

No. of animals 5/treatment.

Dosage Single oral (gavage) administration of 2.0, 4.0, 8.0, 16.0, 32.0 or 64.0

g/kg; no controls; feeding ad libitum (food was withheld -24 h prior to

dosing).

Observations Mortality/clinical signs daily for 14 days.

Results

| IVEORITO | | | | | | | | |
|-------------------------|------|-----|-----|-----|------|------|------|----|
| Dose [g/kg bw] \ effect | Day | 2.0 | 4.0 | 8.0 | 16.0 | 32.0 | 64.0 | DR |
| Mortality | 1-14 | 0/5 | 0/5 | 0/5 | 0/5 | 0/5 | 2/5 | Х |
| Clinical signs (A) | 1-14 | | | + | + | + | + | Х |

(A) Sluggish locomotion, lethargy, ocular swelling and wet, scrufty, rough fur was noted. Survivors returned to normalcy within seven days.

Conclusions

Oral $LD_{50} > 64.0$ g/kg body weight.

Rev. note

- Each dose level consisted of 5 animals. Males and females were indicated to be distributed equally, but no further information on this subject was provided. It is not clear whether the animals were group-caged by sex.
- The report was limited. No measurements of body weights or post-mortem investigation were performed.

Klimisch criterium

2 Limited report, non-GLP.

Title Range finding toxicity tests.

January 12, 1977. Date of report

GLP

Test CAS: 142-16-5 (di-2-ethylhexyl maleate), purity 100%.

substance

Guideline Not indicated. Stat. method Not applicable.

Species Rat (Hilltop-Wistar), mean weight 98-l 07 g. Test system

No. of animals 13 males.

Dosage Single oral administration of 10.0 ml/kg to 10 males and of 5.0 ml/kg to

3 males; no controls; feeding ad libitum.

Mortality/clinical signs twice on day 1, daily from day 2 to 8, and on day Observations

Body weights on day 1 and 14.

Necropsy on day 14.

Results

| results | | | |
|-------------------------------|------|----------------------|---------|
| Dose [ml/kg bw] \ effect | Day | 5.0 | 10.0 |
| Mortality | 1-14 | None | |
| Clinical signs ^(A) | I-14 | | + |
| Body weight gain | 1-14 | No treatment related | effects |
| Necropsy | 14 | No treatment related | effects |

(A) Findings consisted of wet fur.

Conclusions Oral $LD_{50} > 10.0$ ml/kg.

Rev. note No measurements for body weight on day 7 were performed.

The animals were not fasted before treatment.

Dose level (g/kg) could not be calculated, since density was not indicated.

13 males were used instead of 5/sex/dose group. 2 Report was limited to the above mentioned, non-GLP.

Klimisch

criterium

4.15 Title

Acute Oral Toxicity Test of "CAS: 28472-97-1" in Rats

December 22, 1993. Date of report

GLP Yes.

Test substance CAS: 28472-97-1, purity: not indicated.

OECD 401, 92/69/EEC. Guideline

Not applicable. Stat. method

Test system Species Rat (Wistar), weight males 209-221 g, females 153-l 89 g.

No. of animals 5/sex/treatment.

Single oral administration (gavage) of 2000 mg/kg bw (dosing volume Dosage

2.20 ml/kg); no controls; feeding ad libitum (food was withheld -16 h

prior to dosing and -3 - 4 h after dosing).

Observations Mortality and clinical signs several times on day 0 (day of dosing) and

daily until day 14.

Body weights on day 0, 7 and 14.

Necropsy on day 14.

Results

| INCOURG | | | |
|---|------|------------------------------|--|
| Dose [mg/kg_bw]\effec | t | 2000 | |
| Sex | Day | M F | |
| Mortality | o-14 | None | |
| Clinical signs | o-14 | No treatment related effects | |
| Body weight gain | o-14 | No treatment related effects | |
| Body weig ht gain Necropsy ^(A) | 14 | No treatment related effects | |

(A) Incidental findings included urinary retention in the bladder, hyperaemia in the lung and hyrometra of the uterus.

Conclusions Oral LD₅₀ > 2000 mg/kg bw.

Klimisch

GROUP C

4.16

Title Final report on the safety assessment of Glycol Stearate, Glycol Stearate SE, and Glycol

Distearate

Date of report 1982. **GLP** No.

CAS: 627-83-8, Glycol Distearate, purity not indicated. Test

substance

Guideline Not indicated. Stat. method Not applicable.

Test system

| Species | rat | rat | rat | rat | | |
|---------------|--|---|---|--|--|--|
| No. animals | 5/dose | 5/dose | 1 O/dose | 1 O/dose | | |
| Dosage | Single oral administration of 0.464-l 0 g/kg (50% in corn oil) | Single oral administration of 0.5-I 6 g/kg (25% in corn oil) | Single oral administration of 10 g/kg | Single oral administration of 5000 mg/kg (undiluted) | | |
| Observatio ns | | Not indicated | | | | |
| Results | Doses of 13 or more g/kg bw in corn oil produced diarrhoea, wet oily coats, and nasal haemorrhage. | | | | | |
| LD50 | > 10 g/kg | > 16 g/kg | > 10 g/kg | > 5000 mg/kg | | |

Conclusions Oral LD₅₀ > 16 g/kg.

Klimisch 4 Limited report, secondary literature.

criterium

substance

4.17 Title

Acute oral toxicity and primary skin and eye irritation studies of CAS: mix of 67989-24-6

and 70024-57-6 and industrial phosphate ester

December 30, 1976. Date of report

GLP

CAS: 67989-24-6 and 70024-57-6 (both 40-45%), CAS: mix of 67969-24-6 and 70024-Test

57-6, impurities polymerised quinoline and styrene co-polymer (both 1-5%).

Not indicated. Guideline Stat. method Not applicable.

Species Rat, weight 215-229 g. Test system

5 males/dose group. No. of animals

Single oral administration (gavage) of 0.464, 1 .00, 2.15, 4.64 and 10.0 Dosage ml/kg bw; no controls; feeding ad libitum (food was withheld -18 h prior

to dosing).

Mortality/clinical signs several times on day 1 and at least once daily Observations

for 14 days.

Body weights on day 1 and 14.

Necropsy on day 14.

Results

| Dose [ml/kg bw] \ effect | Day | 0.464 | 1 | .00 | 2.15 | 4.64 | 10.0 | DR |
|--------------------------|------|-------|----|-----------|-----------|---------|------|----|
| Mortality | 1-14 | | | N | lone | | | |
| Clinical signs (A) | 1-14 | | | | + | + | + | Х |
| Body weight gain | 1-14 | | No | treatmen | t related | effects | | |
| Necropsy | 14 | | No | treatment | related | effects | | |

(A) Clinical observations included diarrhoea, staining of urine or diarrhoea, oily rough, unkempt fur, depression, depressed righting and placement reflexes.

Conclusions Oral $LD_{50} > 10.0$ ml/kg bw.

1. 5 males/dose group were used instead of 5/sex/dose group. Rev. note

Density was not indicated, so doses in mg/kg could not be calculated.

Minor remarks. No measurements of body weight were performed on day 7.

Klimisch 2 Report was limited to the above mentioned, non-GLP.

Title Acute oral toxicity and primary skin and eye irritation studies of CAS: mix of 67989-24-6

and 70024-57-6

Date of report December 30, 1976.

GLP Test No.

CAS: 67989-24-6 and 70024-57-6 (mix tested), purity not indicated.

substance

Guideline Stat. method

Not indicated. Not applicable.

Test system Species

No. of animals 5 males/dose group.

Dosage

Single oral administration (gavage) of 0.464, 1 .00, 2.15, 4.64 and 10.0 ml/kg bw; no controls; feeding ad libitum (food was withheld -18 h prior

to dosing).

Observations Mortality/clinical signs several times on day 1 and at least once daily

for 14 days.

Body weights on day 1 and 14.

Necropsy on day 14.

Rat, weight 205-237 g.

Results

| Noouno | | | | | | | | |
|--------------------------|------|-------|----|-----------|---------|---------|------|----|
| Dose [ml/kg bw] \ effect | Day | 0.464 | 1 | .00 | 2.15 | 4.64 | 10.0 | DR |
| Mortality | 1-14 | | | N | one | | | |
| Clinical signs (A) | 1-14 | | | | + | + | + | Х |
| Body weight gain | 1-14 | | No | treatment | related | effects | | |
| Necropsy | 14 | | No | treatment | related | effects | | |

(A) Clinical observations included diarrhoea, oily rough fur, depression, depressed righting and placement reflexes.

Conclusions

Oral $LD_{50} > 10.0 \text{ m/kg bw}$.

Rev. note

- 1. 5 males/dose group were used instead of 5/sex/dose group.
- 2. Density was not indicated, so doses in mg/kg could not be calculated.
- Minor remarks. No measurements of body weight were performed on day 7.

Klimisch criterium

2 Report was limited to the above mentioned, non-GLP.

4.19 Title

Oral LD50 test in rats

April 7, 1981. Date of report

GLP No.

Test substance CAS: 70729-68-9, purity: 88%, 6% triethylene glycol di-n-heptanoate, 4% mixed ester of

tetraethylene glycol with n-heptanoic and 2 methylhexanoic acids, 2% other mixed

esters; used undiluted or 55-90% formulation in corn oil.

Guideline Not indicated.

Stat. method Probit analysis (Finney, 1971).

Rat (Crl:CD), weight 187-203 g. Test system Species

No. of animals 10 females/treatment.

Dosage Single oral administration (gavage) of 14, 19, 22, 23, 24, 24.5, 24.75,

24.9 and 25 g/kg bw (vehicle corn oil); dosing volume 4.3-5.0 mL (at 25

g/kg bw; 8.9 mL in two times); no controls.

Observations Mortality/clinical signs/body weight until day 14.

Results

| Dose [g/kg | bw]\effect | Day | 14 | 19 22 | 2 23 | 24 | 24.5 | 24.75 | 24.9 | 25 | DR |
|--------------------------|------------|------|------|-------|------|------|------|-------|------|-------|----|
| Mortality ^(A) | o-14 | 0/10 | 0/10 | 0/10 | 0/10 | 4/10 | 1/10 | 1/10 | 2/10 | 10/10 | Х |
| Clinical signs (B) | o-14 | + | + | + | + | + | + | + | + | + | |
| Body weight | 14 | d | đ | d | d | d | d | d | d | d | |

(A) All deaths occurred within 2 days

(B) Clinical observations included flat body posture, moribundness, labored breathing, stained/wet perineal area, lacrimation, stained face, weakness, ataxia, lethargy, prostration, salivation and chromodacryorrhea.

Oral LD50 25 g/kg bw.

Rev. note

- Only females are used in this test.
- The frequency of the observations was not indicated. No individual values were presented. It is not clear whether or not fed was witheld before dosing. No necropsy was performed.
- The report is limited to the above mentioned.

Klimisch criterium 2 Limited report, only females tested.

4.20

Title Acute oral toxicity test of CAS: 70729-68-g in rats

Date of report July 5, 1991.

GLP Yes.

substance CAS: 70729-68-9, purity **94.5%,** 2% monoesters. **line** OECD 401, **84/449/EEC**. Test

Guideline

Stat. method Not applicable.

Species Test system Rat (Wistar), weight males 281-l 95 g, females 165-181 g.

No. of animals 5/sex/treatment.

Dosage Single oral administration (gavage) of 2000 mg/kg bw (dosing volume

2 mL/kg); no controls; fed was withheld 16 h prior to dosing and 3-4 h

Observations Mortality/clinical signs 10 min, 1, 2, 6 and 24 h post-dosing and daily

thereafter for 14 days.

Body weight on day 0, 7 and 14.

Necropsy on day 14.

Results

| Itoouito | | |
|-------------------------------|------|------------------------------|
| Dose [mg/kg bw]\effect | Day | 2000 |
| Mortality | 0-14 | None |
| Clinical signs ^(A) | 0-14 | + |
| Body weight | o-14 | No treatment related effects |
| Necropsy | 14 | No treatment related effects |

(A) Clinical signs observed on day 1 only included ventral or limb position, reduced activity, reduced skin tugor and

&erection.

Conclusions Oral LD₅₀ >2000 mg/kg bw.

Klimisch

criterium

4.21

Title Oral LD50 test in rats

Date of report July 23, 1980.

GLP

substance CAS: 70729-68-9, purity: 88%, 6% triethylene glycol di-n-heptanoate, 4% mixed ester of Test

tetraethylene glycol with n-heptanoic and 2 methylhexanoic acids, 2% other mixed

esters.

Guideline Not indicated. Stat. method Not applicable.

Test system Species Rat (ChR:CD), weight 261 g.

No. of animals 10 males/treatment.

Dosage Single oral administration (gavage) of 25 g/kg; dosing volume 6.5 mL

in two times; no controls.

Mortality/clinical signs/body weight until **Observations** day

Results

| IVESUITS | | |
|-------------------------------|------|--------------|
| Dose [g/kg bw]\effect | Day | 25 |
| Mortality ^(A) | 0-14 | 1/10 |
| Clinical signs ^(B) | 0-14 | + |
| Body weight | 1 4 | Not reported |

Death occurred on the day after dosing.

(A) Death occurred on the day aπer dosing.
 (B) Clinical observations included hyperaemia, lethargy and prostration.

Oral LD_{50} >25 g/kg bw.

Rev. note

- 1. Only males are used in this test.
- The frequency of the observations was not indicated. No individual values were presented. It is not clear whether or not feed was witheld before dosing. No necropsy was performed.
- 3. Slight initial weight loss was observed.
- 4. The report is limited to the above mentioned.

Klimisch criterium

2 Limited report, only males tested.

GROUP D

4.22

Title

Final report on the safety assessment of Sorbitan Stearate, Sorbitan Laurate, Sorbitan Sesquioleate, Sorbitan Oleate, Sorbitan Tristearate, Sorbitan Palmitate, and Sorbitan

Trioleate 1985.

Date of report GLP

GLP No. Test CAS:

CAS: 1338-41-6, Sorbitan stearate, purity not indicated.

substance

Guideline Not indicated. Stat. method Not applicable.

Test system

| Species | rat | rat | Rat (Harlan Wistar) |
|--------------|--|--|--|
| No. animals | 10/sex | 5 females | 5/sex |
| Dosage | Single oral dose (gavage) of 15.9 g/kg (30%) | Single oral dose (gavage) of 15 g/kg (100%) | Single oral dose (gavage) of 0.28 g/kg (4%) (7 ml/kg) to fasted rats |
| Observations | Mortality for 14 days | Mortality, abnormalities for 7 days. Necropsy | Mortality, clinical signs for 14 days |
| Results | No mortality | No mortality or abnormalities | No mortality or clinical signs |
| LD50 | > 15.9 g/kg | > 15 g/kg | > 0.28 g/kg |

Conclusions

Oral $LD_{50} > 15.9$ g/kg.

Klimisch criterium

4 Limited report, secondary literature.

4.23 Title

Final report on the safety assessment of Sorbitan Stearate, Sorbitan Laurate, Sorbitan

Sesquioleate, Sorbitan Oleate, Sorbitan Tristearate, Sorbitan Palmitate, and Sorbitan

Trioleate

Date of report 1985. GLP No.

Test CAS: 1338-39-2, Sorbitan laurate, purity not indicated.

substance

Guideline Not indicated.
Stat. method Not applicable.

Test system

| Species | rat | rat | rat | rat |
|--------------|--|---|---|---|
| No. animals | 10 males | 30 males | 30 females | 60/sex |
| Dosage | Single oral dose of 20 g/kg (100%) | Single oral dose of 25.1-39.8 g/kg (100%) to fasted rats | Single oral dose of 25.1-39.8 g/kg (100%) to fasted rats | Single oral dose of 25.1-39.8 g/kg (100%) to fasted rats |
| Observations | mortality for 2 days | mortality for 14 days | mortality for 14 days | mortality for 14 days |
| Results | no effects | 2 of 10 rats died from highest dose | | |
| LD50 | > 20 g/kg | > 39.8 g/kg | 33.6 g/kg | 41.25 g/kg |

Oral $LD_{50} > 41.25$ g/kg. 4 Limited report, secondary literature. Klimisch

criterium

4.24

Final report on the safety assessment of Sorbitan Stearate, Sorbitan Laurate, Sorbitan Sesquioleate, Sorbitan Oleate, Sorbitan Tristearate, Sorbitan Palmitate, and Sorbitan Title

Trioleate

Date of report 1985. **GLP** No.

Test CAS: 8007-43-0, Sorbitan sesquioleate, purity not indicated.

substance

Guideline Not indicated. Stat. method Not applicable.

Test system

| TOOL SYSTEM | | |
|--------------|-------------------------------------|---|
| Species: | rat | rat |
| No. animals | 10/sex | 2/sex |
| Dosage | Single oral dose of 39.8 g/kg (90%) | Single oral dose of 23.1 and 34.6 g/kg (3%) |
| Observations | Mortality for 14 days | Mortality, clinical signs for > 3 days |
| Results | No mortality | No mortality. Clinical signs consisted of hypoactivity and ruffled fur. |
| LD50 | > 39.8 g/kg | > 34.6 g/k g |

Conclusions

Oral LD₅₀ > 39.8 g/kg. 4 Limited report, secondary literature. Klimisch

criterium

4.25

Final report on the safety assessment of Sorbitan Stearate, Sorbitan Laurate, Sorbitan Title

Sesquioleate, Sorbitan Oleate, Sorbitan Tristearate, Sorbitan Palmitate, and Sorbitan

Trioleate

1985. Date of report GLP No.

Test CAS: 1338-43-8, Sorbitan oleate, purity not indicated.

substance

Not indicated. Guideline Not applicable. Stat. method

Test system

| Tool Gyolom | | |
|--------------|--|---------------------------------------|
| Species | rat | rat |
| No. animals | 10/sex | males |
| Dosage | Single oral dose (gavage) of 39.8 g/kg | Single oral dose of 10 ml/kg (100%) ↔ |
| | (90%) to fasted rats | 10 g/kg |
| Observations | Mortality for 14 days | Mortality for 6 days, histological |
| | · | examination |
| Results | No mortality | No mortality or abnormalities |
| LD50 | > 39.8 g/kg | > 10 g/kg |

Conclusions

Oral LD₅₀ > 39.8 g/kg. 4 Limited report, secondary literature. Klimisch

Final report on the safety assessment of Sorbitan Stearate, Sorbitan Laurate, Sorbitan Title

Sesquioleate, Sorbitan Oleate, Sorbitan Tristearate, Sorbitan Palmitate, and Sorbitan

Trioleate

1985. Date of report No.

GLP

Test CAS: 26266-58-0, Sorbitan trioleate, purity not indicated.

substance

Guideline Not indicated. Stat. method Not applicable.

Test system

| TOOL SYSTEM | | |
|--------------|---|---|
| Species | rat | Harlan Wistar rat |
| No. animals | 1 O/sex | 5/sex |
| Dosage | Single oral dose (gavage) of 39.8 g/kg (90%) to fasted rats | Single oral dose of 5.0 ml/kg (5%) ↔ 0.25 ml/kg (density not indicated) |
| Observations | Mortality for 14 days | Mortality, clinical signs for 7 days |
| Results | No mortality | No mortality or clinical signs |
| LD50 | > 39.8 g/kg | > 0.25 ml/kg |

Conclusions Oral LD₅₀ > 39.8 g/kg.

Klimisch 4 Limited report, secondary literature. criterium

4.27

Title Oral toxicities of lauric acid and certain lauric acid derivatives

Date of report 1960. **GLP** No.

CAS: 1338-39-2, CAS: 1338-39-2, purity not indicated. Test substance

Not indicated. Guideline Not indicated. Stat. method

Test system Species Rat (Osborne-Mendel), weight 40-50 g.

No. of animals 1 O/sex/dose level.

Dietary administration for 23 weeks at 0, 15, 20 and 25%; diets Dosage

were prepared every two weeks.

Mortality/clinical signs (frequency not indicated). Observations

Body weights weekly.

Blood parameters from at least 5 animals/dose level.

Macroscopy and organ weights in survivors.

Histopathology of all animals.

Results

| Dose (% in diet) | | 0 | | 15 | 2 | 0 | 2 | 5 | ם | R _ |
|-------------------------------|---|---|----|--------|------------|----|------|------|---|-----|
| Dose (g/kg bw) | 0 | 0 | 9 | 18 | 22 | 23 | N/A | N/A | | |
| Sex | М | F | М | F | M | F | M | F | 2 | F |
| Mortality | | | N | one | | | 9/10 | 9/10 | | |
| Clinical signs ^(A) | | | + | + | + | + | + | + | | |
| Body weight gain | | | dc | dc | dc | dc | N/A | N/A | Х | |
| Haematology | | | • | | eported | | | | | |
| Organ weight | | | _ | Not re | ported | | | | | |
| Necropsy (B) | | | + | + | + | + | + | + | х | Х |
| Histopathology (2) | | 1 | + | + | / + | + | + | + | | |

(A) Among treated animals diarrhoea and unkempt appearance was noted.

(B) Observations included gangrene of the tail, paleness and enlargement of the liver and bile duct enlargement.

(C) Among 31 animals investigated the main findings included fatty changes or fibrosis of the liver, chronic hepatitis, focal necrosis and/or slight or moderate enlargement of hepatocytes, thickening of the bile duct wall with enlargement, slight to moderate epithelial proliferation (minimal inflammation), focal nephritis (mainly in conical tubulus), proteinuria, foamy macrophages in the lungs and hyperplasia of bone-marrow and spleen.

NOAEL < 23 g/kg bw.

Rev. note

- 1. The test substance is not sufficiently identified.
- The high dose levels tested may interfere with nutritional balance of the diet. Therefore it can not be excluded that part of the observations may have been caused by this nutritional imbalance.
- 3. The diet was not analysed for adequacy and homogeneity of preparation and no information on stability of the test substance (in the matrix) was provided.

4. The report is limited to the above mentioned.

Klimisch criterium 3 Nutritional imbalance not excluded (note 2), limited report and no identity of the test substance.

4.2% Title

CAS: 1338-39-2 products - Acute oral toxicity in rats.

Date of report January 26, 1967.

GLP No.

Test substance CAS: 1338-39-2, purity not indicated.

Guideline Not indicated. Litchfield and Wilcoxon. Stat. method

Test system Species Rat (Wistar), weight males 134-I 67 g, females 140-I 57 g.

11 females and 10 males for the highest dose group and 5/sex for the No. of animals

other dose groups.

Single oral (gavage) administration (in two equal portions) of 28.2, Dosage

31.6, 35.5 and 39.8 g/kg (dosing volume 50 ml/kg); no controls; feeding ad *libitum* (food was withheld -16 h prior to dosing).

Observations Mortality on day 1, 2 and 14.

Clinical signs several times on day 1 and daily until day 14.

Body weights on day 1. Necropsy on day 14.

Results

| Dose [g/kg bw] \ effect | | 28 | 8.2 | 3′ | 1.6 | 35 | 5.5 | 3 | 9.8 | |)R |
|-------------------------|------|-----|-----|-----|-----|-----|-----|------|--------|----|----|
| Sex | Day | М | F | М | F | M | F | М | F | | MF |
| Mortality | 1-14 | 0/5 | 1/5 | 1/5 | 1/5 | 3/5 | 4/5 | 0/10 | 10/1 1 | | Χ |
| Clinical signs (A) | 1-14 | + | + | + | + | + | + | + | + | Х | Х |
| Necropsy (B) | 14 | + | + | + | _ + | + _ | + | + | + | Χ_ | X |

(A) Clinical observations included depression, pallor, (mucoid) diarrhoea, ruffed fur, slight gasping, red discharge around eyes, in nose and mouth and wet, stained perineal area.

(B) Main findings in animals that died included autolysis, red, congested lungs with focal haemorrhages, hydronephrosis, pale, congested (medulla) kidneys, kidney necrosis, congestion of thymus, gas distended gastrointestinal tract, stomach erosion and congestion, congestion of intestines (fluid filled), pale, mottled liver, soft heart, engorged auricles of the heart. In 14-day survivors effects were limited to congestion of the lungs, soft and/or enlarged heart, hydronephrosis and congestion of the medulla.

Conclusions Oral LD₅₀ 36.0 g/kg.

Rev. note The report was limited. No measurements for body weights were performed on days 7

and 14.

Klimisch 2 Limited report, non-GLP.

Title CAS: 26266-58-O products-Acute oral toxicity in rats.

Date of report January 26, 1967.

GLP No.

Test substance CAS: 26266-58-0, purity not indicated.

Guideline Not indicated. Stat. method Not applicable.

Test system Species Rat (Wistar), weight 134-I 64 g.

No. of animals 1 O/sex/dose group.

Dosage Single oral (gavage) administration (in two equal portions) of 39.8 g/kg

(dosing volume 50 ml/kg); no controls; feeding ad libitum (food was

withheld -16 h prior to dosing).

Observations Mortality on day 1, 2 and 14.

Clinical signs several times on day 1 and daily until day 14.

Body weights on day 1. Necropsy on day 14.

Results

| - toouto | | |
|--------------------------|------|---|
| Dose [g/kg bw] \ effect | 39.8 | |
| Sex | M | F |
| Mortality/Clinical signs | None | |
| Necropsy ^(A) | + | + |

(A) Findings consisted of soft heart, hydronephrosis, focal haemorrhage in the lungs and congested lungs.

Conclusions Oral LD₅₀ > 39.8 g/kg.

Rev. note The report was limited. No measurements for body weights were performed on days 7

and 14.

Klimisch 2 Limited report, non-GLP.

criterium

4.30

Title Acute oral toxicity of CAS: 1338-39-2 products in rats.

Date of report November 23, 1966.

GLP No.

Test substance CAS: 1338-39-2, purity not indicated.

Guideline Not indicated.

Stat. method Litchfield and Wilcoxon.

Test system Species Rat (Wistar), weight males 143-1 59 g, females 144-1 66 g.

No. of animals 5/sex treatment and 10/sex at the highest dose.

Dosage Single oral (gavage) administration (in two equal portions) of 25.1,

28.2, 31.6, 35.5 and 39.8 g/kg (dosing volume 50 ml/kg); no controls;

feeding ad libitum (food was withheld -16 h prior to dosing).

Observations Mortality on day 1, 2 and 14.

Clinical signs several times on day 1 and daily until day 14.

Body weights on day 1. Necropsy on day 14.

Results

| Dose [g/kg bw] \ effect | | 25 | .1 | 28 | 3.2 | 31 | .6 | 35 | 5.5 | 39 | .8 | D | R |
|-------------------------------|------|-----|-----|-----|-----|-----|-----|-----|-----|------|------|-----|---|
| Sex | Day | М | F | M | F | M | F | M | F | М | F | M | F |
| Mortality | I-14 | 0/5 | 0/5 | 0/5 | 2/5 | 0/5 | 3/5 | 0/5 | 1/5 | 2/10 | 9/10 |) x | Х |
| Clinical signs ^(A) | 1-14 | + | + | + | + | + | + | + | + | + | + | X | х |
| Necropsy ^(B) | 14 | + | + | + | + | + | + | + | + | + | •t | X | X |

(A) Clinical observations included depression, pallor, (mucoid) diarrhoea, hypersensitivity, ruffed fur, and wet perineal

(B) Main findings in animals that died included autolysis, pale, mottled liver, distended stomach and gastrointestinal tract, pale kidneys, congested medulla kidneys, hydronephrosis, cherry red, congested lungs with haemorrhage and congested thymus, stomach, heart or adrenals. Effects in 14-day survivors were soft heart, hydronephrosis, pale cortex kidneys, urine distended bladder, granular spleen, congested lungs with haemorrhages, diaphragmatic hernia and congested medulla kidneys.

Conclusions Oral LD₅₀ 41.3 g/kg.

Rev. note The report was limited. No measurements for body weights were performed on days 7

and 14.

Klimisch 2 Limited report, non-GLP.

Title Acute oral toxicity of CAS: 1338-41-6 products in rats.

Date of report November 23, 1966.

GLP No.

Test substance CAS: 1338-41-6, purity not indicated.

Guideline Not indicated.

Stat. method Not applicable.

Test system Species Rat (Wistar), weight 140-l 64 g.

No. of animals 10 males/dose group, 11 females/dose group.

Dosage Single oral (gavage) administration(in two equal portions) of 15.9 g/kg

(dosing volume 50 ml/kg); no controls; feeding ad *libitum* (food was

withheld -16 h prior to dosing). **Observations** Mortality on day 1, 2 and 14.

Clinical signs several times on day 1 and daily until day 14.

Body weights on day 1. Necropsy on day 14.

Results

| INCOURT | | |
|--|------|----------|
| Dose [g/kg bw] \ effect | 15.9 | |
| Sex | M | F |
| Mortality/Clinical signs Necropsy ^(A) | None | |
| Necropsy ^(A) | + | <u>+</u> |

(A) Findings consisted of soft heart, bladder **distended** with urine, hydronephrosis, **irregularly** shaped kidneys, pale medulla of the kidneys, areas of pale discoloration in the kidneys, slight focal haemorrhage in the lungs and slight congested lungs.

Conclusions Oral LD₅₀ > 15.9 g/kg.

Rev. note The report was limited. No measurements for body weights were pet-formed on days 7

and 14.

Klimisch 2 Limited report, non-GLP.

criterium

4.32

Title Acute oral toxicity of Code 13 products in rats.

Date of report November 23, 1966.

GLP No.

Test CAS: 1338-43-8, purity not indicated.

substance

Guideline Not indicated.

Stat. method Not applicable.

Test system Species Rat (Wistar), weight 140-l 64 g.

No. of animals 1 O/sex/dose group.

Dosage Single oral (gavage) administration (in two equal portions) of 39.8 g/kg

(dosing volume 50 ml/kg); no controls; feeding ad libitum (food was

withheld -16 h prior to dosing).

Observations Mortality on day 1, 2 and 14.

Clinical signs several times on day 1 and daily until day 14.

Body weights on day I. **Necropsy** on day 14.

Results

| rtoodito | | |
|---|-----|---|
| Dose [g/kg bw] \ effect | 39. | 8 |
| Sex | M | F |
| Mortality | Nor | e |
| Clinical signs ^(A) Necropsy ^(B) | + | + |
| Necropsy'(B) | + | + |

(A) Clinical observations included diarrhoea and wet perineal area.

(B) Findings consisted of soft heart, hydronephrosis, slight congestion of medulla of the kidneys, mucosa of the stomach reddened, (focal) congestion of the lungs, bladder distended with urine, mottled liver, mesenteric lymph nodes congested or hard, and (congenital) diaphragmatic hernia.

Conclusions Oral LD₅₀ > 39.8 g/kg.

Rev. note The report was limited. No measurements for body weights were performed on days 7

and 14.

Klimisch 2 Limited report, non-GLP.

Title

Acute oral toxicity of CAS: 26266-58-O products in rats.

Date of report

November 23, 1966.

GLP Test No.

Test

CAS: 26266-58-O, purity not indicated.

substance

Guideline Stat. method

Not indicated. Not applicable.

Test system Species

Rat (Wistar), weight 140-l 64 g.

No. of animals

1 O/sex/dose group.

Dosage Single oral (gayage

Single oral (gavage) administration (in two equal portions) of 39.8 g/kg (dosing volume 50 ml/kg); no controls; feeding ad libitum (food was

withheld -16 h prior to dosing).

Observations Mortality on day 1, 2 and 14.

Clinical signs several times on day 1 and daily until day 14.

Body weights on day 1. Necropsy on day 14.

Results

| Dose [g/kg bw] \ effect | 39.8 | } |
|---|------|---|
| Sex | M | F |
| Mortality | None | е |
| Clinical signs ^(A) | + | + |
| Mortality Clinical signs ^(A) Necro psy ^(B) | + | + |

(A) Clinical observations included (mucoid) diarrhoea and wet perineal area.

(B) Findings consisted of soft heart, hydronephrosis, congested medulla of the kidneys, mucosa of the stomach reddened, slight congested lungs, bladder distended with urine, slightly granular spleen, and areas of dark discoloration in the pancreas.

Conclusions

Oral $LD_{50} > 39.8$ g/kg.

Rev. note

The report was limited. No measurements for body weights were performed on days 7

and 14.

Klimisch

2 Limited report, non-GLP.

criterium

4.34 Title

CAS: 1338-43-8; acute oral toxicity study in male and female rats

Date of report November 23, 1966.

GLP

No.

Test

CAS: 1338-43-8, purity: not indicated.

substance

Guideline Stat. method Not indicated. Not indicated.

Test system Speci

Species Rat (Wistar), weight males 144 - 154 g, females 135 - 154g.

No. of animals 1 O/sex/treatment.

Dosage

Single oral administration (gavage) of 39800 mg/kg bw (vehicle comoil, concentration 90% w/v); no controls; feeding ad libitum (food was

withheld 16 hrs prior to dosing).

Observations

Mortality several times on day 1 and daily thereafter until day 14.

Clinical signs several times on day 1 and daily thereafter until day 14.

Necropsy on day 14.

Results

| Itoouito | | | | | | |
|-------------------------------|------|-------|---|--|--|--|
| Dose [mg/kg bw]\effect | | 39800 | | | | |
| Sex | Day | M | F | | | |
| Mortality | 1-14 | None | | | | |
| Clinical signs ^(A) | 1-14 | + | + | | | |
| Necropsy ^(b) | 114 | + | + | | | |

⁽A) Clinical observations included depression, decreased respiration, messy fur and diarrhoea during the first 72 hours.

⁽B) Findings consisted of focal haemorrhage and congestion (diffuse and focal) of the lungs, congested adrenals and enlarged heart, congested mucosa of the stomach, hydrophenosis and congested medulla of the kidneys and soft heart.

Oral $LD_{50} > 39800 \text{ mg/kg bw.}$

2 Limited report. Non-GLP study.

Rev. note

No measurements for body weights were performed during the study. 1.

Information about several aspects was incomplete.

Klimisch criterium

4.35

Title CAS: 8007-43-o: acute oral toxicity study in male and female rats

Date of report December 1, 1966.

GLP No.

CAS: 8007-43-0, purity: not indicated. Test

substance

Guideline Not indicated. Stat. method Not indicated.

Species Rat (Wistar), weight males 133 - 148 g, females 145 · 162 g. Test system

No. of animals 1 O/sex/treatment.

Dosage Single oral administration of 39800 mg/kg bw (vehicle corn oil,

concentration 90% w/v); no controls; feeding ad libitum (food was

withheld 16 hrs prior to dosing).

Observations Mortality several times on day 1 and daily thereafter until day 14.

Clinical signs several times on day 1 and daily thereafter until day 14.

Necropsy on day 14.

Regulte

| Dose [mg/kg bw]\effect | | 39800 | | | |
|---|------|-------|------|--|--|
| Sex | Day | M | F | | |
| Mortality | I-14 | | None | | |
| Clinical signs ^(A) Necropsy (B) | 1-14 | i- | + | | |
| Necropsy ^{,(B)} | 1 4 | + | + | | |

(A) Clinical observations included depression, decreased respiration, messy fur and diarrhoea during the first 5 days. (B) Findings consisted of oedema of the lungs, congestion of the adrenals, pelvic dilation, bladder filled with fluid and slight congestion of the stomach mucosa, consolidation of lungs, congestion of the lungs and medullaty congestion in the kidneys.

Conclusions

Oral $LD_{50} > 39800 \text{ mg/kg bw}$

Rev. note

No body weight measurements were performed during the study.

Klimisch 2 Limited report. Non-GLP study.

criterium

GROUP E

4.36

Title CAS: 67762-53-2; 67762-52-1 : Acute oral toxicity study in rats

Date of report March 26, 1999.

GLP

Yes.

Test substance CAS: 67762-53-2 and 67762-52-1, purity 100% (81% 67762-53-2 and 19% 67762-52-1).

OECD 420. Guideline Stat. method Not required.

Test system **Species** Rat (Sprague-Dawley Crl:CD), weight males 287-349 g, females 216-

236 g, 9-12 weeks old.

No. of animals 5/sex/treatment.

Dosage Single oral administration (gavage) of 1940 mg/kg (dose volume 2.0

ml/kg); no controls; feeding ad libitum (food was withheld -18 h prior to

dosing and -4 h after dosing).

Mortality twice daily for 14 days. **Observations**

Clinical signs several times on day 1 and daily until day 15.

Body weights on day 1, 8 and 15.

Necropsy on day 15.

Results

| Ttoounto | | | | | |
|-------------------------------|------|------------------------------|--|--|--|
| Dose [g/kg bw]\effect | | 2.0 | | | |
| Sex | Day | M F | | | |
| Mortality | 1-15 | None | | | |
| Clinical signs ^(A) | 1-15 | No treatment related effects | | | |
| Body weight gain | 1-15 | No treatment related effects | | | |
| Necropsy | 15 | No effects | | | |

(A) One male animal had unformed stool 4 hours after administration.

Oral $LD_{50} > 1940 \text{ mg/kg bw.}$ Conclusions

Rev. note Minor remark. The actual amount of test material administered was 1.94 g/kg rather than

Klimisch criterium

4.37

Title Acute oral toxicity study with CAS: 11138-60-6 in rats.

January 21, 1997. Date of report

GLP Yes.

CAS: 11138-60-6, purity not indicated. OECD 401. Test substance

Guideline Stat. method Not required.

Species Rat (Sprague-Dawley), weight of males 302-306 g, females 208-216 g. Test system

No. of animals 5/sex/treatment.

Single oral (gavage) administration of 5000 mg/kg (dosing volume 5.3 Dosage

ml/kg): no controls; feeding ad libitum (food was withheld -18 h prior to

dosing and -4 h after dosing).

Mortality twice daily until day 15. **Observations**

Clinical signs three times on day 1 and daily until day 15.

Body weights on day 0, 1,8 and 15.

Necropsy on day 15.

Results

| The state of the s | 5000 | | | | | |
|--|-----------------|----------------|--|--|--|--|
| Dose [mg/kg bw] \ effect | 5000 | | | | | |
| Sex | tvl | F | | | | |
| Mortality/Clinical signs ^(A) | None | e | | | | |
| Body weight gain | No treatment re | elated effects | | | | |
| Necropsy | No abno | rmalities | | | | |

(A) Due to a technician error, females were not examined on day 3 and males not on day 5; however, they were observed for viability in the morning and afternoon and were free of significant toxicological signs.

Conclusions Oral $LD_{50} > 5000 \text{ mg/kg}$.

Klimisch criterium

4.38

Acute oral toxicity study Title November 2, 1973. Date of report

GLP No.

Test substance CAS: 126-57-8, purity not indicated.

Not indicated. Guideline Stat. method Not applicable.

Species (Sherman-Wistar). Test system Rat

No. of animals 5/sex/treatment.

Dosage Single oral (gavage) administration of 5.0 g/kg; no controls; feeding ad

libitum (food was withheld -24 h prior to dosing).

Mortality/clinical signs for 14 days. **Observations**

| Dose [g/kg bw] \ effect | 5.0 | |
|-------------------------|------|---|
| Sex | M | F |
| Mortality | None | |

Conclusions Oral LD₅₀ > 5.0 g/kg.

Rev. note The report was limited. No report was made on clinical signs and neither measurements

of body weights nor necropsy were performed.

Klimisch 2 Limited report, non-GLP

criterium

4.39

Title Acute Oral Toxicity Study of CAS: 126-57-8 in Rats

Date of report June 12, 1997.

GLP Yes.

Test CAS: 126-57-8, purity 100% (MSDS).

substance

Guideline OECD 401. Stat. method Not applicable.

Test system Species Rat (Crl:CD), weight 238-261 g.

No. of animals 5/sex/treatment.

Dosage Single oral administration (gavage) of 2000 mg/kg bw (dosing volume

2.17 ml/kg bw); no controls; feeding ad libitum (food was withheld -17

20 h prior to dosing).

Observations Mortality twice daily until day 13 and once on day 14.

Clinical signs several times on day 0 and daily until day 14.

Body weights on day 0, 7 and 14.

Necropsy on day 14.

Results

| results | | |
|------------------------|------|------------------------------|
| Dose [mg/kg bw]\effect | | 2000 |
| Sex | Day | M F |
| Mortality | o-14 | None |
| Clinical signs | o-14 | No treatment related effects |
| Body weight gain | o-14 | No treatment related effects |
| Necropsy | 14 | No treatment related effects |

Conclusions Oral LD₅₀ > 2000 mg/kg bw.

Klimisch

criterium

4.40

Title Single dose oral toxicity study in rats

Date of report September 13, 1982.

GLP No.

Test CAS: 70983-72-I) purity: not indicated.

substance

Guideline Not indicated.
Stat. method Not applicable.

Test system Species Rat (Wistar), weight 200-224 g.

No. of animals 10 males/treatment.

Dosage Single oral administration (gavage) of 5000 mg/kg bw (dosing volume

0.97 - 1 .O ml); no controls; feeding ad libitum (food was withheld -16 -

20 h prior to dosing).

Observations Mortality 3 • 4 hours post dosing and daily thereafter until day 14.

Clinical signs 3 - 4 hours post dosing and daily thereafter until day 14.

Body weights on day 0 and 14.

Necropsy on day 14.

| Dose [mg/kg bw]\effect | | 5000 |
|------------------------|------|------------------------------|
| Sex | Day | M |
| Mortality | o-14 | None |
| Clinical signs (A) | o-14 | No treatment related effects |
| Body weight | o-14 | No treatment related effects |
| Necropsy | 14 | No treatment related effects |

(A) Clinical observations included chromodacryorrhea, piloerection, anogenital area wet or stained yellow and

respiratory rattle during one day.

Conclusions Oral LD₅₀ > 5000 mg/kg bw.

Rev. note 1. Only males are used in this test.

2. No measurements for body weights were performed on day 7.

Klimisch 2 Limited report. Non-GLP study.

criterium

4.41

Title Single dose oral toxicity in rats.

Date of report September 9, 1982.

GLP No.

Test substance CAS: 68424-34-0, purity not indicated.

Guideline Not indicated.
Stat. method Not applicable.

Test system Species Rat (Wistar), weight 200-233 g.

No. of animals 10 males/treatment.

Dosage Single oral (gavage) administration of 5.0 g/kg; no controls; feeding ad

libitum (food was withheld -16-20 h prior to dosing).

Observations Mortality/clinical signs 3-4 hours post dose and daily until day 14.

Body weights on day 0 and 14.

Necropsy on day 14.

Results

| rtoduito | | |
|-------------------------------|-------|------------------------------|
| Dose [g/kg bw] \ effect | Day | 5.0 |
| Mortality | o-14 | None |
| Clinical signs ^(A) | 0-1 4 | + |
| Body weight gain | 14 | No treatment related effects |
| Necropsy | 14 | No treatment related effects |

(A) Clinical observations included chromodacryorrhea, ptosis and piloerection.

Conclusions Oral $LD_{50} > 5.0$ g/kg in male rats.

Rev. note The report was limited. No females were treated and body weights should have been

determined every week.

Klimisch 2 Report was limited to the above mentioned.

4.42

Title Acute oral toxicity study of CAS: 66424-31-7 in the Rats

November 16, 1987. Date of report

GLP Yes.

CAS: 68424-31-7, purity approximately 100%. Test

substance

Guideline 84/449/EEC B1. Stat. method Not indicated.

Species Rat (Wistar), weight males 205 - 224 g, females 161 - 179 g, age 7 Test system

weeks.

No. of animals S/sex/treatment.

Dosage Single oral administration of 5000 mg/kg bw (dosing volume 5.5 ml/kg);

no controls; feeding ad *libitum* (food was withheld overnight prior to dosing and -3 - 4 h after dosing).

Observations Mortality / clinical signs several times on day 0 (day of dosing) and

daily until day 14.

Body weights on day 0, 7 and 14.

Necropsy on day 14.

Results

| Dose [mg/kg bw]\effect | | 5000 |
|------------------------|------|------------------------------|
| Sex | Day | M F |
| Mortality | o-14 | None |
| Clinical-signs | 0-14 | No treatment related effects |
| Body weight gain | o-14 | No treatment related effects |
| Necropsy | 14 | No treatment related effects |

Conclusions Oral $LD_{50} > 5000 \text{ mg/kg} \text{ bw.}$

Klimisch criterium

4.43 Title

Acute oral toxicity of CAS: 126-57-a to the rat

Date of report March 16, 1988.

GLP Yes.

Test substance CAS: 126-57-8, purity: approximately 100%. OECD **401**, **67/548/EEC** BI.

Guideline

Not applicable. Stat. method

Test system Species Rat (Wistar), weight males 284 - 298 g, females 200 - 210 g, 8 weeks

old.

No. of animals 5/sex/treatment.

Single oral administration (gavage) of 2000 mg/kg bw (dosing volume Dosage

2.2 ml/kg), no controls; feeding ad libitum (food was withheld overnight

prior to dosing and -3 h after dosing).

Mortality and clinical signs several times on day of dosing (day 0) and Observations

daily until day 14.

Body weights on day 0, 7 and 14.

Necropsy on day 14.

Regulte

| results | | |
|------------------------|------|------------------------------|
| Dose [mg/kg bw]\effect | | 2000 |
| Sex | Day | M F |
| Mortality | o-14 | None |
| Clinical signs | 0-14 | No treatment related effects |
| Body weight (gain) | 0-14 | No treatment related effects |
| Necropsy | 14 | No treatment related effects |

Conclusions Oral $LD_{50} > 2000 \text{ mg/kg} \text{ bw.}$

Klimisch

Acute inhalation toxicity

GROUP A

No data available

GROUP B

4.44 Title

Range-finding inhalation toxicity study of CAS: 16956-92-2

Date of report

August 14, 1989.

GLP

No. CAS: 16958-92-2.

Test substance Guideline

Not indicated.

Stat. method Test system

ANOVA, Turkey's multiple range test.

Species Rat (Sprague Dawley), age 11 weeks, mean weight 370 g (males),

255 g (females).

No. of animals

Observations

1 O/sex/dose group.

Dosage Whole body exposure at 0, 0.25 and 0.51 mg/l (6 h/day, 5 d/wk) during 2 weeks (total 10 exposures) in a 400 L inhalation chamber;

35-43 air changes/ hour.

Analyses Concentrations gravimetrically at least 3 times daily for exposure

groups and once daily for the sham-exposed controls.

Particle size once during exposure using a cascade impactor. Mortality/clinical signs daily before and during exposure. Body weights on days 1, 8 and prior to necropsy. Organ weights of liver,

kidneys, thymus, and right-middle lung lobe (wet and dry). Histopathology on nasal turbinates, lung, tracheobronchial lymph

nodes, kidneys and any gross lesions.

Results Table 1 **Analyses**

Analytical results in table 1; biological results in table 2.

| I a v i c | | | | | | | |
|-------------------------------|-----|----|-----------|---------|----------|------------|----|
| Nominal concentration (mg/l) | 0 | | 0.32 | | | 0.65 | |
| Measured concentration (mg/l) | N/A | | 0.25 | ±0.02 | | 0.51 ±0.02 | |
| Mean particle size μm | N/A | | 1.1± | 0.3 | | 0.9±0.1 | |
| Table 2 | | | | | | | |
| Dose [mg/L]/effect | | 0 | 0. | .25 | | 0.51 | DR |
| Sex | M | F | М | F | М | F | |
| Mortality | | | No | one | | | |
| Clinical signs ^A | | No | treatment | related | findings | | |
| Body weight | | No | treatment | related | findings | | |
| Organ weight ^(B) | | No | treatment | related | findings | | |
| Necropsy | | No | treatment | related | findings | | |
| Histopathology | | No | treatment | related | findings | | |

The only clinical sign in the top dose was alopecia.

The percent ratio of the dry weight of the right apical lung was low in males and of the middle right high in females at 0.50 mg/l.

Conclusion Rev. note

NOAEL 0.51 mg/l.

- In comparison with the OECD 412 guideline the following items were not tested or evaluated in this range finding study:
 - An additional dose level or the maximum feasible/ toxic dose level.
 - Food consumption.
 - Haematology and clinical biochemistry parameters.
 - Adrenals and testes not weighed.
 - Histopathology examination of the adrenals, heart and spleen.
- This study represents a meaningful toxicological evaluation of the inhalation exposure to CAS: 16958-92-2. However, for a full toxicological profile on the effects of inhalation an additional top dose level (note 1; based on anticipated human exposure, maximum feasible dose application or toxic response) should be included and additional toxicological parameters (note 2) should be tested.
 The airflow through the chamber was higher than required by OECD 412. However,
- 3. The airflow through the chamber was higher than required by OECD 412. However, the level mentioned in the guideline needs to be considered as a minimal value, since by a large number of air changes the maintenance of the test substance concentration is guaranteed.

Klimisch Criterium 2 Additional dose level and toxicological parameters to be tested (note 3)

4.45

Title Acute inhalation toxicity study of CAS: 16958-92-2

Date of report June 23,1989.

Nο.

GLP . Test

CAS: 16958-92-2, purity 100%.

substance

Guideline

Not indicated.

Stat. method Test system ANOVA, Tukey's multiple range test, Duncan's multiple range test.

Species Rat (Sprague Dawley), 18 weeks old, mean weight 545-571 g (males),

304-318 g (females)

No. of animals 1 O/sex/treatment.

Dosage Whole body exposure for 4 hours in 400 L inhalation chambers to an

aerosol, generated by a Laskin nebuliser (12-23 air changes/h) at 0, 0.6 and 3.9 mg/l; interim kill of 5 animals/sex/treatment on day 2.

Analysis Concentration gravimetrically (weight filter/volume of air passed)

Particle size by cascade impactor

Observations Mortality/clinical signs daily (clinical signs not in weekends).

Body weight on day 1, 2, 8 and 16.

Necropsy on day 2 and 16.

Weight of liver, kidney and right middle lung lobe (wet and dry).

Histopathology of lung, nasal turbinates, tracheal lymph nodes, kidney,

liver and gross lesions

Results Analyses Measured concentration 0.5 and 3.2 mg/l; mass median diameter 0.9-

1 .1 µm (SD 1.6-I .8 pm).

| Dose (mg/l) | 0 | | 0 |).5 | | 3.2 | D | R |
|--------------------|---|----|-----------|---------|---------|-----|---|---|
| Sex | M | F | М | F | M | F | M | F |
| Mortality | | | N | one | | | | |
| Clinical signs | | No | treatment | related | effects | | | |
| Body weight (gain) | | No | treatment | related | effects | | | |
| Necropsy | | No | treatment | related | effects | | | |
| Histopathology | | No | treatment | related | effects | | | |

Conclusions

Acute 4-h $LC_{50} > 3.2 \text{ mg/l}$.

Rev. note

- 1. No treatment related effects were reported in animals that were killed on day 2.
- 2. The airflow through the chamber was higher than required by OECD 403. However, the level mentioned in the guideline needs to be considered as a minimal value, since by a large number of air changes the maintenance of the test substance concentration is guaranteed.

Klimisch criterium 2 Non-GLP.

GROUP C

4.46

Title Acute Inhalation Toxicity of CAS: mix of 67989-24-6 and 70024-57-6 in rats

Date of repot-I February 1977.

GLP . No.

Test CAS: mix of 67989-24-6 and 70024-57-6 emulsion, purity not indicated.

substance

Guideline Federal Register August 12, 1961 et seq. FHSA

Stat. method Not required

Test system Species Rat (Wistar), weight 180 - 202 g.

No. of animals 10 males.

Dosage Head-nose only exposure for 4 h to 200 µl/l (mean calculated

concentration); 30 air changes/min.

Observations Clinical signs continuously during exposure and at frequent intervals

for 14 days

Body weights on days 0 . 7 and 14.

Necropsy on day 14.

Results Analyses Concentration assessed by calculation: sample weight/airflow x

duration of exposure.

Particle size not analysed. Nebulizer produced an aerosol with particle

size of < 5 microns.

| Dose [μl/l]\effect | 200 |
|--------------------|------------------------------|
| Sex | M |
| Mortality | None |
| Clinical signs (A) | + |
| Body weight gain | No treatment related effects |
| Necropsy | No treatment related effects |

(A) Clinical signs included increased respiratory rate, a medium degree of apathy and symptoms disappeared within 24 h after exposure.

Conclusions

Acute 4-h LC₅₀ >200 μl/l.

Rev. note

- There were no analyses on the actual concentrations (calculations only), particle size, oxygen concentration, temperature and relative humidity in the exposure chambers. This renders the result less reliable but does not invalidate the study.
- 2. Only males were used. Therefore, the effect on females remained unknown.
- 3. The density of the test substance is not known, this hampers the determination of the dose (in mg/l, as required per OECD 403) the animals were exposed to.
- 4. The airflow through the chamber was higher than required by OECD 403. However, the level mentioned in the guideline needs to be considered as a minimal value, since by a large number of air changes the maintenance of the test substance concentration is guaranteed.

Klimisch criterium

2 Insufficient data on test conditions (note 2) available and no evaluation of the effect on females (note 3).

4.47

Title Acute inhalation toxicity - CAS: 70729-68-g in rats

Date of report September 28, 1979.

GLP No.

Test substance CAS: 70729-68-9, purity: **88%**, 6% triethylene glycol di-n-heptanoate, 4% mixed ester of tetraethylene glycol with n-heptanoic and 2 methylhexanoic acids, 2% other mixed

esters.

Guideline Not indicated.
Stat. method Not applicable.

Test system Species Rat (Wistar), age -60 days, weight 236-298 g.

No. of animals 6 males/treatment.

Dosage Exposure to vapour of the test substance (heated to 230-400°C) for 4

h in 20L chambers at 2.1, 2.3, 5.3, 12.7, 13.7 and 14.2 mg/L; no

controls.

Analyses Every 30 minutes during treatment: known volume trapped in

acetone and analysed by GC/FID.

Observations Mortality/clinical signs/body weight on weekdays for 14 days.

| Dose [mg/L]\eff ect | Day | 2.1 | 2.3 | 5.3 | 12.7 | 13.7 | 14.1 | DR |
|-------------------------------|------|-----|-----|-----------|-----------|--------|------|----|
| Mortality | o-14 | 0/6 | 0/6 | 0/6 | 0/6 | 0/6 | 6/6 | |
| Clinical signs ^(A) | o-14 | + | + | + | + | + | + | |
| Body weight | o-14 | | No | treatment | related e | ffects | | |

Clinical signs observed during exposure included salivation, preening, red nasal discharge, lethargy, irregular respiration and no reaction to sound. All deaths occurred during exposure (animals showed salivation, gasping, irregular respiration and convulsions). Post-exposure staining of the perineal and crust around the nose were observed at 12.7 mg/L

Conclusions

No condusion was drawn.

- Rev. note
- 1. Only males were tested.
- 2. The air flow rate during exposure was not indicated.
- The temperature in the exposure chambers was high (up to 28%). This may lead to an increased breathing rate and a concomitant increased uptake of the test substance. Since this may represent a worst case scenario, the validity of the study is not affected.
- 4. The concentrations in the study were indicated as measured time-weighed average concentrations. The validation of the analytical method was not reported.
- 5. The report was limited to the above mentioned. No necropsy was performed.
- Initial weight loss was observed at 12.7 mg/L after 24 h.

Klimisch criterium

2 Limited report, only males tested.

GROUP D

No data available

GROUP E

No data available

Acute dermal toxicity

GROUP A

4.46

Title Final report on the safety assessment of Octyl Palmitate, Cetyl Palmitate and Isopropyl

Palmitate

1982. Date of report **GLP** No.

CAS: 29806-73-3, Octyl palmitate, purity 98.6% (<1.4% palmitic acid). Test

substance

Guideline Not indicated. Stat. method Not applicable.

Test system Species Rabbit.

No. of animals 2/treatment. Dermal application to the intact and clipped skin at 0, 3.9, 6.0 or 9.4 Dosage

ml/kg (\leftrightarrow 0.0, 3400, 5200 or 8100 mg/kg) for 24h with a plastic sleeve.

Observations Mortality/clinical signs for two weeks.

Results

Dose [g/kg] \ effect Day 0.0 3.4 5.2 8.1 DR Mortality/clinical signs (A) 1-14 None

(A)The material produced only a mild irritation.

Conclusions Oral $LD_{50} > 8.1$ g/kg.

Rev. note Dose levels were re-calculated by the reviewer based on the density of the test

substance (0.86 g/ml).

Klimisch 4 Limited report, secondary literature.

criterium

GROUP B

4.49

Title In vivo percutaneous absorption of CAS: 16958-92-2 in control and CAS: 16958-92-2-

treated Sprague Dawley rats

January 13, 1986. Date of report

GLP

CAS: 16958-92-2; ¹⁴C-di-tridecyl adipate, Spec. Act. 10 mCi/mmol, radiochemical Test

substance purity >97% (LCS). Guideline Not indicated. Not indicated. Stat. method

Test system Species Rat (Sprague Dawley), 19.520 weeks old. No. of animals 4 controls/sex and 5 high dose animals/sex.

Single dermal application at 2000 mg/kg bw (no vehicle) on the Dosage

clipped dorsal skin.

Procedures

The test substance was synthetised by esterification of adipic acid (180 mg cold and 0.9 mg ¹⁴C) and tridecyl alcohol (626.5 mg). The dosing solution consisted of a 1:5.5 ratio of ¹⁴C-CAS:

16958-92-2 and ¹²C-CAS: 16958-92-2.

The animals were control or high dose (2000 mg/kg bw) animals from a 13 week dermal study (treated parallel to the animals in this study (ref.70)). After this period they were treated topically with ¹⁴C-dosing solution (area 1.3 cm², covered with gauze mesh) and placed in metabolism cages. Urine and faeces were collected daily over a 4 day period. At termination the amount of radioactivity in urine, faeces (daily samples), liver, kidney, stomach, bladder, small intestine and blood was determined by LCS.

Presented as percentage of applied radioactivity.

| Dose (mg/kg bw) | 1 | 0 | 20 | 00 |
|------------------------------------|------|------|------|-----|
| Sex | M | F | M | F |
| Percentage radioactivity recovered | 11.6 | 10.6 | 10.8 | 9.1 |
| Urine | 3.5 | 4.7 | 0.7 | 1.3 |
| Faeces | 0.7 | 0.4 | 0.6 | 0.4 |
| Tissues | 7.4 | 5.5 | 9.4 | 7.4 |

Conclusions

Total absorption 9-l 2% (irrespective of pre-treatment); slow elimination from body

tissues.

Rev. note

- The mass balance for the absorption study was only 10%. No report was made on the amount of radio activity that was present in the skin at termination. No metabolites were identified.
- 2. After 4 days 52-63% (controls) and 81-87% (pre-treated) of the absorbed dose was found in the body tissues.

3. Limited report.

Klimisch criterium Limited report (note 3), mass balance 10% (note 1).

4.50

Title Acute dermal toxicity study.

Date of report December 11, 1973.

GLP No.

Test substance CAS: 16958-92-2, purity not indicated.

16 CFR 1500.40. Guideline Stat. method Not applicable.

Test system Species Rabbit.

No. of animals 3 animals.

Dermal application at 2.0 g/kg bw. Dosage Observations Mortality daily for 14 days.

Results

| Dose [g/kg bw]\effect | Day | 2.0 |
|-----------------------|------|------|
| Mortality | o-14 | None |

Conclusions

Dermal $LD_{50} > 2.0$ g/kg.

Rev. note

- 1. The sex and age of the animals were not indicated.
- Only 3 animals were used instead of 10 (five of each sex).
- No measurements for body v
 No necropsy was performed. No measurements for body weights or clinical examination were performed.

Klimisch criterium 3 The report was limited to the above mentioned, non-GLP.

4.51

Title

Acute dermal toxicity in rabbits

Date of report December 4, 1978.

GLP No.

Test substance CAS: 16958-92-2, purity not indicated.

Guideline Not indicated. Stat. method Not applicable.

Species Rabbit (New Zealand White), weight 1.9-2.5 kg. Test system

No. of animals 10 animals.

Dosage Dermal application to the abraded skin at 5.0 g/kg bw (no vehicle

under semi-occlusive dressing for 24 h); no controls.

Mortality/clinical signs daily for 14 days. Observations

Body weights on day 0 and 14.

| Dose [g/kg bw]\effect | Day | 5.0 |
|-------------------------------|------|---------------------------|
| Mortality | o-14 | None |
| Clinical signs ^(A) | o-14 | + |
| Body weight gain | o-14 | Treatment related effects |

(A)Findings consisted of erythema, oedema, diarrhoea, emaciation, lethargy and bloated abdomen.

Conclusions Dermal LD₅₀ > 5.0 g/kg.

The test was performed on abraded skin. Since OECD 402 requires a test on Rev. note

intact skin, the results of this study are considered to be not assignable.

The sex and age of the animals were not indicated.

No measurements for body weights were performed on day 7.

No necropsy was performed.

Klimisch

Test on abraded skin (note 1).

criterium

4.52

Title Range finding toxicity tests.

Date of report January 12, 1977.

GLP No.

CAS: 142-16-5, di-2-ethylhexyl maleate, purity 100%. Test

substance

Guideline Not indicated. Stat. method Not applicable.

Test system Species Rabbit, mean weight 2306 g.

No. of animals 5 males.

Dosage Dermal application to the clipped skin at 10.0 ml/kg for 24h under

polyethylene sheeting; no controls.

Observations Mortality/clinical signs twice on day 1, daily from day 2 to 8, and on day

Body weights on day 1 and 14.

Necropsy on day 14.

Results

| . toouito | | | |
|--------------------------|------|------------------------------|--|
| Dose [ml/kg bw] \ effect | Day | 10.0 | |
| Mortality/clinical signs | 1-14 | None | |
| Body weight gain | I-14 | No treatment related effects | |
| Necropsy ^(A) | 14 | + | |

(A) Findings consisted of congested spleens, mottled kidneys, opaque intestine.

Conclusions

Dermal $LD_{50} > 10.0$ ml/kg.

Rev. note

- Dose level (g/kg) could not be calculated, since density was not indicated.
- 5 males were used instead of 5/sex/dose group.
- Minor remarks. No measurements for body weight on day 7 were performed. The size of the application area was not indicated. It is not clear whether the dressing used was occlusive.

Klimisch criterium 2 Report was limited to the above mentioned, non-GLP.

GROUP C

No data available.

GROUP D

4.53

Title Final report on the safety assessment of Sorbitan Stearate, Sorbitan Laurate, Sorbitan

Sesquioleate, Sorbitan Oleate, Sorbitan Tristearate, Sorbitan Palmitate, and Sorbitan

Trioleate

Date of report 1985. **GLP** No.

Test CAS: 8007-43-0, Sorbitan sesquioleate, purity not indicated.

substance

Guideline Not indicated. Stat. method Not applicable.

Test system Species Rabbit.

No. of animals 2/sex/treatment.

Dosage Dermal exposure of 24 hours to 6.8 g/kg or 10.2 g/kg (3%) \leftrightarrow 0.2 g/kg

or 0.3 g/kg; no controls.

Observations Mortality/clinical signs/behaviour/body weight changes/gross

alterations for 14 days.

Results

| Dose [g/kg bw] \ effect | | | 0.2 | | 0.3 | D | R |
|------------------------------|--------|---|-------------|---------------|-------|---|---|
| Sex | Day | M | F | М | F | M | F |
| Mortality | 1-14 | | 1 | Vone | | | |
| Clinical signs/ behaviour (A |) I-14 | | No treatmen | t related eff | fects | | |
| Body weight | 1-14 | | No treatmen | t related eff | fects | | |
| Necropsy | 15 | | No treatmen | t related eff | fects | | |

(A) Erythema at the contact site was seen on each animal.

Conclusions Dermal $LD_{50} > 0.3$ g/kg bw.

Klimisch 4 Limited report, secondary literature.

criterium

4.54 Title

Final report on the safety assessment of Sorbitan Stearate, Sorbitan Laurate, Sorbitan

Sesquioleate, Sorbitan Oleate, Sorbitan Tristearate, Sorbitan Palmitate, and Sorbitan

Trioleate

Date of report 1985. **GLP** Nο.

CAS: 8007-43-0, Sorbitan sesquioleate, purity not indicated. Test

substance

Guideline Not indicated. Stat. method Not applicable.

Species Rabbit. Test system

No. of animals 2/sex/treatment.

Dermal exposure of 24 hours to 6.8 g/kg or 10.2 g/kg (3%) \leftrightarrow 0.2 g/kgDosage

or 0.3 g/kg; no controls.

Mortality/clinical signs/behaviour/body weight changes/gross alterations for 14 days. Observations

Results

| rtocato | | | | | | | |
|------------------------------|-------------------|---|-------------|--------------|---------|---|---|
| Dose [g/kg bw] \ effect | | 0 | 1.2 | | 0.3 | D | R |
| Sex | Day | М | F | М | F | М | F |
| Mortality | 1-14 | | N | lone | | | |
| Clinical signs/ behaviour (A | ⁾ I-14 | | No treatmen | t related of | effects | | |
| Body weight | 1-14 | | No treatmen | t related | effects | | |
| Necropsy | 15 | | No treatmen | t related | effects | | |

(A) Erythema at the contact site was seen on each animal.

Conclusions Dermal $LD_{50} > 0.3$ g/kg bw.

Klimisch 4 Limited report, secondary literature.

GROUP E

4.55

Title Acute dermal toxicity study with CAS: 11138-60-6 in rabbits

Date of report January 21, 1997.

GLP Yes.

Test CAS: 11138-60-6, purity: not indicated.

substance

Guideline OECD 402. Stat. method Not applicable.

Test system Species Rabbit (New Zealand White), age ~ 8 weeks, weight males 2.4-3.1

kg, females 2.5-2.7 kg.

No. of animals 5/sex/treatment.

Dosage Dermal application to the clipped skin (12 x 14 cm) at 2000 mg/kg bw

(no vehicle under semi-occlusive dressing for 24 h); no controls;

feeding at fixed rate (125 g/day).

Observations Mortality twice daily until day 15.

Clinical signs several times on day 1 and daily until day 15.

Body weights on day 1,8 and 15.

Necropsy on day 15.

Results

| Dose [mg/kg_bw]\effect_ | 2000 | |
|-------------------------|------|------------------------------|
| Sex | Day | M F |
| Mortality | 1-15 | None |
| Clinical signs | I-15 | No treatment related effects |
| Body weight (gain) | 1-15 | d |
| Necropsy | 15 | No treatment related effects |

Conclusions

Dermal $LD_{50} > 2000$ mg/kg bw.

Rev. note

Body weight of one male was decreased on day 8 (0.4 kg) and 15 (0.3 kg) compared to day 0. This effect is commonly seen in this type of study and probably due to discomfort of the bandage. In three other males slight body weight loss was reported

discomfort of the bandage. In three other males slight body weight loss was reported on day 15. Since this effect was very marginal, it was considered not to be related to

treatment with the test substance.

Klimisch criterium

misch

4.56

Title Acute dermal toxicity study.

Date of report November 6, 1973.

GLP No.

Test substance CAS: 126-57-8, purity not indicated.

Guideline 16 CFR 1500.40. Stat. method Not applicable.

Test system Species Rabbit.

No. of animals 3 animals.

Dosage Dermal application at 2.0 g/kg bw. **Observations** Mortality daily for 14 days.

Results

| Dose [g/kg bw]\effect | Day | 2.0 |
|-----------------------|------|------|
| Mortality | o-14 | None |

Conclusions

Dermal $LD_{50} > 2.0$ g/kg.

Rev. note

1. The sex and age of the animals were not indicated.

2. Only 3 animals were used instead of 10 (five of each sex).

3. No measurements for body weights or clinical examination were performed.

4. No necropsy was performed.

Klimisch

The report was limited to the above mentioned, non-GLP.

Genetic toxicity in vivo

GROUP A

No data available.

GROUP B

4.57

Title

Micronucleus assay of bone marrow and peripheral red blood cells in rats treated via dermal

administration of CAS: 16958-92-2

Date of report

February 5, 1986.

GLP

No.

Test substance Guideline

CAS: 16958-92-2; di-tridecyl adipate, purity 100%.

Not indicated.

Stat. method Test system ANOVA, Tukey's test, Sheffe's test, linear regression. Rat (Sprague Dawley), 6.5-7 weeks old. Species

No. of animals 1 O/sex/dose level.

Dosage

Dermal administration for 13 weeks (5 days/week) at 0, 800 and 2000 mg/kg

bw (no vehicle) on the clipped dorsal skin; untreated controls.

Sampling time Pos. control Scoring

At necropsy. Not included.

For each animal (study ref. 70), the following proportions were determined in bone marrow (4 smears/animal) and peripheral blood (3 slides/animal): Ratio PolyChromatic Erythrocytes (PCE) and NormoChromatic Erythrocytes

(NCE).

Micronucleated PolyChromatic Erythrocytes (MPCE) per 1000 PCE. Micronucleated NormoChromatic Erythrocytes (MNCE) per 1000 NCE.

Results

| - results | | | |
|-----------------------------|---------|------------------------|------|
| Dose [mg a.i./kg bw]/effect | 0 | 800 | 2000 |
| Mortality | | None | • |
| Clinical signs(A) | | Not reported | |
| Bone marrow | | | |
| PCE/NCE | no | treatment related effe | ects |
| MNCE [% of PCE] | no | treatment related effe | ects |
| MPCE [% of PCE] | no | treatment related effe | ects |
| Peripheral blood | | | |
| PCE/NCE | no | treatment related effe | ects |
| MNCE [% of PCE] | no | treatment related effe | ects |
| MPCE [% of PCE] | no | treatment related effe | ects |
| (A) O (70 | | | |

(A) See ref. 70

Conclusion

Not clastogenic.

Rev. note

- Due to the use of animals from a 13-week dermal toxicity study it was not possible to include positive controls, as is required by OECD 474. The interval between the last dosing time and the collection of blood and bone marrow is not indicated.
- 2. The high dose was above the 1000 mg/kg indicated as a maximum dose by the guideline. However, since absorption was about 10% (see ref.70), the internal dose was well below this maximum (i.e. 1000).
- 3. Minor remarks The proportion of MPCE was determined for 1000 PCE. This is in agreement with OECD 474 (1983); OECD 474 (1997) requires evaluation of 2000 PCE.

Klimisch Criterium

Genetic toxicity in vitro

GROUP A

No data available.

GROUP B

4.59

Title

Mutagenicity testing of di(2-ethylhexyl)phthalate and related chemicals in Salmonella

Date of report **GLP**

1984. No.

Test

CAS: 122-62-3, di(2-ethylhexyl)sebacate, purity not indicated.

substance

Guideline Test system Not indicated.

Bacterial strains

TA98, TA1 00, TA1535, TA1537.

Metabolic activation Test concentration

Rat/hamster liver S9 mix (Aroclor 1254-induced). 100, 333, 1000, 3333, 10000 μg/plate.

Neaative: vehicle (DMSO).

Controls

Positive: 2-aminoanthracene (all strains with S9); 4-nitro-o-phenylenediamine (TA98), sodium azide (TA100, TA1535), 9-aminoacridine (TA1537) (all without S9).

According to OECD 471.

Procedure

| | Test re | Test result (A) | | |
|---------------|--------------------|-----------------|--|--|
| Tester strain | Without activation | With activation | | |
| TA98 | | | | |
| TA100 | | | | |
| TA1535 | | | | |
| TA1537 | | | | |

(A) +/-: oositivelnegative result; positive controls gave expected responses.

Conclusion

Not mutagenic.

Rev. note

- Precipitate was observed at 3333 and 10000 µg/plate in the assay with 1535. No appreciable toxicity was observed.
- Only four strains of Salmonella were used and no triplicate plating was used.

Klimisch criterium 2 Non-GLP study.

4.60

Title Mutagenicity evaluation of CAS: 16958-92-2 in the Ames Salmonella/Microsome plate

Date of report May 1, 1978.

GLP

No.

Test

substance

CAS: 16958-92-2, purity: not indicated.

Guideline

Not indicated.

Test system **Bacterial** strains TA98, TA100, TA1535, TA1537, TA1538.

Metabolic activation Test concentration

Rat liver \$9 mix (Aroclor-induced). 0.01, 0.10, 1, 5 and 10 µl/plate.

Controls

Neoative: vehicle (DMSO).

Positive: ethylmethanesulfonate (TA1 535, TA1 00), QM (TA1537), nitrofluorene (TA1538, TA98), all strains without

\$9; aminoanthracene, all strains with \$9.

Procedure According to OECD 471.

Doculto

| /esuits | | | | |
|---------------|--------------------|-----------------|--|--|
| | Test resu | lt (A) | | |
| Tester strain | Without activation | With activation | | |
| TA98 | | | | |
| TA100 | | | | |
| TA1 535 | | | | |
| TA1537 | | | | |
| TA1538 | | | | |

(A) +/-: positive/negative result; positive controls gave expected responses.

Conclusion Not mutagenic.

Rev. note Plating was not done in duplicate or triplicate, but once.

It is not mentioned if precipitation was found at any of the tested concentrations.

Klimisch

GROUP C

4.61

Title Mutagenic activity in the Salmonella/microsome assay

December 20, 1979. Date of report

GLP

Test CAS: 70729-68-9, purity: 88%, 6% triethylene glycol di-n-heptanoate, 4% mixed ester of tetraethylene glycol with n-heptanoic and 2 methylhexanoic acids, 2% other mixed substance

> esters. Not indicated.

Guideline Stat. method Z-test based on Poisson distribution.

TA98, TA100, TA1535 and TA1537. Test system Bacterial strains Metabolic activation Rat liver S9 (Aroclor 1254 induced).

> Test concentration 500-l 0,000 μg/plate, 100-2500 μg/plate (based on toxicity

with TA1535).

Negative : DMSO (vehicle) **Controls**

Positive: N-methyl-N'-nitro-N-nitroguanidine (TA100 and TA1535 without S9), 9-aminoacridine (TA1537 without S9), 2nitrofluorene (TA98 without S9) and 2-aminoanthracene (all

strains with S9).

Procedure Plate incorporation test according to OECD 471 with

independent repeat.

Results

| Toodilo | Test result (A) | | |
|---------------|--------------------|-----------------|--|
| | | | |
| Tester strain | Without activation | With activation | |
| TA98 | | | |
| TA1 00 | | | |
| TA1535 | | | |
| TA1537 | | | |

(A) +/-: positive/negative result; positive controls gave expected responses.

Conclusions Negative.

Rev. note 1. Only 2 replicates were plated per test.

2. OECD 471 requires that 5 different strains are tested.

Klimisch

criterium

4.62

Title Chinese hamster ovary cell assay for mutagenicity

Date of report June 25, 1981.

GLP

CAS: 70729-68-9, purity: 88%, 6% triethylene glycol di-n-heptanoate, 4% mixed ester of Test substance

tetraethylene glycol with n-heptanoic and 2 methylhexanoic acids, 2% other mixed

Guideline Not indicated.

Student's t-test, ANOVA. Stat. method

Cell line CHO-cells (BH4 clone) Test system

Rat \$9 mix (Aroclor 1254 induced). Metabolic activation

-\$9: 0.27-23.9 mM, based on solubility; vehicle DMSO +\$9: 0.25-23.9 mM, based on solubility; vehicle DMSO. Test concentrations

Controls Neaative: vehicle controls.

Positive: ethylmethane-sulfonate (-S9),

7,12-dimethylbenzanthracene (+S9).

Procedure Three independent tests; duplicate cultures/treatment; no. of

cells 1 0^6 ; exposure period 18-I 9 hours (-S9) and 5 hours (+S9); expression period 7 days; endpoint: forward mutation

on HGPRT locus.

| Test no. | Metabolic activation | Doses tested [mM] | Cytotoxicity [% of control survival] at highest dose | rest result (A) |
|----------|----------------------|---|--|-----------------|
| 1 | Without | 0.27, 1.2, 2.7, 5.5, 13.6, 23.9 | 100 | |
|]_ | With | 0.25, 1.2, 2.5, 7.5, 16.0, 23.9 | 96 | |
| 2 | Without | 0.27, 1.2 , 2.7 , 5.5 , 13.6, 23.9 | 83 | |
| | With | 0.25, 1.2 , 2.5 , 7.5 , 16.0, 23.9 | 75 | |
| 3 | Without | 0.27, 2.7, 13.6, 23.9 | 88 | |
| | With | 0.25, 1.2, 2.5, 7.5, 16.0, 23.9 | 99 | |

(A)+/- : positive/negative result; positive controls gave expected responses.

Conclusion

Not mutagenic.

Rev. note

- It is not clear from the description of the results at which concentrations precipitate
 was observed.
- 2. Minor remark No individual data were presented.

Klimisch criterium

1

GROUP D

4.63

Title

Final report on the safety assessment of Sorbitan Stearate, Sorbitan Laurate, Sorbitan Sospitan Sorbitan Palmitate and Sorbitan

Sesquioleate, Sorbitan Oleate, Sorbitan Tristearate, Sorbitan Palmitate, and Sorbitan

Trioleate

Date of report 1965. GLP No.

Test substance CAS: 1338-41-6, Sorbitan stearate, purity not indicated.

Guideline Not indicated.

| | Ames | SHE |
|-------------|---------------|----------|
| Result | negative | negative |
| Canaluaiana | Not mutogonio | |

Conclusions Not mutagenic

Klimisch criterium

4 Limited report, secondary literature.

9.4.64

Title

Studies of in vitro cell transformation and mutagenicity by surfactants and other

compounds.

Date of report 1980. GLP No.

Test substance CAS: 1336-41-6, purity not indicated.

Guideline Not indicated Stat. method Not indicated.

Results

| Test | Range of test concentrations | Metabolic activation | Result (A) |
|------------------------|------------------------------|----------------------|------------|
| Ames (TA98 and TA 100) | 1 O-2000 μg/plate | -S9 +S9 | |
| Cell transformation | I-300 μg/ml | | |
| (Hamster embryo cells) | 1 0-1 00 μg/ml | | |

(A) +/-: positive/negative result.

Rev. note 1

- For the transformation test no reference was made to the use of metabolic activation.
- 2. Journal article.

Klimisch

3 Secondary literature (note 2)

GROUP E

4.65

Title Mutagenicity test with CAS: 67762-53-2 and 67762-52-l in the Salmonella - Escherichia

Co/i/mammalian • microsome reverse mutation assay

Date of repot-l February 2, 1999.

GLP Yes.

CAS: 67762-53-2 and 67762-52-i) purity 100% (61% 67762-53-2 and 19% 67762-52-Test

substance

Guideline Not indicated.

Bacterial strains Test system

TA98, TA100, TA1535, TA1537, WP2 uvrA. Metabolic activation Rat liver S9 mix (Aroclor 1254-induced). 33.3, 100, 333, 1000, 3330, 5000 µg/plate. Test concentration

Controls

Neaative: vehicle (ethanol).

Positive: 2-aminoanthracene (TA1 00, TA1535, TA1537, Wp2uvrA), benzo(a)pyrene (TA98), all with S9; sodium azide (TA100, TA1535), 2-nitrofluorene (TA98), 4-nitroquinoline-Noxide (WP2 uvrA), ICR-191 (TA1537), all without S9.

According to OECD 471. **Procedure**

Results

| | Test re | Test result (A) | | | | |
|---------------|--------------------|-----------------|--|--|--|--|
| Tester strain | Without activation | With activation | | | | |
| TA98 | | | | | | |
| TA100 | | | | | | |
| TA1535 | | | | | | |
| TA1537 | | | | | | |
| TA1538 | | | | | | |
| WP2 uvrA | | | | | | |

(A) +/- : positive/negative result; positive controls gave expected responses.

Conclusion Not mutagenic.

Rev. note Precipitate was observed at 333 to 5000 µg/plate. No appreciable toxicity was observed.

Klimisch

criterium

4.66

Title Bacterial Reverse Mutation Assay with an Independent Repeat Assay

Date of report August 29, 1996.

Yes.

GLP

Test CAS: 11138-60-6, purity: not indicated.

substance

Guideline Not indicated. Test system **Bacterial strains**

TA98, TA100, TA1535, TA1537, TA1538), WP2 uvrA.

Rat liver S9 mix (Aroclor 1254-induced). Metabolic activation 10, 33, 100, 333, 1000 μ g/plate (without S9) 33, 100,333, 1000, 5000 μ g/plate (with S9). Test concentration

Neaative: vehicle (ethanol). Controls

Positive: 2-aminoanthracene (all strains with S9); 2-

nitrofluorene (TA98, TA1538), sodium azide (TA100, TA1535, 9-aminoacridine (TA1537), methyl methanesulfonate (WP2

uvrA) (all without S9).

Procedure According to OECD 471.

| | | 7 | est result | (A) | |
|---------------|---------|------------|------------|------|------------|
| Tester strain | Without | activation | | With | activation |
| TA98 | | | | | |
| TA100 | | | | | |
| TA1535 | | | | | |
| TA1537 | | | | | |
| TA1538 | | | | | |

(A) +/- : positive/negative result; positive controls gave expected responses.

Conclusion Not mutagenic.

Rev. note Precipitate was observed at ≥100 to 5000 μg/plate. No appreciable toxicity was

observed.

Klimisch

criterium

4.67

Title In vitro mammalian chromosome aberration test

Date of report October 28, 1996.

GLP Yes.

Test substance CAS: 11138-60-6, purity not indicated.

Guideline Not indicated.

Stat. method Fisher 's exact test, Cochran-Armitage test.

Test system Cell line CHO cells.

Metabolic activation Rat S9 mix (Aroclor 1254-induced).

Test concentrations 625, 1250, 2500 and 5000 µg/ml, based on limited toxicity.

Controls Neaative: vehicle controls (ethanol).

Positive: mitomycin-C (-S9), cyclophosphamide (+S9).

Procedure -S9: 4 h exposure + 16 h recovery.

20 h exposure.

+S9: 4 h exposure + 16 h recovery. Colcemid was added for the last 2 hours.

Results

| Exposure (h) | Metabolic activation | Doses tested [µg/ml] | Aberrations [%] | Test result ^(A) |
|--------------|----------------------|------------------------------|-----------------|-------------------------------|
| 4 | Without | 625, 1250, 2500, 5000 | 0, 2, 2, 0 | |
| | With | 625, 1250, 2500, 5000 | 2, 3.5, 2, 1 | |
| 20 | Without | 625, 1250, 2500, 5000 | 1, 2, 2.5, 1.5 | |

(A)+/- : positive/negative result; positive controls gave expected responses.

Conclusion Not clastogenic.

Rev. note The test without metabolic activation was performed twice, but only the results of the

second test were presented.

Klimisch 1

criterium

4.68

Title Mutagenicity test with CAS: 126-57-8 in the Salmonella • Escherichia Coli/mammalian-

microsome reverse mutation assay with a confirmatory assay

Date of report June 23, 1997.

GLP Yes.

Test substance CAS: 126-57-8, purity 100% (MSDS).

Guideline Not indicated.

Test system Bacterial strains TA98, TAIOO, TA1535, TA1537, WP2uvrA.

Metabolic activation Rat liver \$9 mix (Aroclor 1254-induced). Test concentration 100, 333, 1000, 3330 and 5000 μg/plate.

Controls Neaative: vehicle (DMF).

Positive: 2-aminoanthracene (all strains with S9); sodium azide (TAIOO, TA1535), 2-nitrofluorene (TA98), ICR-191 (TA1537), 4-nitroquinoline-N-oxide (WP2uvrA), all without S9.

Procedure According to OECD 471.

| | Test | result (A) |
|---------------|--------------------|-----------------|
| Tester strain | Without activation | With activation |
| TA98 | | |
| TA1 00 | | |
| TA1 535 | | |
| TA1537 | | |
| WP2uvrA | | |

(A) +/-: positive/negative result; positive controls gave expected responses.

Conclusion

Not mutagenic.

Rev. note Precipitation (sl

Precipitation (slight) was observed at 333 μ g/plate and above. This means that the test concentrations were too high. However this does not affect the validity of the test since

no effect was seen.

Klimisch criterium

criterium

Repeated dose toxicity

GROUP A

4.70

Title Final report on the safety assessment of Octyl Palmitate, Cetyl Palmitate and Isopropyl

Palmitate

Date of report 1982. GLP No.

Test CAS: 29806-73-3, Octyl palmitate, purity 98.6% (<1.4% palmitic acid).

substance

Guideline Not indicated.
Stat. method Not applicable.

| Ī | est | system |
|---|-----|--------|
| | | |

| Species | rat | rabbit |
|--------------|---|---|
| No. animals | 1 O/sex/treatment | 3 |
| Dosage | Applications of 1 .O ml/kg (0.86 g/kg) to the shaved skin 5 days/week total of 27 applications during six weeks. | Daily application for 60 days. A 5 cm ² area remained untreated and served as control. |
| Observations | Clinical signs and mortality daily. At termination complete gross necropsy, histopathology, and blood tests. | Mortality, clinical signs and histological examination. |
| Results | Mean hematocrit and red blood cell values of male rats were significantly lower compared to controls. No clinical signs or mortality. | The ingredient was poorly tolerated and congestive dermatitis was observed. No mortality. |

Conclusions

No systemic toxic effects.

Rev. note 1. No adequate control v

- 1. No adequate control was included in the study with rabbits. An untreated area of the skin can only be used as control for local (skin) effects.
- Dose levels were re-calculated by the reviewer based on the density of the test substance (0.86 g/ml).

Klimisch criterium

4 Limited report, secondary literature.

GROUP B

4.72

Title Thirteen-week dermal administration of CAS: 16958-92-2 (CAS: 16958-92-2) to rats

Date of report April 6, 1988.

Νo. **GLP**

Test CAS: 16958-92-2; di-tridecyl adipate, purity not indicated.

substance Guideline

Not indicated.

Stat. method Dunnett's test, Duncan's Multiple Range test, chi-square distribution. Rat (Sprague Dawley), 6.5-7 weeks old.

Test system **Species**

1 O/sex/dose level; additionally 5 control and 5 high dose animals for No. of animals

percutaneous absorption study.

Dermal administration for 13 weeks (5 days/week) at 0, 800 and Dosage

2000 mg/kg bw (no vehicle) on the clipped dorsal skin; untreated

controls.

Observations Mainly as required by OECD 411 (no food consumption and

ophthalmoscopy); in 5 high dose and 5 control males weight and histopathology of the epididymides and sperm analysis.

After 13 weeks the additional control and high dose animals were treated with ¹⁴C-test substance (area 1.3 cm², covered with gauze mesh) and placed in metabolism cages, urine and faeces were collected over a 4 day period. At termination the amount of radioactivity in urine, faeces and tissues and organs was

determined by LCS.

Radioactivity recovered: 9-12% of applied details in ref 66 Results

| Results Radioactivity | IECOVE | :u. ə- | 12/00 | ıı apı | piieu detaii | is iii iei | 00 . | | | |
|------------------------------|--------|--------|-------|--------|--------------|-----------------|------------------------------------|-----------------|---|---|
| Dose mg/kg bw) | | | 0 | | 8 | 00 | 2 | 2000 | D | R |
| Sex | | M | | F | M | F | M | F | M | F |
| Mortality | | | 1/20 | | 0 /10 | 0 /10 | 0 /10 | 0 /10 | | |
| Clinical signs | | | | | Not r | eported | | | | |
| rritation ^(A) | | | | | + | + | + | + | | |
| 3ody weight | | | | | d | d | d | d | | |
| iaematology | | | | No | treatment | related | effects | | | |
| Clinical biochemistry | | | | | | | | | | |
| ALAT | | | | | 1 | | 1 | | | |
| ALP | | | | | ic | | ic | | | |
| Glucose | | | | | dc | dc | dc | dc | х | |
| Urinalysis ^(B) | | | | No | treatment | related | effects | | | |
| Sperm morphology | | | | No | treatment | related | effects | | | |
| Organ weight | | | | | | | | | | |
| Kidney | | | | | ic | iç | ic ^r ic ^r | ic | Х | Х |
| Liver | | | | | ic | ic ^r | ic ^r | ic ^r | Х | Х |
| Adrenals | | | | | | | | ic ^r | | |
| Heart | | | | | | | | ic' | | |
| Epididymides | | | | | | | ic' | | | |
| Thyroid | | | | | | | ic' | | | |
| Uterus | | | | | | | | ic ^r | | |
| Necropsy | | | | No | treatment | related | effects | | | |
| Histopathology (C) | | | | | nd | nd | + | + | | |
| nd - not dotormined | | | | | | | | | | |

nd = not determined.

(A) Slight erythema and flaking of the skin.

(B) Slight increase in protein and ketone bodies in treated animals.
(C) Hyperplasia of sebaceous glands (males + females) and cysts and pelvic dilatation in the kidney (females only).

Conclusions

NOAEL < 800 mg/kg bw.

Rev. note

- The effects on organ weights for liver and kidney was considered to be related to the applied dose.
- 2. The test substance is not sufficiently identified.
- 3. The author of the report concluded that no systemic toxicity was seen at any of the doses (NOAEL 2000 mg/kg bw). According to the reviewer the effects on body weight, liver and kidney weight and on liver enzymes are related to treatment.
- 4. The application area was not indicated and may have been larger than 10% of the total body surface area. Since animals wore collars to prevent oral ingestion of the test substance, the test site was left uncovered (OECD 411 indicated a porous dressing to be applied) which may influence absorption
- dressing to be applied), which may influence absorption.

 5. Only 2 dose levels were tested and no report is made on clinical observations. No individual data were presented on any of the endpoints measured. Therefore proper evaluation is hampered.

Klimisch criterium

2 Limited report (note 4), inappropriate application (note 3) and no identity of the test substance.

GROUP C

4.73

Title

Final report on the safety assessment of Glycol Stearate, Glycol Stearate SE, and Glycol

Distearate

Date of report GLP

1982. No.

Test

CAS: 627-83-8, Glycol distearate, purity not indicated.

substance

Guideline Stat. method Not indicated. Not applicable.

Test system

| Species | rabbit | rabbit | rabbit | rabbit |
|--------------|--|--|--|--|
| No. animals | 3/sex/dose group | 3/sex/dose group | 3/sex/dose group | 5/sex/dose group |
| Dosage | 5/week 91 days to intact or abraded skin of 0.05-0.5% | 5/week 28 days to intact or abraded skin of 0.05-0.5% | 5/week 28 days to intact or abraded skin of 0.05-0.4% | 5/week 28 days to intact or abraded skin of 0.05-0.3% (containing 1-3% test substance) |
| Observations | Not indicated | Gross and microscopic examination | Gross and microscopic examination | Not indicated |
| Results | No effects | No effects (skin irritation slight to severe) | No effects | No effects (slight transient skin irritation) |

Conclusions

No systemic toxic effects.

Klimisch criterium 4 Limited report, secondary literature.

4.74

Title 28 Day consecutive dose oral subacute test in rats

Date of report September 25, 1981.

GLP

Test substance CAS: 70729-68-9, purity: 88%, 6% triethylene glycol di-n-heptanoate, 4% mixed ester of tetraethylene glycol with n-heptanoic and 2 methylhexanoic acids, 2% other mixed

Not indicated.

Guideline Stat. method Test system

ANOVA.

Species

Rat (Wistar). No. of animals 5/sex/treatment.

Oral administration (gavage) for 28 days at 0 and 1000 mg/kg bw, vehicle corn oil (1 l-1 5% solution); 14 day recovery period for 5 Dosage

additional animals/sex receiving 1000 mg/kg bw.

Observations Clinical signs/body weight daily.

Blood sampling **pretest**, on day 28 and 42 (recovery).

Macroscopy/organ weights/limited histopathology on day 28 (main

group and control) and 42 (recovery).

Results

| Dose mg/kg bw) | | 0 | 10 | 00 | 1000 | (rec.) | D | R |
|----------------------------|-----|-----|-----------|---------|---------|--------|---|---|
| Sex | M | F | M | F | M | F | М | F |
| Mortality | 0/5 | 0/5 | 0/5 | 0/5 | 0/5 | 0/5 | | |
| Clinical signs (A) | | | + | | | | | |
| Body weight gain | | | d | d | d | d | | |
| Haematology | | No | treatment | related | effects | | | |
| Leukocytes (day 0, 28, 42) | | | | | i | | | |
| Clinical biochemistry | | | | | | | | |
| ASAT (day 28) | | | | | | | | |
| ALP (day 40) | | | | d | d | d | | |
| Bilirubin (day 28) | i | | | | | | | |
| Organ weight | | | Not r | eported | | | | |
| Necropsy | | No | treatment | related | effects | | | |
| Histopathology (B) | | No | treatment | related | effects | | | |

(A) Congestion was seen.

(B) In all treatment groups and control lung lesions were seen (pneumonitis, peribronchiolitis and/or perivasculitis). Other findings were incidental and included cysts in Kürsteiners duct of the thyroid, thyroid C-cell hyperplasia, periportal vacuolisation, hepatitis, trachitis, nephritis, atrophy and degeneration of the seminiferous tubules of the testes and epididymitis.

Conclusions Rev. note

NOAEL 1000 mg/kg bw

- No analytical determination of the test concentrations. No analyses for stability and homogeneity of the test substance.
- 2. Organ weights were not reported. All histopathological changes were linked to macroscopic effects.
- Leukocyte counts were decreased compared to pretest values in both treated and control animals. In treated males pretest, 28-day and 42-day values were increased compared to the values in control males. Therefore these effects were considered to be of no toxicological relevance
- The decreased levels of alkaline phosphatase were considered to be of no toxicological relevance.
- The increased bilirubin level was found both in treated and control animals.
- Since body weight loss was reported to be sporadic and effects on liver enzymes were not very dearly treatment related, 1000 mg/kg bw is considered to be a NOAEL.
- Minor remarks. Food intake was not measured. No information was available on age and weight of the animals, on housing conditions. Histopathology was limited (female sex organs, spinal cord, heart, urinary bladder and peripheral nerve tissue were not investigated)
- 8. Only the results for clinical chemistry, haematology and histopathology were reported. Other findings were summarised (no actual values and no individual data). Some of the blood parameters were stated to differ significantly from control values, however, this was not indicated in the tables in the report.

Klimisch criterium

2 Limited report (note 7 and 8), no analyses (note 1).

4.75

Title Subacute inhalation toxicity study of CAS: 70729-68-g in rats

Date of report November 4, 1981.

GLP

No.

Test substance CAS: 70729-68-9, purity: 88%, 6% triethylene glycol di-n-heptanoate, 4% mixed ester of tetraethylene glycol with n-heptanoic and 2 methylhexanoic acids, 2% other mixed

Guideline

Not indicated.

Stat. method Test system

ANOVA, least significant difference, Dunnett's test. Rat (Wistar), weight 240-266 g. Species

No. of animals 10 males/treatment.

Whole body exposure to 0 and 1 .0 mg/L, 6 hours/day, 5 days/week Dosage for 4 weeks; 14 day recovery period for 5 males/treatment group. **Procedure** Heating of the test material between 230 and 250 °C. The vapour

was carried on N2 into 20L exposure chambers; O2 218%;

temperature < 30°C.

Analyses At 30 min intervals samples were trapped in acetone and analysed

by GC/FID (standards in acetone were included).

Observations

Clinical signs/body weight on weekdays.

Macroscopy/organ weights/limited histopathology on 5 animals/treatment after 20 exposure days and on the other 5

animals/treatment after 14 days recovery.

Results **Analyses** Overall recovery of test substance 1.1 \pm 0.35 mg/L (mean \pm SD).

No results from analytical standards presented.

| Dose (measured in mg/L) | 0 | 1.1 | 1.1 | DR | | |
|-------------------------------|----|---------------------|---------|----|--|--|
| Mortality | | None | | | | |
| Clinical signs (A) | | + | + | | | |
| Body weight gain | No | treatment related e | effects | | | |
| Organ weight | No | treatment related e | effects | | | |
| Necropsy | No | treatment related e | effects | | | |
| Histopathology ^(B) | No | treatment related e | effects | | | |

(A) Salivation, reduced response to sound and shallow rapid respiration were noted during exposure. During the recovery period one rat had slight lung noise and one showed a brown-stained nose.

(B) In all treatment groups and control lung lesions were seen (severe focal pneumonitis, haemorrhage and/or oedema). Other findings were incidental and included centrilobular eosinophilic inclusions and lymphoid cell foci in the liver, nephritis and a microgranuloma in a hair follicle.

Conclusions

LOAEL 1 .1 mg/L.

Rev. note

- All histopathological changes were linked to macroscopic effects. 1.
- No blood parameters were included.
- The temperature in the exposure chambers was high (up to 30°C). This may lead to an increased breathing rate and a concomitant increased uptake of the test substance. Since this may represent a worst case scenario, the validity of the study is not affected.
- Minor remarks. Food intake was not measured. Histopathology was limited (spinal cord, urinary bladder and peripheral nerve tissue were not investigated).
- The air flow in the exposure chambers is not indicated. According to OECD 412 12-I 5 air changes per hour are considered necessary.
- Only the results for body weight, organ weights and histopathology were reported. Other findings were summarised (no actual values and no individual data).
- The clinical signs observed in the treated animals could not be attributed to the lung lesions, since control animals showed similar severe lung lesions, but did not show the clinical effects. Therefore the 1 .1 mg/L is considered to be a LOAEL.

Klimisch criterium

Limited report (note 2, 4 and 6).

GROUP D

4.76

Title Final report on the safety assessment of Sorbitan Stearate, Sorbitan Laurate, Sorbitan

Sesquioleate, Sorbitan Oleate, Sorbitan Tristearate, Sorbitan Palmitate, and Sorbitan

Trioleate 1985.

Date of report **GLP** No.

Test substance CAS: 1338-41-6, Sorbitan stearate, purity not indicated.

Guideline Not indicated. Stat. method Not applicable.

| | 1101 000101 |
|------------------|--|
| Species | Rat (Wistar) |
| No. animals | 12 males and 20 females treatment |
| Dosage | 2-year dietary administration of 0, 5, 10 and 20% |
| Critical effects | Mortality of infants, increased liver, increased kidney weight |
| NOAEL | 5% in diet |

Conclusion NOAEL <2250 mgikg bw.

Rev. note The dietary intake was calculated by the reviewer, assuming a mean body weight of

500 g and mean food intake of 45 g/kg. 4 Limited report, secondary literature. Klimisch

criterium

4.77

Final report on the safety assessment of Sorbitan Stearate, Sorbitan Laurate, Sorbitan Sesquioleate, Sorbitan Oleate, Sorbitan Tristearate, Sorbitan Palmitate, and Sorbitan Title

Trioleate 1985.

Date of report

GLP

CAS: 1338-39-2, Sorbitan laurate, purity not indicated. Test

substance

Guideline Not indicated. Stat. method Not applicable.

Test system

| Species | rat | rat | rat | rat |
|---------------------|---|---|---|---|
| | *** | | | |
| No. animals | 12 (sex not indicated) | 15/sex/treatment | 5/sex/treatment | 1 O/sex/treatment |
| Dosage | 6-weeks dietary administration of 0, 1 and 4% | 90-day dietary administration of 0, 2.5, 5 and 10% | 2 or 6 weeks dietary administration of 0, 5 and 10% | 23 weeks dietary administration of 0, 15, 20 and 25% |
| Critical effects | Decreased growth | Decreased body weight, Hb, haematocrite, weight of heart and Gl-tract Increased brain liver and kidney weight Periportal vacuolisation of hepatocytes, and tubular necrosis | Decreased body weight, Hb, haematocrite, weight of heart and Gl-tract Increased brain liver and kidney weight Periportal vacuolisation of hepatocytes, and tubular necrosis | Diarrhoea, unkempt appearance, retarded growth Pale and enlarged liver, enlarged common bile duct and gangrene of tail Focal nephritis, hyperplasia of bone marrow and spleen and increased number of macrophages in lung |
| NOAEL | < 1% in diet | | | <10% in diet |
| | | | | |

| Species | hamster | rat (Sprague Dawley) | rat | rat |
|---------------------|---|--|---|---|
| No. animals | 36 (sex not indicated) | 14 (sex not indicated) | 14 males. 16 females | 10 males |
| Dosage | 6-weeks dietary administration of 0, 5 and 15% | 59-day dietary administration of 25% | 59-day dietary administration of 25% | 17 weeks dietary administration of 0 and 10% |
| Critical effects | Decreased growth and mortality GI mucosal hyperaemia and oedema, renal tubular degeneration | Weight loss, diarrhoea and nasal haemorrhage | Decreased body weight, activity and appetite Nasal bleeding and gangrene of the tail and hind legs Increased weight of brain, kidneys, heart, spleen, lungs and liver Degenerative changes of GI-tract, kidneys and liver | Decreased body weight, haematocrit and Hb Increased liver and kidney weight |
| NOAEL | < 5 % in diet | <25% in diet | <25% in diet | <10% in diet |

Conclusion

NOAEL <1% in diet $< \sim 580$ mg/kg bw

Rev. note

The dietary intake was calculated by the reviewer, assuming a mean body weight of

300 g and a mean food intake of 17.5 g/rat/day.

Klimisch criterium 4 Limited report, secondary literature.

4.78 Title

Final report on the safety assessment of Sorbitan Stearate, Sorbitan Laurate, Sorbitan

Sesquioleate, Sorbitan Oleate, Sorbitan Tristearate, Sorbitan Palmitate, and Sorbitan

Trioleate

Date of report 1985. **GLP** No.

CAS: 1338-43-8, Sorbitan oleate, purity not indicated. Test

substance

Guideline Not indicated. Stat. method Not applicable.

Test system

| Species | rat | rat | rat |
|---------------------|--|--|--|
| No. animals | 15/sex | 5/sex | 30-50 males |
| Dosage | 16-weeks dietary administration of 0, 2.5, 5 and 10% | 2 or 6-weeks dietary administration of 0, 5 and 10% | 2-year dietary administration of 0 and 5% |
| Critical effects | Reduced body weight gain Increased liver and kidney weight Reduced haematocrit Fatty change of hepatocytes, renal tubular degeneration | Reduced body weight gain Increased liver and kidney weight Reduced haematocrit Fatty change of hepatocytes, renal tubular degeneration | None |
| NOAEL | <2.5% in diet | < 5% in diet | 5% in diet |

Conclusion NOAEL < 2.5% in diet \Leftrightarrow <~1450 mg/kg bw.

The dietary intake was calculated by the reviewer, assuming a mean body weight of 300 g and a mean food intake of 17.5 g/rat/day. Rev. note

4 Limited report, secondary literature. Klimisch

4.79

Title Final report on the safety assessment of Sorbitan Stearate, Sorbitan Laurate, Sorbitan

Sesquioleate, Sorbitan Oleate, Sorbitan Tristearate, Sorbitan Palmitate, and Sorbitan

Trioleate

Date of report 1985.

GLP No. Test CAS

CAS: 8007-43-0, Sorbitan sesquioleate, purity not indicated.

substance

Guideline Not indicated.
Stat. method Not applicable.

Test system

| Tool Oyoloin | |
|------------------|--|
| Species | Rabbit (New Zealand White) |
| No. animals | 9 females/treatment |
| Dosage | Dermal application of 0 , 30 , 300 and 3000 mg/kg (4% formulation in hormone cream) for 13 weeks (5 days/week). |
| Critical effects | Irritation Dose related increase of uterine and splenic weight, dose related decrease of liver weight |
| NOAEL | , |

Conclusion

group without hormonal cream was included in the test design, but the results from this group were not reported. Therefore the statement of the author could not be checked.

Klimisch 4 Limited report, secondary literature.

criterium

4.80

Title Final report on the safety assessment of Sorbitan Stearate, Sorbitan Laurate, Sorbitan

Sesquioleate, Sorbitan Oleate, Sorbitan Tristearate, Sorbitan Palmitate, and Sorbitan

Trioleate

Date of report 1985.

Test CAS: 26266-58-0, Sorbitan trioleate, purity not indicated.

substance

Guideline Not indicated.

Stat. method Not applicable.

Test system

| Species | Rabbit |
|-------------|--|
| No. animals | 5/sex/treatment |
| Dosage | Dermal application of 0.12 ml/kg bw (5% formulation) for 93 days. |
| Critical | Slight erythema with incidental oedema, desquamation. |
| effects | |
| NOAEL | < 0.006 ml/kg bw. |

Conclusion NOAEL < 0.006 ml/kg bw.

Rev. note The density of the substance is not known, therefore it is not possible to calculate the

administered dose.

Klimisch 4 Limited report, secondary literature.

4.81

Title Final report on the safety assessment of Sorbitan Stearate, Sorbitan Laurate, Sorbitan

Sesquioleate, Sorbitan Oleate, Sorbitan Tristearate, Sorbitan Palmitate, and Sorbitan

Trioleate

Date of report 1985. **GLP** No.

CAS: 1338-41-6, Sorbitan stearate, purity not indicated. Test

substance Guideline Not indicated. Not applicable. Stat. method

Test system

| Species | Rabbit (New Zealand White) | Rat (Osborne- Mendel) | Dog | Mouse (TO) |
|---------------------|---|---|--|--|
| No. animals | 5/sex/treatment, 7/sex/control | 12/sex/treatment | 4 | 48/sex/treatment |
| Dosage | 3-months dermal application of 0 (water), 380 and 640 mg/kg bw | 2-year dietary administration of 0, 2,510 and 25% | 20-months dietary administration of 0 and 5% | 80-weeks dietary administration of 0, 0.5, 2.0 and 4.0% |
| Critical effects | Erythema, oedema and desquamation | Mortality | None | Reduced body weight and decreased weight of brain, kidney, stomach and spleen in males |
| NOAEL | < 380 mg/kg bw (local) | 5% in diet | 5% in diet | <0.5% in diet |

Conclusion

NOAEL < 380 mg/kg bw

The dietary intake was calculated by the reviewer, assuming a mean body weights and Rev. note

mean food intakes. The dermal dose was calculated based on a surface area of 1700

cm² and a body weight of 2.5 kg for rabbits. 4 Limited report, secondary literature.

Klimisch criterium

4.82 Short-term toxicity study of sorbitan monolaurate (CAS: 1338-39-2) in rats Title

Date of report 1978. **GLP** No.

CAS: 1338-39-2, purity not indicated. Test substance

Not indicated. Guideline

Student's t-test, ranking method of White. Stat. method

Rat (Wistar), weight 84-86 g (males), 69-71 g (females). **Species** Test system

No. of animals 15/sex/dose level.

Dietary administration for 90 days at 0, 2.5, 5 and 10 mg/kg diet (no Dosage

Observations Mainly as required by OECD 408 (no ophthalmoscopy, no

behavioural effects, limited blood biochemistry, no blood clotting potential and limited histopathology (no parathyroid, oesophagus, trachea, mammary gland, prostate, bone marrow, skin and eyes)).

| Dose (% in diet) | T | 0 | 2 | .5 | | 5 | 1 | 0 | D | R |
|--|---|---|-----------------|-----------------|-----------------|-----------------|------------------------------------|-----------------|---|---|
| Dose (g/kg bw) | 0 | 0 | 2.1 | 2.3 | 4.2 | 4.5 | 8.0 | 8.4 | | |
| Sex | M | F | M | F | M | F | М | F | М | F |
| Mortality | | | | | ne | | | | | |
| Clinicalsigns | | | No tre | eatment | related | effects | - | | | |
| Body weight | | | dc | d | dc | dc | dc | dc | X | X |
| Food consumption | | | dc | d | dc | dc | dc | dc | X | X |
| Water consumption | | | | | ic | | | dc | | |
| Haematology | | | | | | | | | | |
| Hb/haematocrit | | | | | dc | dc | dc | dc | X | X |
| RBC ^(A) | | | dc | | dc | | | ic | | |
| Leukocytes | | | dc | | dc | | dc | | Χ | |
| Clinical biochemistry | | | | Not r | eported | | | | | |
| Urinalysis (B) | | | No tro | eatment | *elated | effects | | | | |
| Organ weight | | | l . | | Ι. | _ | Ι. | _ | | |
| Brain | | | ic | ic' | ic | ic ^r | ic「 | ic ^r | X | X |
| Kidney | | | ic ^r | ic ^r | ic ^r | ic ^r | ic ^r ic ^r | ic ^r | | Χ |
| Liver | | | ļ | | | _ | ic' | ic | | |
| GI-tract | | | Ì | | ic | ic | ic'_ | ic | Х | X |
| Heart (a) | | | ľ | | | | ic | ic ^r | | |
| Histopathology ^(C) | | | | | | | | | | |
| Liver - periportal vacuolation | l | | | | | | + | + | | |
| increased periportal fat | | _ | | | | + | + | + | | |

(A) There was a tendency for higher reticulocytes counts.

(B) Among treated males less urinary production with higher specific gravity.

(C) Signs of early respiratory disease were reported among animals.

Conclusion NOAEL < 2100 mg/kg bw

Conclusion

Rev. note

The test substance is not sufficiently identified. 2 Limited report and no identity of the test substance. Klimisch

criterium

4.83

Chronic oral toxicities of four stearic acid emulsifiers

Title Date of report 1959.

GLP

Test CAS: 1338-41-6, purity not indicated.

substance

Guideline Not indicated. Stat. method Test system Not indicated.

Rat (Osborne-Mendel), weight 40-50 g. Species

No. of animals 12/sex/dose level.

Dietary administration for 2 years at 0, 2, 5, 10 and 25%. Dosage

Observations

Body weight/food consumption weekly.
Clinical signs/mortality frequently
Haematology (Hb, RBC, WBC and differential counts) on 10 animals

twice during the study. Organ weight of all survivors.

Necropsy/histopathology on all animals.

| incoulto _ | | | | | | | | | | | | _ |
|--------------------------|-----|-----|-----|------|--------|---------|--------|-----|----|----------------|---|---|
| Dose (% in diet) | (|) | 2 | 2 | Ę | 5 | 1 | 0 | 2 | 5 | D | R |
| Dose (g/kg bw) | 0 | 0 | 1.3 | 1.5 | 3.3 | 3.8 | 8.7 | 7.5 | 25 | 24 | | |
| S e x | М | F | M | F | М | F | М | F | M | F | M | F |
| Mortality | 12/ | /24 | 12/ | 24 | 14. | /24 | 18 | /24 | 18 | /24 | Х | X |
| Clinical signs | | | | | Not re | ported | į | | _ | | | |
| Body weight gain (wk 12) | | | | | ĺ | | | | dc | dc | | |
| Food consumption | | | | | | | | | | d | | |
| Haematology · | | | No | trea | tment | relate | d effe | cts | | | | |
| Organ weight | | | ĺ | | | | | | | | | |
| Liver | | | | | | | | | i | ر'د | | |
| Kidney | | | | | | | | | i | c ^r | | |
| Necropsy | l. | | | | Not re | eported | l | | | | | |
| Histopathology (A) | | |] | | | | | | | + _ | | |

(A) Fatty changes of the liver (hepatic cell vacuolisation) was reported among animals.

Conclusions

NOAEL 3.8 g/kg bw.

Rev. note

- 1. The report was limited to the above mentioned.
- The actual test substance intake was calculated by the reviewer, based on the reported food intake in control and high dosed animals and a mean body weight of 200 g for females and 300 g for males over the first 12 weeks. For the high dose group (with decreased body weight) 150 and 200 g were taken for females and males, resp...
- 3. The test substance is not sufficiently identified.

Klimisch criterium

3 Limited report, no identity of the test substance.

Critcriain

4.84 Title

Chronic oral toxicities of four stearic acid emulsifiers

Date of report 1959. No.

Test CAS: 1338

substance

CAS: 1338-41-6, purity not indicated.

Substance

Guideline Not indicated. Stat. method Not indicated.

Test system Species Dog (Mongrel or Irish terrier), I-6 years.

No. of animals 2/sex/dose level.

Dosage Dietary administration for 21 months at 5%; diets were adjusted for

nutritional contribution by the test substance.

Observations Body weight / food consumption.
Necropsy / histopathology.

Results

| Dose (% in diet) | | 0 5 DR | | | |)R |
|---|---|------------------------------|---|---------|---|----|
| Dose (mg/kg bw) | 0 | 0 | 640-650 | 485-783 | | |
| Sex | M | F | M | F | M | F |
| Mortality Clinical signs Body weight/food consumption Necropsy Histopathology (A) | | No treatment No treatment | related effects related effects related effects related effects | + | | |

(A) Hemosiderosis in Kupfer cells and macrophages.

Conclusions

LOAEL 485 mg/kg bw.

Rev. note

- 1. The report was limited to the above mentioned.
- 2. The test substance is not sufficiently identified.

Klimisch criterium

4 Limited report, no identity of the test substance, secondary literature.

4.85

Title Short-term toxicity study of sorbitan mono-oleate (CAS: 1338-43-8) in rats

Date of report 1978.

GLP . No.

Test substance

CAS: 1338-43-8, purity not indicated.

Guideline Not indicated. Stat. method Not indicated.

Test system Species Rat (Wistar), weight 89-94 g (males), 90-91 g (females).

No. of animals 15sex/dose level; 1 O/sex/dose level (except at 2.5%) for interim kills

after 2 or 6 weeks.

DosageObservations

Dietary administration for 16 weeks at 0, 2.5, 5 and 10%.

Mainly as per OECD 408 with limited haematology and clinical biochemistry, no ophthalmoscopy and no behavioural observations

Results

| Results | | | | | | | | | _ | _ |
|---------------------------|---|---|-----|-----------------|-----------------|-----------------|-----------------|---|---|---|
| Dose (% in diet) | |) | | 2.5 | | 5 | | 10 | ט | R |
| Dose (g/kg bw) | 0 | 0 | 1.7 | 2.0 | 3.1 | 3.7 | 6.3 | 5.1 | | |
| Sex | М | F | M | F | M | F | M | F | Μ | F |
| Mortality | | | | No | ne | | | | | |
| Clinical signs | | | No | treatment | related | effects | | | | |
| Body weight (day 105) | | | | | | | dc | dc | | |
| Food consumption | | | | | C | 1 | dc | dc | Χ | |
| Water consumption | | | | | d | c l | dc | d | Χ | |
| Haematology | | | | | _ | | | | | |
| HB/RBC | | | | | ļ | | | dc | | |
| Haematocrit | | | | | d | С | dc | dc | X | X |
| Leukocytes | | | | | İ | | dc | | | |
| Biochemistry | | | | | | · | _ | | | |
| Protein / albumin • wk 2 | | | | | dc | | | | | |
| • wk6 | | | | | dc | | dc | | | |
| Urea | | | | | dc(wk6) | | | dc(wkl6)ll | | |
| Urinalysis (A) | | | No | treatment | related | effects | | | | |
| Organ weights | | | | | | | _ | _ | | |
| Brain | | | | | | | ic ^r | i ^r _ | | |
| Heart | | | | | l i' | ic | ic ic ic ic | ic ^r ic ^r ic ^r | | |
| Liver / small intestine | | | | | | | ic ^r | ic <u>r</u> | | |
| Kidney | | | ic' | ic ^r | ic ^r | ic ^r | ic' | ic'_ | X | X |
| Stomach / adrenals | | | | | | | ic ^r | ic ^r | | |
| pituitary / gonads | | | | | | | | | | |
| Necropsy (wk 16) | | | - | Not re | eported | | - | | | |
| Histopathology (wk 16)(B) | | | | | ľ | + | | + | | |

- (A) Effects seen included increased gravity and decreased volume.
- (B) Renal tubular damage (dilation of proximal tubulus with vacuolisation) and periportal fatty changes of the liver.

Conclusion

NOAEL < 1.7 g/kg bw.

Rev. note

- 1. The test substance is not sufficiently identified.
- The dose levels tested may interfere with nutritional balance of the diet. This may be especially true for the two highest dose levels. Therefore it can not be excluded that part of the observations may have been caused by this nutritional imbalance.
- 3. The diet was not analysed for adequacy and homogeneity of preparation and no information on stability of the test substance (in the matrix) was provided.
- 4. The report is limited to the above mentioned.

Klimisch criterium

3 Limited report and no identity of the test substance.

GROUP E

4.66

Title 28-day dermal toxicity study in rats

Date of report February 13, 1997.

GLP Yes.

Test substance CAS: 11138-60-6, purity not indicated.

Guideline Not indicated.

Stat. method ANOVA, Dunnett's test.

Test system Species Rat (Sprague Dawley), age 7 weeks, weight 147-220 g (males),

140-I 77 g (females).

No. of animals 1 O/sex/dose level; additionally 1 O/sex in control and high dose

group for 14-day recovery.

Dosage Dermal administration for 4 weeks (5 days/week) at 0, 125, 500

and 2000 mg/kg bw (no vehicle) on the clipped dorsal skin;

untreated controls.

Observations Mainly as required by OECD 410.

Results

| Dose mg/kg bw) | (|) | 12 | 25 | 5 | 00 | 2000 | | 2000 (rec) | | DR | |
|----------------------------|---|---|----|--------|------|---------|-----------------|---|---------------|----|----|---|
| Sex | M | F | M | F | М | F | М | F | M | F | М | F |
| Mortality (A) | | | | | | one | | | | | | |
| Clinical signs (A) | + | + | + | + | - | + | + | + | + | + | | |
| Local effects (B) | | | + | + | + | + | + | + | + | + | | |
| Body weight | | | dc | | | | dc | dc | dc | dc | | |
| Body weight gain | | | | | | | dc | dc | | | | |
| Food consumption (day O-7) | | | | | | | dc | | | | | |
| Haematology | | | | dc | | | dc | | | | | |
| Lymphocytes Neutrophils | | | | ic | | | ic | ic | | | | |
| MHCH | | | | 10 | | | 10 | dc | | | | |
| RBC | | | | | | | | uo | dc | dc | | |
| MCV | | | | | | | | | dc | | | |
| Hb | | | | | | | | | | dc | | |
| Clinical biochemistry | | | | | | | | | | | | |
| Glucose | | | | | | | dc | | | | | |
| Creatinine | | | | | dc | | dc | dc | | | | |
| Albumin | | | | | | | dc dc | dc | مام | | | |
| Albumin/globulin ALAT | | | | | | | ac | ic | dc | ic | | |
| BUN | | | | ic | | | | ic | | 16 | | |
| Total bilirubin | | | | 10 | | | | dc | | | | |
| Organ weight | | | | | | | | ao | | | | |
| Kidney | | | | ic | | ic' | | ic | | | | |
| Liver | | | | | | | | ic ^r ic ^r ic ^r | | | | |
| Heart | | | | | | | _ | ic「 | | | | |
| Brain | | | | | | | ic ^r | ic ^r | | | | |
| Testes | | | | | | | ic' | . a | | | | |
| Thymus | | | ١, | | | | ,, | dca | | | | |
| Necropsy | | | | o trea | lent | relate | effec | | | | | |
| Histopathology (C) | | | No | treat | ment | related | d effe | cts | | | | |

(A) Symptoms included poor grooming, (red) staining around eyes and nose, scab formation (neck), sparse hair coat and hair loss. These effects can be attributed to the wearing of collars to prevent oral ingestion of the test substance.

(B) Effects included **erythema**, skin sloughing and paleness of the skin (no local effects during the first week of the study).

(C) Hypotrichosis, epidermal hyperplasia, epidermatitis, hyperkeratosis, oedema, ulceration, abscesses and foreign body granuloma were seen in the skin and subcutis of the neck region (related to the collars animals wore).

Conclusions

NOAEL (systemic) 500 mg/kg bw.

Rev. note

- The effects on organ weights can be related most probably to the lower body weights observed in high dosed animals. For relative kidney weight the effect was related to a slight, not significant reduction of body weight at 125 and 500 mg/kg in females.
- The effects on the number of lymphocytes were coincidental, since they were not seen in the opposite sex. A decreased creatinine level is toxicological irrelevant.
- In male recovery animals (2000 mg/kg bw) additionally increased levels of sodium, potassium, phosphate and triglicerides were seen.
- 4. The test substance is not sufficiently identified.
- 5. The application area was not indicated and may have been larger than 10% of the total body surface area. Since animals wore collars to prevent oral ingestion of the test substance, the test site was left uncovered (OECD 410 indicated a porous dressing to be applied), which may influence absorption.

Klimisch criterium

2 No identity of the test substance.

Reproduction toxicity

GROUP A

No data available.

GROUP B

4.88 Title

Effects of CAS: 16958-92-2 on fetal heart development following dermal application to

pregnant rats

Date of report

January 30, 1990.

GLP

ľ

Test

CAS: 16958-92-2; di-tridecyl adipate, purity not indicated.

substance

Guideline

Not indicated.

Stat. method

ANOVA, Fisher's Exact test, Dunnett's test; visceral data by ANOVA followed by

Bartlett's test.

Test system

Species Rat (Sprague Dawley), 11 weeks old, mean weight 231-235 g...

No. of animals 25 mated females/treatment.

Dosage

Dermal administration of at 0 and 2000 mg/kg bw (no vehicle) on the

clipped dorsal skin; untreated and negative (XXXX, 2000 mg/kg)

controls.

Procedures

Female rats were mated with untreated males (1/1) from the same strain. The day of observation of a vaginal plug and spermatozoa in the vaginal lavage fluid was defined as day 0 of gestation. Females were treated daily from day 0 to 19 of gestation inclusive. Mortality/clinical symptoms of dams were noted daily from day 0 to 20. Body weight was recorded on day 0, 6, 10, 16 and 20. All females were subjected to macroscopic examination on day 20. The uteri were removed, weighed and examined for no. of corpora lutea, no. of implantation sites and no. and location of foetuses and resorptions. Foetuses were inspected on total number, sex, weight and external and visceral defects (½ of foetuses by the modified Wilson technique and ½ of the foetuses by Staples technique). Visceral examination was performed blind.

Results

| Negulia | | | |
|--------------------|------|---------------------------|------|
| Dose (mg/kg bw) | 0 | CAS: 16958-92-2 | XXXX |
| Maternal data | | | |
| Mortality | 0/25 | 0/25 | 0/25 |
| Clinical signs (A) | + | + | + |
| Body weight gain | | dc | dc |
| Uterus weight | | No treatment related effe | ects |
| Necropsy | | No treatment related effe | ects |

| No. of pregnant females | 25 | 24 | 25 |
|---|----|------------------------------|----|
| No. of corpora lutea and implantation sites | l | No treatment related effects | ĺ |
| /dam | | | |
| Pre-implantation loss | | | 1 |
| Post-implantation loss/ resorptions | | No treatment related effects | |
| No. live foetusesI dam | | No treatment related effects | |
| Foetal data | | | |
| No. of litters included in evaluations | 15 | 13 | 13 |
| Foetal weight | | No treatment related effects | |
| External examination / sex | | No treatment related effects | |
| Anomalies: visceral (Wilson) | | No treatment related effects | |
| Visceral (Staples) | | No treatment related effects | |

⁽A) Among all animals: red nasal exudate, **chromodacryorrhea** and neck lesions (attributed to the wearing of Elizabethan collars) and dorsal scabs and scratches probably occurring during mating activity.

Among treated animals: erythema, oedema, flaking and scabs (effects more severe in XXXX treated animals).

Conclusions Rev. note

No developmental toxicity observed.

- The test was performed to examine whether the effects on foetal heart development found in a previous study (ref 72) could be reproduced. Furthermore influences of the visceral examination procedure on the results were compared.
- The test substance is not sufficiently identified.
- The application area was not indicated and may have been larger than 10% of the total body surface area. The test site was left uncovered (animals wore collars to prevent oral ingestion of the test substance), which may influence absorption. Minor remarks. No staining of the non-gravid uteri was performed. Individual data
- were not included in the report presented to the reviewer.

Klimisch criterium

Inappropriate application (note 3) and no identity of the test substance (note 2).

4.89 Title

Developmental toxicity screen in rats exposed dermally to CAS: 16958-92-2

Date of report

September 19, 1988. No.

GLP Test

CAS: 16958-92-2; di-tridecyl adipate, purity not indicated.

substance

Not indicated.

Guideline Stat. method

ANOVA, Fisher's Exact test, Dunnett's test (F-test and Student-Newman-Keul's multiple

comparison test for blood biochemistry). Species

Test system

Rat (Sprague Dawley), 11 weeks old, mean weight 235-240 g.

15 mated females/treatment. No. of animals

Dosage

Dermal administration of at 0, 800 and 2000 mg/kg bw (no vehicle) on

the clipped dorsal skin; untreated controls.

Procedures

Female rats were mated with untreated males (I/I) from the same strain. The day of observation of a vaginal plug and spermatozoa in the vaginal lavage fluid was defined as day 0 of gestation. Females were treated daily from day 0 to 19 of gestation inclusive. Mortality/clinical symptoms of dams were noted daily from day 0 to 20. Body weight / food consumption was recorded on day 0 (body weight only), 3, 6, 10, 13, 16 and 20. All females were subjected to macroscopic examination on day 20. The uteri were removed, weighed and examined for no. of corpora lutea, no. of implantation sites and no. and location of foetuses and resorptions. Foetuses were inspected on total number, sex, weight, length and external, visceral (1/2 of foetuses by the modified Wilson technique) and skeletal (1/2 of foetuses, cartilage and bone) defects.

Blood was withdrawn on day 20 for clinical chemistry.

| Dose (mglkg bw) | 0 | 800 | 2000 | DR |
|--|--------------|----------------------|--------------|----|
| Maternal data | | | | |
| Mortality | 0/1 5 | 0/15 | 0/1 5 | |
| Clinical signs (A) | + | + | + | |
| Body weight/body weight gain | | | dc | |
| Food intake • day O-3 | | dc | dc | |
| - day 10-20 | | ic (d 16-20) | ic | |
| Uterus weight | | No treatment related | effects | |
| Necropsy | | No treatment related | effects | |
| Clinical chemistry | | | | |
| ALAT/ALP | | | ic | Х |
| Glucose | | d | dc | Х |
| Creatinine | | dc | dc | Х |
| Triglycerides/cholesterol | | d | dc | Х |
| Total protein/globulin | | dc | dc | Х |
| Fe | | İ | ic | Х |
| No. of pregnant females | 15 | 13 | 13 | |
| No. of corpora lutea/ | | No treatment related | effects | |
| implantation sites /dam | | | | |
| Pre- / post-implantation loss/ resorptions | | No treatment related | effects | |
| No. live foetuses/ dam | | No treatment related | effects | |
| Foetal data | | | | |
| No. of litters included in evaluations | 15 | 1 3 | 1 3 | |
| Foetal weight / length | | No treatment related | effects | |
| External examination / sex | | No treatment related | effects | |
| Anomalies: visceral (B) | | | + | |
| skeletal (C) | | No treatment related | effects | |

(A) Among all animals: red nasal exudate, chromodacryorrhea and neck lesions (attributed to the wearing of Elizabeth collars) and dorsal scabs and scratches probably occurring during mating activity. Among treated animals: erythema, flaking and scabs.

(B) Malformations observed consisted of levocardia and hydronephrosis; renal variations were present (hydroplastic kidney, hydroureter, enlarged ureter and enlarged bladder).

(C) Incomplete ossification was seen among foetuses without apparent relation to treatment.

Conclusions

NOAEL for maternal toxicity: <800 mg/kg. NOAEL for reproductive effects: 800 mg/kg.

Rev. note

- The test substance is not sufficiently identified.
- The application area was not indicated and may have been larger than 10% of the total body surface area. The test site was left uncovered (animals wore collars to prevent oral ingestion of the test substance), which may influence absorption.
- Alanine transferase, glucose, creatinine, cholesterol and iron were considered to be within ranges for historical controls by the author of the report.
- 4. Only 2 dose levels were tested and only 15 mated animals were included per dose group.
- Minor remarks. No staining of the non-gravid uteri was performed. Individual data were not included in the report presented to the reviewer.

Klimisch criterium Limited number of animals (note 4), inappropriate application (note 2) and no identity of the test substance (note 1).

GROUP C

No data available

GROUP D

4.90

Title Nutritional studies on rats on diets containing high levels of partial ester emulsifiers

Date of report 1956. GLP

Test CAS: 1338-41-6, purity not indicated.

substance Guideline

Not indicated. Not indicated.

Stat. method Test system Species

Rat, age 110 days. No. of animals 12 males and 20 females per dose level in FO; 1 O/sex/dose level in

other generations.

Dietary administration for 2 years (FO) at 0, 5, 10 and 20%. Dosage

The FO-generation received test diets for 12 weeks before mating (1 Design

male/2 females) started. Animals of this generation were allowed to mate over the whole test period. Of the second litters of the FO, the F1 was selected. These animals were allowed two mating periods.

This procedure was repeated until the F3 was born.

Observations

Body weights every two weeks. Pup weight on day 4, 12 and 21 after birth. No. of matings, litters born alive, pups born alive.

Results No effect on the time of loss of fertility was seen in the FO

| Results No ellect on the time of loss | of fertility was seen in the FO | | |
|---------------------------------------|---------------------------------|----|----|
| Dose (% in diet) | 0 5 10 | 20 | DR |
| Mortality/clinical signs | Not reported | | |
| Body weight | Not reported | | |
| FO | | | |
| Mean number of pups/litter - birth | | | |
| weaning | d | d | Χ |
| Mean pup weight | d | d | Χ |
| F1 | | | |
| Mean number of pups/litter - birth | | d | |
| weaning | | d | |
| Mean pup weight | ď | d | |
| F2 | | | |
| Mean number of pups/litter - birth | | | |
| - weaning | | d | |
| Mean pup weight | | d | |

Conclusions

NOAEL 5% in diet.

Rev. note

- 1. The report was limited to the above mentioned.
- Additionally a study was performed with an increased fat level in the diet. The number of surviving pups increased slightly by this adaption. However, it still cannot be excluded that part of the effects seen were related to nutritional imbalance, due to the high dose levels in the test.
- 3. The test substance is not sufficiently identified.

Klimisch criterium 3 Limited report, no identity of the test substance.

GROUP E

No data available

Other

GROUP A

No data available

GROUP B

No data available

GROUP C

No data available

GROUP D

4.92

Title Studies on promoting action in skin carcinogenesis

Date of report 1963. GLP No.

Test CAS: 1338-41-6: CAS: 1338-39-2, purity not indicated.

substance

Guideline Not indicated.
Stat. method Not indicated.

Test system Species Mouse (Swiss).

No. of animals 50/males/treatment.

DosageDermal administration for 66-75 weeks (2 times/week) of undiluted test substance on the clipped interscapular skin (2x2 cm) with and

test substance on the clipped interscapular skin (2x2 cm) with a without initial single application of dimethylbenz(a)anthracene (DMBA, I-I .5% in mineral oil); untreated controls with initial

application of DMBA.

Observations Twice weekly for skin lesions.

No. of skin-tumour bearing animals, no. of tumours

Histopathology of all animals suspected of bearing tumours.

Results

| i/Goulio | | | |
|--------------------------------|----------|---------|----------------|
| Dose mg/kg bw) | 0 (DMBA) | Treated | Treated (DMBA) |
| Total duration of test (weeks) | 66 | 73 | 75 |
| Sex | М | М | M |
| Mortality (20 weeks) | 13/50 | 28/50 | 24/50 |
| No of tumour bearing animals | 1 | 1 | 5 |
| No of tumours | 5 | 1 | 8 |
| No of carcinomas | 0 | 0 | 1 |

Conclusions

Non carcinogenic, minimal promoting activity

Rev. note

- 6. The report was limited to the above mentioned.
- 7. The application area was only -5% of the total body surface area.
- 8. The test substance was not sufficiently identified.

Klimisch criterium

3 Limited report (note 1) and no identity of the test substance (note 3).

4.93

Title A new and physicochemically well-defined group of tumur-promoting (cocarcinogenic)

agents for mouse skin

November 23, 1954. Date of report No.

GLP

Test substance CAS: 1338-39-2, purity not indicated.

Guideline Not indicated. Stat. method Not indicated.

Test system **Species** Mouse, age 2 months.

No. of animals 50/treatment.

Dermal administration for 24 weeks (2 times/day) of undiluted test Dosage

substance at the back with and without initial single application of dimethylbenz(a)anthracene (DMBA, 0.3% in paraffin oil): untreated

controls with initial single application of DMBA.

Observations Mortality.

No. of skin-tumour bearing animals, no. of tumours

Results Results after 24 weeks are given.

| Dose mg/kg bw) | 0 (DMBA) | Treated | Treated (DMBA) |
|------------------------------|----------|---------|----------------|
| Sex | M | M | M |
| Mortality | 0/50 | n.i. | n.i. |
| No of tumour bearing animals | 0 | 0 | 21 |
| No of tumours | 0 | 0 | 34 |

n.i. = not indicated.

Conclusions

Promoting activity.

Rev. note

1. The report was limited to the above mentioned.

The test substance was not sufficiently identified. 3 Limited report (note 1) and no identity of the test substance (note 2).

Klimisch criterium

GROUP E

No data available

IUCLID Data Set

Substance ID: 111-60-4 Existing Chemical

CAS No. 111-60-4

CAS Name Octadecanoic acid, 2-hydroxy-, ethyl ester

Producer Related Part

Company: ENVIRON Corporation

Creation date: 17-NOV-2000

Substance Related Part

ENVIRON Corporation Company:

Creation date: 17-NOV-2000

Printing date: 30-JAN-2001

Revision date:

Date of last Update: 30-JAN-2001

Number of Pages:

Chapter (profile): Chapter: 1, 2, 3, 4, 5, 7
Reliability (profile): Reliability: without reliability, 1, 2, 3, 4
Flags (profile): Flags: without flag, confidential, non confidential, WGK
(DE), TA-Luft (DE), Material Safety Dataset, Risk

Assessment, Directive 67/548/EEC

date: 30-JAN-2001
1. General Information Substance ID: 111-60-4

1.2 Synonyms

2-Hydroxyethyl stearate 19-NoV-2000

2.1 Melting Point

Value: 57 • 60 degree C Method: other: no data

GLP: no data

Reliability: (2) valid with restrictions

22-NOV-2000 (6)

Value: = 60.5 degree C Method: other: measured

GLP: no data

Reliability: (2) valid with restrictions

21-DEC-2000 (8)

2.2 Boiling Point

Value: 404.1 degree C

Method: other: estimated: adapted Stein and Brown Method

GLP: no

Result: at 1 atm pressure.

Reliability: (2) valid with restrictions

27-DEC-2000 (9)

2.3 Density

2.3.1 Granulometrs

2.4 Vapour Pressure

Method: other (calculated): estimated (Modified Grain Method)

GLP: no

Result: 1.14E-08 mm Hg at 25 deg. C. Reliability: (2) valid with restrictions

Reliability: (2) valid with restrictions 27-DEC-2000 (9)

Method: other (calculated): estimated

GLP: no data

Result: 6.6E-8 mm Hg at 25 deg. C. Reliability: (2) valid with restrictions

27-DEC-2000 (5)

date: 30-JAN-2001 Substance ID: 111-60-4

2.5 Partition Coefficient

log Pow: ca. 7.26 at 25 degree C

Method: other (calculated): estimated GLP:

no data

Reliability: (2) valid with restrictions

27-DEC-2000 (4)

2.6.1 Water Solubility

Value: ca. .0063 mg/l at 25 degree c

Method: GLP: other: estimated

no data

Reliability: (2) valid with restrictions

04-JAN-2001 (1)

.017 mg/l at 25 degree C Value:

Method: other: estimated

GLP:

Reliability: (2) valid with restrictions

27-DEC-2000 (10)

2.6.2 Surface Tension

2.7 Flash Point

2.8 Auto Flammability

2.9 Flammability

2.10 Explosive Properties

2.11 Oxidizing Properties

2.12 Additional Remarks

date: 30-JAN-2001 3. Environmental Fate and Pathways Substance ID: 111-60-4

3.1.1 Photodegradation

3.1.2 Stability in Water

Type:

t1/2 pH7: ca. 7.7 year at 25 degree C

Method: other: estimated

Year: GLP: no

as prescribed by 1.1 • 1.4 Test substance:

The results of computer modeling indicate that 2-hydroxyethyl Result:

stearate is hydrolytically stable under ambient water

conditions of 25 degrees C with a pH of 7. Hydrolysis results in release of the alcohol group and the free aliphatic acid. The estimated half-life of 2-hydroxyethyl stearate was 7.7

years.

Reliability: (2) valid with restrictions

30-JAN-2001 (2)

3.1.3 Stability in Soil

3.2 Monitoring Data (Environment)

3.3.1 Transport between Environmental Compartments

other: EQC model Type: Media: water soil Method: other: estimated

Year:

The environmental transport and distribution characteristics Result:

of 2-hydroxyethyl stearate were estimated using the EQC model (version 1.07; Level III), as recommended by the U.S. EPA. The data input for this model include molecular weight, melting point, water solubility, vapor pressure and octanol/water partition coefficient. The model was run

assuming water to be the only source of emissions. The results

indicate that sediment was the primary compartment for

2-hydroxyethyl stearate, which is entirely consistent with its physical/chemical properties, such as long alkyl chain length

and relatively low water solubility. The distribution

percentages of 2-hydroxyethyl stearate were 15.1% in water and

84.9% in sediment.

Reliability: (2) valid with restrictions

30-JAN-2001 (3)

3.3.2 Distribution

Methyl Esters - Appendix 2

3.4 Mode of Degradation in Actual Use

Biodegradation

Type :

Inoculum: other: no data
Result: readily biodegradable
Method: other: estimated

Method:

Year: GLP: no

Test substance: as prescribed by 1.1 • 1.4
Remark: Biodegrades fast.
Reliability: (2) valid with restrictions
30-JAN-2001

30-JAN-2001 (9)

3.6 BOD5, COD or BOD5/COD Ratio

Bioaccumulation 3.7

3.8 Additional Remarks

Methyl Esters - Appendix 2

6

date: 30-JAN-2001 4. Ecotoxicity Substance ID: 111-60-4

AQUATIC ORGANISMS

- 4.1 Acute/Prolonged Toxicity to Fish
- **4.2** Acute Toxicity to Aquatic Invertebrates
- 4.3 Toxicity to Aquatic Plants e.g. Algae
- 4.4 Toxicity to Microorganisms e.g. Bacteria
- 4.5 Chronic Toxicity to Aquatic Organisms
- 4.5.1 Chronic Toxicity to Fish
- 4.5.2 Chronic Toxicity to Aquatic Invertebrates

TERRESTRIAL ORGANISMS

- 4.6.1 Toxicity to Soil Dwelling Organisms
- 4.6.2 Toxicity to Terrestrial Plants
- 4.6.3 Toxicity to other Non-Mamm. Terrestrial Species
- 4.7 Biological Effects Monitoring
- 4.8 Biotransformation and Kinetics
- 4.9 Additional Remarks

date: 30-JAN-2001
5. Toxicity Substance ID: 111-60-4

5.1 Acute Toxicity

5.1.1 Acute Oral Toxicity

Type: LD50 Species: rat

Sex: male/female

Number of

Animals: 5

Vehicle: other: corn oil
Value: > 5000 mg/kg bw
Method: other: no data

Year: GLP: no data

Test substance: as prescribed by 1.1 • 1.4

Result: Five (5) male and 5 female Wistar rats weighing 200-300 grams

were fasted for 18 hours and dosed by gavage with $5.0~\rm g/kg$ body weight of test material. The test material was mixed with corn oil and administered as a 25% w/w solution. The rats were observed for mortality or other signs of gross toxicity for 14

days. Observations were unremarkable and necropsy was

unremarkable. The oral LD50 of the test material was > 5000

mg/kg.

Reliability: (2) valid with restrictions

14-DEC-2000 (7)

5.1.2 Acute Inhalation Toxicity

5.1.3 Acute Dermal Toxicity

5.1.4 Acute Toxicity, other Routes

5.2 Corrosiveness and Irritation

5.2.1 Skin Irritation

5.2.2 Eve Irritation

5.3 Sensitization

5.4 Repeated Dose Toxicity

date: 30-JAN-2001
5. Toxicity Substance ID: 111-60-4

- 5.5 Genetic Toxicity 'in Vitro'
- 5.6 Genetic Toxicity 'in Vivo'
- 5.7 Carcinogenicity
- 5.8 Toxicity to Reproduction
- 5.9 Developmental Toxicity/Teratogenicity
- 5.10 Other Relevant Information
- 5.11 Experience with Human Exposure

date: 30-JAN-2001

6. References Substance ID: 111-60-4

(1) ECOSAR. Version 0.99d. Syracuse Research Corporation.

- (2) HYDROWIN, version 1.67. Syracuse Research Corporation.
- (3) Mackay et al. 1996. Environ. Toxicol. Chem. 15(9):1618-1626; 1627-1637; 1638-1648.
- (4) Meylan & Howard. 1995. CITED IN: SRC PhysProp Database. Http://esc.syrres.com/. 3/2000.
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- (6) SAX'S Dangerous Properties of Industrial Materials, 9th Ed.
- (7) Spear. 1984. Acute Oral Toxicity. Report T-3912. Product Safety Labs.
- (8) SRC PhysProp Database. Http://esc.syrres.com/. 3/2000.
- (9) Weeg-Aerssens. 2000. EPIWIN. Tailored Environmental Programs, Inc.
- (10) WSKowWIN. Version 1.40. Syracuse Research Corporation.

rs - Appendix 2

IUCLID Data Set

Existing Chemical

CAS No.

68002-79-q Generic name

C14-18 and C16-18 unsaturated fatty acid glycerides

Substance ID: 68002-79-g

Producer Related Part

ENVIRON Corporation Company:

Creation date: 17-NOV-2000

Substance Related Part

ENVIRON Corporation Company:

Creation date: 17-NOV-2000

Printing date: 15-DEC-2000

Revision date:

Date of last Update: 15-DEC-2000

Number of Pages:

Chapter (profile): Chapter: 1, 2, 3, 4, 5, 7

Reliability (profile): Reliability: without reliability, 1, 2, 3, 4
Flags (profile): Flags: without flag, confidential, non confidential, WGK
(DE), TA-Luft (DE), Material Safety Dataset, Risk

Assessment, Directive 67/548/EEC

2. Physico-chemical Data

date: 15-DEC-2000 Substance ID: 68002-79-g

- 2.1 Melting Point
- 2.2 Boiling Point
- 2.3 Density
- 2.3.1 Granulometry
- 2.4 Vapour Pressure
- **2.5 Partition Coefficient**
- 2.6.1 Water Solubility
- 2.6.2 Surface Tension
- 2.7 Flash Point
- 2.8 Auto Flammability
- 2.9 Flammability
- 2.10 Explosive Properties
- 2.11 Oxidizing Properties
- 2.12 Additional Remarks

3.1.1 Photodegradation

3.1.2 Stability in Water

3.1.3 Stability in Soil

3.2 Monitoring Data (Environment)

3.3.1 Transport between Environmental Compartments

3.3.2 Distribution

3.4 Mode of Degradation in Actual Use

3.5 Biodegradation

Type:

other: municipal sewage effluent Inoculum:

Concentration: 100 mg/l related to COD (Chemical Oxygen Demand) Contact time: 28 day

Result: readily biodegradable

other: OECD ring test on ready biodegradability, two phase Method:

closed bottle test (based on OECD Guideline 301D).

GLP: no data Year:

Test substance: as prescribed by 1.1 - 1.4

The test was conducted at a temperature of 20 deg. C in the Remark:

> dark for 28 days. The percentage BOD/COD or BOD/ThOD for the test material at a concentration of 100 mg COD/l was 86% after 28 days. Edenor GTO was biodegraded more than 60% BOD/COD or BOD/ThOD within a 14-day time window (according

to OECD guidelines) and thus it was considered readily

biodegradable.

Reliability: (3) invalid

15-DEC-2000 (2)

3.6 BOD5, COD or BOD5/COD Ratio

3.7 Bioaccumulation

3.8 Additional Remarks

date: 15-DEC-2000
4. Ecotoxicity Substance ID: 68002-79-g

AQUATIC ORGANISMS

4.1 Acute/Prolonged Toxicity to Fish

Type: static

Species: Brachydanio rerio (Fish, fresh water)

Exposure period: 96 hour(s)

Unit: ${
m mg/l}$ Analytical monitoring: no data

LC0: 3000 **LC50:** 5500

Method: OECD Guide-line 203 "Fish, Acute Toxicity Test"

Year: GLP: no data

Test substance: as prescribed by 1.1 • 1.4

Result: In the static test, groups of zebra fish (Brachydanio rerio)

were exposed to a range of concentrations of the test substance (at least 3000 and 10,000 mg/l) in water for a period of 96 hours. Mortality was recorded at least at 24-hour intervals and ultimately, the LCO and LC100 were

determined. Based on these data, the LC50 was calculated. The highest tested concentration that had no mortality was 3000 mg active matter/l and the lowest tested concentration in which all the animals died was 10000 mg active matter/l. Thus the

LC50 was 5500 mg active matter/l.

Reliability: (3) invalid

15-DEC-2000 (1)

4.2 Acute Toxicity to Aquatic Invertebrates

4.3 Toxicity to Aquatic Plants e.g. Algae

4.4 Toxicity to Microorganisms e.g. Bacteria

date: 15-DEC-2000
4. Ecotoxicity Substance ID: 68002-79-g

4.5 Chronic Toxicity to Aquatic Organisms

- 4.51 Chronic Toxicity to Fish
- 4.5.2 Chronic Toxicity to Aquatic Invertebrates

TERRESTRIAL ORGANISMS

- 4.6.1 Toxicity to Soil Dwelling Organisms
- 4.6.2 Toxicity to Terrestrial Plants
- 4.6.3 Toxicity to other Non-Mamm. Terrestrial Species
- 4.7 Biological Effects Monitoring
- 4.8 Biotransformation and Kinetics
- 4.9 Additional Remarks

date: 15-DEC-2000
5. Toxicity Substance ID: 68002-79-g

- 5.1 Acute Toxicity
- 51.1 Acute Oral Toxicity
- **51.2 Acute Inhalation Toxicity**
- 5.1.3 Acute Dermal Toxicity
- 5.1.4 Acute Toxicity, other Routes
- 5.2 Corrosiveness and Irritation
- 5.2.1 Skin Irritation
- **5.2.2** Eve Irritation
- 5.3 Sensitization
- 5.4 Repeated Dose Toxicity
- 5.5 Genetic Toxicity 'in Vitro'
- 5.6 Genetic Toxicity 'in Vivo'
- 5.7 Carcinogenicity
- 5.8 Toxicity to Reproduction
- 5.9 Developmental Toxicity/Teratogenicity
- 5.10 Other Relevant Information
- 5.11 Experience with Human Exposure

date: 15-DEC-2000

6. References Substance ID: 68002-79-g

(1) Steber & Berger. 2000. Acute Toxicity: Fish. Henkel KGaA. l-page summary.

(2) Steber & Berger. 2000. Aerobic Biodegradation: BODIS
Test/Two-Phase Closed Bottle Test. Henkel KGaA. Report No.
R9600281 (March 1996). l-page summary.

IUCLID Data Set

Existing Chemical

Substance ID: 68130-53-o

CAS No.

68130-53-o

CAS Name

Decanoic acid, mixed esters with heptanoic and octanoic

acids, and trimethylolpropane

Producer Related Part

Company:

ENVIRON Corporation

Creation date:

17-NOV-2000

Substance Related Part

ENVIRON Corporation

Creation date: 17-NOV-2000

Printing date:

27-DEC-2000

Revision date:

Date of last Update:

27-DEC-2000

Number of Pages:

Chapter (profile): Chapter: 1, 2, 3, 4, 5, 7
Reliability (profile): Reliability: without reliability, 1, 2, 3, 4
Flags (profile): Flags: without flag, confidential, non confidential, WGK

(DE), TA-Luft (DE), Material Safety Dataset, Risk

Assessment, Directive 67/548/EEC

date: 27-DEC-2000 Substance ID: 68130-53-0

2.1 Melting Point

Value: ca. 148 degree C Method: other: estimated

GLP: no

(2) valid with restrictions Reliability:

27-DEC-2000 (3)

2.2 Boiling Point

ca. 505 degree C Value: other: estimated Method:

GLP: no

Result: at 1 atm. pressure.

(2) valid with restrictions Reliability:

(3) 27-DEC-2000

2.3 Density

2.3.1 Granulometrg

2.4 Vapour Pressure

Method: other (calculated) : estimated

GLP:

1.1E-9 mm Hg at 25 deg. C. Result: Reliability: (2) valid with restrictions

(3) 27-DEC-2000

2.5 Partition Coefficient

log Pow: ca. 10.68 at 25 degree C other (calculated) : estimated Method:

GLP: no

Reliability: (2) valid with restrictions

(2) 27-DEC-2000

2.6.1 Water Solubility

Method: other: estimated

GLP:

Result: 3.19e-6 mg/l at 25 deg. C.

Glycerides - Appendix 2

date: 27-DEC-2000 Substance ID: 68130-53-0

2. Physico-chemical Data

Reliability: (2) valid with restrictions

27-DEC-2000 (1)

Method: other: estimated no Result: 4.53-7 mg/l at 25 deg. C. Reliability: (2) valid with restrictions

(4)

2.6.2 Surface Tension

2.7 Flash Point

2.8 Auto Flammability

2.9 Flammability

2.10 Explosive Properties

2.11 Oxidizing Properties

2.12 Additional Remarks

date: 27-DEC-2000
3. Environmental Fate and Pathways Substance ID: 68130-53-0

- 3.1.1 Photodegradation
- 3.1.2 Stability in Water
- 3.1.3 Stability in Soil
- 3.2 Monitoring Data (Environment)
- 3.3.1 Transport between Environmental Compartments
- 3.3.2 Distribution
- 3.4 Mode of Degradation in Actual Use
- 3.5 Biodegradation
- 3.6 BOD5, COD or BOD5/COD Ratio
- 3.7 Bioaccumulation
- 3.8 Additional Remarks

date: 27-DEC-2000
4. Ecotoxicity Substance ID: 68130-53-0

AQUATIC ORGANISMS

- 4.1 Acute/Prolonged Toxicity to Fish
- 4.2 Acute Toxicity to Aquatic Invertebrates
- 4.3 Toxicity to Aquatic Plants e.g. Algae
- 4.4 Toxicity to Microorganisms e.g. Bacteria
- 4.5 Chronic Toxicity to Aquatic Organisms
- 4.5.1 Chronic Toxicity to Fish
- 4.5.2 Chronic Toxicity to Aquatic Invertebrates

TERRESTRIAL ORGANISMS

- 4.6.1 Toxicity to Soil Dwelling Organisms
- 4.6.2 Toxicity to Terrestrial Plants
- 4.6.3 Toxicity to other Non-Mamm. Terrestrial Species
- 4.7 Biological Effects Monitoring
- 4.8 Biotransformation and Kinetics
- 4.9 Additional Remarks

date: 27-DEC-2000
5. Toxicity Substance ID: 68130-53-0

5.1 Acute Toxicity

5.1.1 Acute Oral Toxicity

5.1.2 Acute Inhalation Toxicity

5.1.3 Acute Dermai Toxicity

5.1.4 Acute Toxicity, other Routes

5.2 Corrosiveness and Irritation

5.2.1 Skin Irritation

5.2.2 Eve Irritation

5.3 Sensitization

5.4 Repeated Dose Toxicity

5.5 Genetic Toxicity 'in Vitro'

5.6 Genetic Toxicity 'in Vivo'

5.7 Carcinogenicity

5.8 Toxicity to Reproduction

5.9 Developmental Toxicity/Teratogenicity

5.10 Other Relevant Information

5.11 Experience with Human Exposure

date: 27-DEC-2000

6. References Substance ID: 68130-53-0

(1) ECOSAR. Version 0.99d. Syracuse Research Corporation.

- (2) **KowWIN.** Version 1.66. Syracuse Research Corporation.
- (3) MPBPWIN. Version 1.40. Syracuse Research Corporation.
- (4) WsKowWIN. Version 1.40. Syracuse Research Corporation.